



Entry 1 School Information and Cover Page

Created: 07/17/2018 • Last updated: 07/31/2018

Please be advised that you will need to complete this cover page (including signatures) before all of the other tasks assigned to you by your authorizer are visible on your task page. While completing this task, please ensure that you select the correct authorizer (**as of June 30, 2018**) or you may not be assigned the correct tasks.

a. SCHOOL NAME MOTT HAVEN ACAD CS (NYC CHANCELLOR)

(Select name from the drop down menu)

b. CHARTER AUTHORIZER (As of June 30th, 2018) NYCDOE-Authorized Charter School

(For technical reasons, please re-select authorizer name from the drop down menu).

c. DISTRICT / CSD OF LOCATION NYC CSD 7

d1. SCHOOL INFORMATION

	PRIMARY ADDRESS	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
	170 Brown Place Bronx, NY 10454	718-292-7015	718-292-7823	info@havenacademy.org

d2. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

Contact Name	Jessica Nauiokas
Title	Head of School
Emergency Phone Number (###-###-####)	917-806-0777

e. SCHOOL WEB ADDRESS (URL) www.havenacademy.org

f. DATE OF INITIAL CHARTER 01/2008

g. DATE FIRST OPENED FOR INSTRUCTION 08/2008

h1. APPROVED SCHOOL MISSION (Regents, NYCDOE, and Buffalo BOE authorized schools only)

MISSION STATEMENT

The Mott Haven Academy Charter School empowers children in an educational environment that addresses and reduces the barriers to academic success through the integration of family support services with a rigorous, college-preparatory academic program. Our graduates will be resilient, resourceful, independent scholars who have the skills necessary to reach their full potential and to build a better future.

h2. KEY DESIGN ELEMENTS (Regents, NYCDOE, and Buffalo BOE authorized schools only)

KEY DESIGN ELEMENTS (Brief heading followed by a description of each Key Design Elements (KDE). KDEs are those general aspects of the school that are innovative or unique to the school’s mission and goals, are core to the school’s overall design, and are critical to its success. The design elements may include a specific content area focus; unique student populations to be served; specific educational programs or pedagogical approaches; unique calendar, schedule, or configurations of students and staff; and/or innovative organizational structures and systems.

Variable 1	Trauma Sensitive Environment We maintain a safe, nurturing climate by dedicating significant time to the development of our school culture, with a specific focus on social emotional (SE) programming. All staff are trained in the effects of trauma on learning and building positive attachments using approaches like Responsive Classroom, Love and Logic, and PBIS. Our SE staff use evidence-based practices such as Second Step to teach key social skills like self-regulation and problem solving.
Variable 2	Supportive School Culture and Climate Haven Academy is committed to teaching students to be problem solvers and critical thinkers, to respect and challenge intellectual ideas, to resolve conflicts peacefully, and form opinions in an environment that values trust. We continue to implement evidence-based Social Emotional curricula as well as school-wide Positive Behavior Supports.
Variable 3	Data Driven Instruction Haven Academy thoughtfully uses assessments and data to drive our curriculum development and daily student instruction. Instructional Staff and Leadership regularly collect and analyze data for individual scholar progress and school wide trends to differentiate instruction, guide daily teaching, and to inform areas requiring remediation, intervention and support.
Variable 4	Rigorous Standards-Based Curriculum

	<p>Significant efforts are undertaken to align the school's curriculum with the Common Core Learning Standards. We have a curriculum revision protocol in order to guarantee that all of the Common Core Learning Standards are taught in ELA and math. We have detailed scope and sequences, curriculum maps, and assessment schedules, allowing for teachers and coaches to continue the work of developing unit and lesson plans during weekly grade level meetings and check-ins.</p>
Variable 5	<p>High Attendance Percentages and Support Systems We believe high attendance for all students is critical to academic success. We take pride in high daily attendance rates and our reduction in chronic absenteeism. Our multi-disciplinary attendance team tracks absences on a daily basis and follows up with families by phone with each absence. We also provide more intensive coaching for families with chronic absenteeism by pairing them with a specific staff member who helps identify barriers, reducing those barriers, and reinforcing attendance.</p>
Variable 6	<p>Parents as Partners Haven Academy provides comprehensive wrap around services to our families to ensure the consistency of support available both at home and school through one-on-one coaching, workshops, and other parent engagement opportunities. We value the relationships we cultivate with our families and work tirelessly to ensure they are not only heard but actively inform our daily functioning and programming.</p>
Variable 7	<p>Community Partnership At the core of our mission is our partnership with The New York Foundling. Additionally, we work in tandem with several other child welfare agencies as well as other community based organizations that provide our families with critical needs such as housing, medical and mental health resources. We employ several support staff members that help facilitate these partnerships and make resources available to families.</p>
Variable 8	(No response)
Variable 9	(No response)
Variable 10	(No response)

i. TOTAL ENROLLMENT ON JUNE 30, 2018 399

j. GRADES SERVED IN SCHOOL YEAR 2017-18

Check all that apply

Grades Served	K, 1, 2, 3, 4, 5, 6
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k1. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION? No

l1. FACILITIES

Does the school maintain or operate multiple sites?

No, just one site.

l2. SCHOOL SITES

Please list the sites where the school will operate for the upcoming school year.

	Physical Address	Phone Number	District/CSD	Grades Served at Site (K-5, 6-9, etc.)	Receives Rental Assistance	Rental Assistance for Which Grades (write N/A if applicable)
Site 1 (same as primary site)	170 Brown Place Bronx, NY 10454	718-292-7015	NYC CSD 7	k-6	No	
Site 2						
Site 3						

I2a. Please provide the contact information for Site 1.

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Jessica Nauiokas	718-292-7015	347-854-0835	jnauiokas@havenacademy.org
Operational Leader	Milagros Torres	718-292-7015	347-854-0819	mtorres@havenacademy.org
Compliance Contact	Zennea Chetta	718-292-7015	516-698-9229	zchetta@havenacademy.org
Complaint Contact	Ashlyn Rector	718-292-7015	347-854-0806	afield@havenacademy.org
DASA Coordinator	Gabriella Cassandra	718-292-7015	347-854-0808	gcassandra@havenacademy.org

m1. Are any sites in co-located space? If yes, please proceed to the next question. No

IF LOCATED IN PRIVATE SPACE IN NYC OR DISTRICTS OUTSIDE NYC

m3. Upload a current Certificate of Occupancy (COO) for each school site that is located in private space in NYC or located outside of NYC. Except for schools in district space (co-location space), school must provide a copy of the annual fire inspection report.

Site 1 Certificate of Occupancy (COO)

<https://nysed-cso-reports.fluidreview.com/resp/17673302/yFPTXS6fVy/>

Site 1 Fire Inspection Report

<https://nysed-cso-reports.fluidreview.com/resp/17673302/nBltmqxAKU/>

Site 2 Certificate of Occupancy

(No response)

Site 2 Fire Inspection Report

(No response)

Site 3 Certificate of Occupancy

(No response)

Site 3 Fire Inspection Report

(No response)

n1. Were there any revisions to the school's charter during the 2017-18 school year? (Please include approved or pending material and non-material charter revisions). No

o. Name and Position of Individual(s) Who Completed this Annual Report. Zennea Chetta, Director of Data and Technology

p. Our signatures (Head of School and Board President) below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES if you agree and then use the mouse on your PC or the stylist on your mobile device to sign your name).**

Yes

Signature, Head of Charter School



Signature, President of the Board of Trustees

Patricia Mulvaney

Date

2018/07/30

Thank you.

Certificate of Occupancy

CO Number: 220564958T005

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued. *This document or a copy shall be available for inspection at the building at all reasonable times.*

A.	Borough: Bronx	Block Number: 02263	Certificate Type: Temporary
	Address: 170 BROWN PLACE	Lot Number(s): 1	Effective Date: 05/25/2018
	Building Identification Number (BIN): 2000023		Expiration Date: 08/23/2018
	Building Type: Altered		
This building is subject to this Building Code: Prior to 1968 Code			
<i>For zoning lot metes & bounds, please see BISWeb.</i>			
B.	Construction classification:	1-C	(1968 Code designation)
	Building Occupancy Group classification:	E	(2014/2008 Code)
	Multiple Dwelling Law Classification:	None	
	No. of stories: 7	Height in feet: 97	No. of dwelling units: 0
C.	Fire Protection Equipment: None associated with this filing.		
D.	Type and number of open spaces: None associated with this filing.		
E.	This Certificate is issued with the following legal limitations: None		
Outstanding requirements for obtaining Final Certificate of Occupancy:			
There are 11 outstanding requirements. Please refer to BISWeb for further detail.			
Borough Comments:			
OK TO RENEW TCO FOR 90 DAYS			



Borough Commissioner



Commissioner

Certificate of Occupancy

CO Number: **220564958T005**

Permissible Use and Occupancy

All Building Code occupancy group designations below are 2008 designations.

Floor From To	Maximum persons permitted	Live load lbs per sq. ft.	Building Code occupancy group	Dwelling or Rooming Units	Zoning use group	Description of use
CEL	2	OG	F-2		3B	KITCHEN
CEL		OG	S-2		3B	STORAGE ROOMS, TRASH ROOM, RECYCLE ROOM
CEL		OG	F-2		3B	MECHANICAL ROOM, ELECTRICAL CONTROL ROOM, WATER SERVICE ROOM SERVER ROOM
CEL		OG	A-3		3A	DANCE STUDIO
CEL	128	OG	A-2		3B	CAFETERIA
CEL	1	OG	B		3B	OFFICE
CEL	20	OG	E		3A	CLASSROOMS
CEL	166	OG	A-3		3A	GYMNASIUM
001	001 104	40	E		3A	CLASSROOM, LIBRARY
001	001 10	50	B		3B	OFFICE STAFF CENTER
002	002 167	40	E		3A	CLASSROOMS
002	002 20	40	E		3A	BREAK OUT ROOM
003	003 159	40	E		3A	CLASSROOMS



Borough Commissioner



Commissioner

DOCUMENT CONTINUES ON NEXT PAGE

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All Building Code occupancy group designations below are 2008 designations.

Floor From To	Maximum persons permitted	Live load lbs per sq. ft.	Building Code occupancy group	Dwelling or Rooming Units	Zoning use group	Description of use
003 003 30	40	40	E		3A	SCIENCE LAB
003 003 1	50	50	B		3B	OFFICES
004 004 164	50	50	B		4A	NON-PROFIT OFFICES WITHOUT SLEEPING ACCOMMODATIONS
005 005 91	40	40	E		3A	CLASSROOMS
005 005	50	50	F-2		4C	IT-CLOSET, COPY ROOM
005 005 10	40	40	E		3A	ADMINISTRATIVE OFFICES AND RECEPTION
006 006 96	40	40	E		3A	CLASSROOMS
006 006	50	50	F-2		4C	IT-CLOSET, COPY ROOM
006 006 5	40	40	E		3A	OFFICES
007 007	50	50	F-2		4C	IT-CLOSET, COPY ROOM
007 007 90	40	40	E		3A	CLASSROOMS
007 007 6	40	40	E		3A	TEACHERS LOUNGE, OFFICE, NURSE
ROF 74	100	100	A-3		3B	SECOND FLOOR ROOF-DECK



Borough Commissioner



Commissioner

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Certificate of Occupancy

CO Number: **220564958T005**

Permissible Use and Occupancy

All Building Code occupancy group designations below are 2008 designations.

Floor From To	Maximum persons permitted	Live load lbs per sq. ft.	Building Code occupancy group	Dwelling or Rooming Units	Zoning use group	Description of use
PEN	7	100	F-2		3B	MECHANICAL ROOM

NOTE: NON-PROFIT INSTITUTE WITHOUT SLEEPING ACCOMODATIONS PROVIDED THAT THE NUMBER OF PERSONS EMPLOYED IN CENTRAL OFFICE FUNCTION SHALL NOT EXCEED 50, AND THE AMOUNT OF FLOOR AREA FOR CENTRAL OFFICE PURPOSES SHALL NOT EXCEED 25 PERCENT OF THE TOTAL FLOOR AREA OR 25,000 SQUARE FEET, WHICH EVER IS GREATER, EXCEPT THAT IN R6 DISTRICTS, THE AMOUNT OF FLOOR AREA USED FOR THE CENTRAL OFFICE PURPOSES SHALL IN NO EVENT EXCEED 25 PERCENT OF THE TOTAL FLOOR AREA THESE PREMISES, CONSISTING OF ONE (1) TAX LOT, HAVE BEEN DECLARED ONE (1) Z ONING LOT AS PER SECTION 12-10 OF THE ZONING RESOLUTION AND HAVE BEEN RECOR DED AT THE OFFICE OF THE CITY REGISTER UNDER EXHIBIT I CRFN AND EXHIBIT III CRFN #2010000311858 2010000311859

END OF SECTION



Borough Commissioner



Commissioner

END OF DOCUMENT



Entry 2 NYS School Report Card Link

Last updated: 07/17/2018

MOTT HAVEN ACAD CS (NYC CHANCELLOR)

1. CHARTER AUTHORIZER (As of June 30th, 2018)

NYCDOE-Authorized Charter School

(For technical reasons, please re-select authorizer name from the drop down menu).

2. NEW YORK STATE REPORT CARD

<https://data.nysed.gov/profile.php?instid=800000061086>

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).



Entry 3 Progress Toward Goals

Created: 07/17/2018 • Last updated: 10/23/2018

PROGRESS TOWARD CHARTER GOALS

Board of Regents-authorized and NYCDOE-authorized charter schools only. Complete the tables provided. List each goal and measure as contained in the school’s currently approved charter, and indicate whether the school has met or not met the goal. Please provide information for all goals by November 1st.

1. ACADEMIC STUDENT PERFORMANCE GOALS

If performance data is not available by August 1st, please state this in the last column and update by November 1st.

2017-18 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress Toward Attainment of Goal	Goal - Met or Not Met	Indicate if data is not available. If/when available, Describe Efforts School Will Take If Goal Is Not Met
Academic Goal 1	For each year of the school’s next charter term, the school will show academic performance with a percent of students proficient at or above Level 3 that meets or exceeds the percent proficient of the Community School District (CSD) of location and also meets or exceeds the citywide percent proficient on the New York State ELA examination.	New York State ELA examination	Met	
	For each year of the school’s next charter term, the school will show academic performance with a percent of students proficient at or above			

Academic Goal 2	Level 3 that meets or exceeds the percent proficient of the Community School District (CSD) of location and also meets or exceeds the citywide percent proficient on the New York State Math examination.	New York State Math examination	Met	
Academic Goal 3	For each year of the school's charter term, each grade-level cohort will demonstrate growth with a reduction by a half the gap between the percent at or above Level 3 on the previous year's NYS ELA exam (baseline) and the CSD or citywide percent (whichever is higher) of students proficient at or above Level 3 on the current year's State ELA exam. For schools in which the number of students scoring above proficiency in a grade-level cohort exceeded the CSD or citywide percent proficient (whichever is higher) on the previous year's ELA exam, the school is expected to demonstrate growth comparable to the CSD in the current year.	New York State ELA examination	Not Met	ELA 3rd Met 4th Not Met 5th Met 6th Met
	For each year of the school's charter term, each grade-level cohort will			

Academic Goal 4	<p>demonstrate growth with a reduction by a half the gap between the percent at or above Level 3 on the previous year's NYS math exam (baseline) and the CSD or citywide percent (whichever is higher) of students proficient at or above Level 3 on the current year's State math exam. For schools in which the number of students scoring above proficiency in a grade-level cohort exceeded the CSD or citywide percent proficient (whichever is higher) on the previous year's math exam, the school is expected to demonstrate growth comparable to the CSD in the current year.</p>	New York State Math examination	Not Met	<p>Math 3rd Met 4th Met 5th Not Met 6th Met</p>
Academic Goal 5	<p>In each year of the charter term, 75 percent of students enrolled for three or more years will perform at or above a level 3 on the NYS fourth grade science exam.</p>	NYS fourth grade science examination	Met	
Academ	<p>In each year of the charter term, the average NCE for students who have taken the Reading Terra Nova for two years will reduce by one-half the difference between</p>			

ic Goal 6	the previous year's average NCE and an NCE of 50. If the previous year's average NCE exceeds 50 then they will maintain an average NCE above 50.	Reading Terra Nova 3	Met	
Academ ic Goal 7	In each year of the charter term, the average NCE for students who have taken the Math Terra Nova for two years will reduce by one-half the difference between the previous year's average NCE and an NCE of 50. If the previous year's average NCE exceeds 50 then they will maintain an average NCE above 50.	Math Terra Nova 3	Met	
Academ ic Goal 8	In each year of the charter term, for child welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS ELA assessment, the percent proficient will meet or exceed the proficiency demonstrated by the students in the same tested grades in the district of location.	New York State ELA Examination	Met	

2. Do have more academic goals to add? Yes

2017-18 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress Toward Attainment of Goal	Goal - Met or Not Met	If Not Met, Describe Efforts School Will Take
Academic Goal 9	In each year of the charter term, for child welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS mathematics assessment, the percent proficient will meet or exceed the proficiency demonstrated by the students in the same tested grades in the district of location.	New York State math examination	Met	
Academic Goal 10	In each year of the charter term, for general community/nonchild welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS ELA assessment, the percent proficient will meet or exceed the proficiency demonstrated by students in the same tested grades in the district of location.	New York State ELA Examination	Met	

Academic Goal 11	In each year of the charter term, for general community/nonchild welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS mathematics assessment, the percent proficient will meet or exceed the proficiency demonstrated by students in the same tested grades in the district of location.	New York State math examination	Met	
Academic Goal 12				
Academic Goal 13				
Academic Goal 14				
Academic Goal 15				
Academic Goal 16				

3. Do we have more academic goals to add? No

4. ORGANIZATIONAL GOALS

2017-18 Progress Toward Attainment of Organizational Goals

	Organizational Goal	Measure Used to Evaluate Progress	Goal - Met or Not Met	If Not Met, Describe Efforts School Will Take
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Org Goal 1	Each year, the school's "General Community and Foster Care" populations will have a daily student attendance rate of at least 95 percent. The school's overall attendance (including Prevention students) will be minimally 92 percent.	ATS and Internal Attendance Reporting	Met	
Org Goal 2	Each year, 95 percent of all students enrolled during the course of the year will return the following September (excluding those who leave the school because they move out of the city, lack reasonable transportation or have been re-assigned a school placement for a more restrictive special education setting) .	ATS Reports and Enrollment Records	Met	
Org Goal 3	Each year, 90 percent of all instructional staff employed during the prior school year will return and/or be asked to return the following school year.	Internal reporting	Met	

Org Goal 4	<p>In each year of the charter term, parents will express satisfaction with the school's program, based on the NYC DOE School Survey. On key questions as identified in the NYC DOE Charter Schools Accountability Handbook, the school will have a percentage of parents that agree or strongly agree that meets or exceeds citywide averages. The school will only have met this goal if 50% or more parents participate in the survey.</p>	NYC DOE School Survey	Met	
Org Goal 5	<p>In each year of the charter term, staff will express satisfaction with the school's program, based on the NYC DOE School Survey. On key questions as identified in the NYC DOE Charter Schools Accountability Handbook, the school will have a percentage of staff that agree or strongly agree that meets or exceeds</p>	NYC DOE School Survey	Met	

citywide averages. The school will only have met this goal if 50% or more staff participate in the survey.

5. Do you have more organizational goals to add? No

6. FINANCIAL GOALS

2017-18 Progress Toward Attainment of Financial Goals

	Financial Goals	Measure Used to Evaluate Progress	Goal - Met or Not Met	If Not Met, Describe Efforts School Will Take
Financial Goal 1	Each year, the school will operate on a balanced budget and maintain a stable cash flow.	School financials	Met	
Financial Goal 2				
Financial Goal 3				
Financial Goal 4				
Financial Goal 5				



Entry 4 Expenditures per Child

Last updated: 07/30/2018

MOTT HAVEN ACAD CS (NYC CHANCELLOR)Section Heading

Financial Information

This information is required of ALL charter schools. Provide the following measures of fiscal performance of the charter school in Appendix B (Total Expenditures and Administrative Expenditures Per Child):

1. Total Expenditures Per Child

To calculate '**Total Expenditures per Child**' take total expenditures (from the unaudited 2017-18 Schedule of Functional Expenses) and divide by the year end FTE student enrollment. (Integers Only. No dollar signs or commas).

Note: *The information on the Schedule of Functional Expenses on pages 41-43 of the Audit Guide can help schools locate the amounts to use in the two per pupil calculations:* <http://www.p12.nysed.gov/psc/AuditGuide.html>

Line 1: Total Expenditures	9519079
Line 2: Year End FTE student enrollment	397
Line 3: Divide Line 1 by Line 2	23991

2. Administrative Expenditures per Child

To calculate '**Administrative Expenditures per Child**' To calculate "Administrative Expenditures per Child" first *add* together the following:

1. Take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the unaudited 2017-18 Schedule of Functional Expenses)
2. Any contracted administrative/management fee paid to other organizations or corporations
3. Take the total from above and divide it by the year-end FTE enrollment. The relevant portion that must be included in this calculation is defined as follows:

Administrative Expenditures: Administration and management of the charter school includes the activities and personnel of the offices of the chief school officer, the finance or business offices, school operations personnel, data management and reporting, human resources, technology, etc. It also includes those administrative and management services provided by other organizations or corporations on behalf of the charter school for which the charter school pays a fee or other compensation. Do not include the FTE of personnel whose role is to directly support the instructional program.

Notes:
The information on the Schedule of Functional Expenses on pages 41-43 of the Audit Guide can help schools locate the amounts to use in the two per pupil calculations:
<http://www.p12.nysed.gov/psc/AuditGuide.html>.
Employee benefit costs or expenditures should not be reported in the above calculations.

Line 1: Relevant Personnel Services Cost (Row)	318278
Line 2: Management and General Cost (Column)	389030
Line 3: Sum of Line 1 and Line 2	707308
Line 5: Divide Line 3 by the Year End FTE student enrollment	1782

Thank you.

MOTT HAVEN ACADEMY CHARTER SCHOOL

FINANCIAL STATEMENTS

JUNE 30, 2018

(WITH SUMMARIZED COMPARATIVE INFORMATION FOR JUNE 30, 2017)

MOTT HAVEN ACADEMY CHARTER SCHOOL

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INDEPENDENT AUDITOR'S REPORT

To the Board of Trustees
Mott Haven Academy Charter School

Report on the Financial Statements

We have audited the accompanying financial statements of Mott Haven Academy Charter School (the "School"), which comprise the statement of financial position as of June 30, 2018, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the School's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

An Independent Member of Baker Tilly International

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Mott Haven Academy Charter School as of June 30, 2018, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Emphasis of a Matter

The School is financially dependent on the New York Foundling (NOTE 3).

Report on Summarized Comparative Information

We have previously audited Mott Haven Academy Charter School's 2017 financial statements and we expressed an unmodified opinion on those audited financial statements in our report dated October 23, 2017. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2017 is consistent, in all material respects, with the audited financial statements from which it has been derived.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated October 24, 2018, on our consideration of Mott Haven Academy Charter School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Mott Haven Academy Charter School's internal control over financial reporting and compliance.

MBAF CPAs, LLC

New York, NY
October 24, 2018

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENT OF FINANCIAL POSITION
JUNE 30, 2018
(WITH SUMMARIZED COMPARATIVE INFORMATION FOR JUNE 30, 2017)

ASSETS	2018	2017
Cash	\$ 85,328	\$ 164,330
Cash - restricted	70,256	70,221
Grants and other receivables	770,314	427,904
Prepaid expenses and other assets	19,495	50,038
Property and equipment, net	153,519	166,146
Website, net	1,434	3,734
	\$ 1,100,346	\$ 882,373
LIABILITIES AND NET ASSETS		
LIABILITIES		
Accounts payable and accrued expenses	\$ 282,115	\$ 212,013
Accrued salary and other payroll related expenses	425,777	381,116
Due to NY Foundling	574,643	125,160
Due to NYC Department of Education	9,291	2,000
	1,291,826	720,289
NET ASSETS		
Unrestricted	(201,480)	162,084
Temporarily restricted	10,000	-
	(191,480)	162,084
	\$ 1,100,346	\$ 882,373

The accompanying notes are an integral part of these financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2018
(WITH SUMMARIZED COMPARATIVE INFORMATION FOR THE YEAR ENDED JUNE 30, 2017)

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>2018</u>	<u>2017</u>
OPERATING REVENUE				
State and local per pupil operating revenue	\$ 6,594,654	\$ -	\$ 6,594,654	\$ 5,266,332
Government grants and contracts	1,031,574	-	1,031,574	703,415
	<u>7,626,228</u>	<u>-</u>	<u>7,626,228</u>	<u>5,969,747</u>
EXPENSES				
Program services				
General education	6,911,894	-	6,911,894	5,397,003
Special education	2,118,990	-	2,118,990	1,434,340
Pre-K education	59,405	-	59,405	485,389
Management and general	788,913	-	788,913	585,551
Fundraising	76,064	-	76,064	39,363
	<u>9,955,266</u>	<u>-</u>	<u>9,955,266</u>	<u>7,941,646</u>
DEFICIT FROM SCHOOL OPERATIONS	<u>(2,329,038)</u>	<u>-</u>	<u>(2,329,038)</u>	<u>(1,971,899)</u>
SUPPORT AND OTHER INCOME				
Contributions and other grants	860,219	533,000	1,393,219	1,115,127
New York Foundling grants	178,000	-	178,000	178,000
In-kind building lease and rent	404,220	-	404,220	321,096
Interest and other income	35	-	35	49
Net assets released from restrictions	523,000	(523,000)	-	-
	<u>1,965,474</u>	<u>10,000</u>	<u>1,975,474</u>	<u>1,614,272</u>
CHANGE IN NET ASSETS	(363,564)	10,000	(353,564)	(357,627)
NET ASSETS - BEGINNING OF YEAR	<u>162,084</u>	<u>-</u>	<u>162,084</u>	<u>519,711</u>
NET ASSETS - END OF YEAR	<u>\$ (201,480)</u>	<u>\$ 10,000</u>	<u>\$ (191,480)</u>	<u>\$ 162,084</u>

The accompanying notes are an integral part of these financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2018
(WITH SUMMARIZED COMPARATIVE INFORMATION FOR THE YEAR ENDED JUNE 30, 2017)

	No. of Positions	Program Services				Supporting Services		2018	2017
		General Education	Special Education	Pre-K Education	Total	Management and General	Fundraising		
Personnel service costs									
Administrative staff personnel	13	\$ 575,486	\$ 174,773	\$ -	\$ 750,259	\$ 311,573	\$ 60,906	\$ 1,122,738	\$ 707,953
Instructional personnel	63	3,178,360	978,521	28,453	4,185,334	-	-	4,185,334	3,356,358
Non-instructional personnel	4	207,585	60,715	8,588	276,888	8,588	-	285,476	239,676
Total salaries and staff	80	3,961,431	1,214,009	37,041	5,212,481	320,161	60,906	5,593,548	4,303,987
Payroll taxes and employee benefits		844,658	258,851	7,898	1,111,407	68,265	12,986	1,192,658	928,890
Retirement benefits		97,941	30,015	916	128,872	7,916	1,506	138,294	112,695
Legal services		-	-	-	-	1,750	-	1,750	-
Accounting and audit services		-	-	-	-	162,897	-	162,897	141,365
Other purchased, professional, and consulting services		35,583	10,926	188	46,697	27,690	-	74,387	102,943
Building lease and rent		660,440	202,397	6,175	869,012	63,531	-	932,543	750,960
In-kind building lease and rent		286,275	87,731	2,677	376,683	27,537	-	404,220	321,096
Repairs and maintenance		27,622	8,465	258	36,345	2,657	-	39,002	42,850
Insurance		32,411	9,933	303	42,647	3,118	-	45,765	40,438
Supplies and materials		131,002	40,314	116	171,432	1,195	-	172,627	89,249
Equipment and furnishings		5,756	1,768	30	7,554	3,893	39	11,486	9,606
Staff development		180,260	55,292	1,352	236,904	13,911	-	250,815	256,792
Marketing and recruitment		25,047	7,676	234	32,957	2,409	-	35,366	34,097
Technology		56,650	17,441	-	74,091	-	-	74,091	79,099
Food service		206,265	63,212	1,929	271,406	19,842	-	291,248	231,560
Student services		285,641	87,898	277	373,816	2,847	-	376,663	322,847
Office expense		-	-	-	-	58,222	627	58,849	40,947
Depreciation and amortization		71,936	22,147	-	94,083	-	-	94,083	125,456
Other		2,976	915	11	3,902	1,072	-	4,974	6,769
		\$ 6,911,894	\$ 2,118,990	\$ 59,405	\$ 9,090,289	\$ 788,913	\$ 76,064	\$ 9,955,266	\$ 7,941,646

The accompanying notes are an integral part of these financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2018
(WITH SUMMARIZED COMPARATIVE INFORMATION FOR THE YEAR ENDED JUNE 30, 2017)

	2018	2017
CASH FLOWS FROM OPERATING ACTIVITIES		
Cash received from operating revenue	\$ 7,291,109	\$ 5,802,225
Other cash received	1,975,474	1,614,272
Cash paid to employees and suppliers	<u>(9,266,394)</u>	<u>(7,624,089)</u>
NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES	<u>189</u>	<u>(207,592)</u>
CASH FLOWS FROM INVESTING ACTIVITIES		
Purchase of property and equipment	<u>(79,156)</u>	<u>(40,983)</u>
NET CASH USED IN INVESTING ACTIVITIES	<u>(79,156)</u>	<u>(40,983)</u>
NET DECREASE IN CASH	(78,967)	(248,575)
CASH AND CASH - RESTRICTED - BEGINNING OF YEAR	<u>234,551</u>	<u>483,126</u>
CASH AND CASH - RESTRICTED - END OF YEAR	<u>\$ 155,584</u>	<u>\$ 234,551</u>
Reconciliation of change in net assets to net cash provided by (used in) operating activities:		
Change in net assets	\$ (353,564)	\$ (357,627)
Adjustments to reconcile change in net assets to net cash provided by (used in) operating activities:		
Depreciation and amortization	94,083	125,456
Changes in operating assets and liabilities:		
Grants and other receivables	(342,410)	(190,384)
Due from NYC Department of Education	-	20,862
Prepaid expenses and other assets	30,543	(33,897)
Accounts payable and accrued expenses	70,102	43,783
Accrued salary and other payroll related expenses	44,661	57,055
Due to NY Foundling	449,483	125,160
Due to NYC Department of Education	<u>7,291</u>	<u>2,000</u>
NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES	<u>\$ 189</u>	<u>\$ (207,592)</u>
Cash and cash - restricted consist of:		
Cash	\$ 85,328	\$ 164,330
Cash - restricted	<u>70,256</u>	<u>70,221</u>
Total	<u>\$ 155,584</u>	<u>\$ 234,551</u>

The accompanying notes are an integral part of these financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2018

1. NATURE OF THE ORGANIZATION

Mott Haven Academy Charter School (the "School") is a New York State, not-for-profit educational corporation that was incorporated on January 15, 2008 to operate a charter school pursuant to Article 56 of the Educational Law of the State of New York. The School was granted a provisional charter on January 15, 2008, valid for a term of five years, by the Board of Regents of the University of the State of New York. The charter was first renewed through June 30, 2016, and then renewed again through June 30, 2020.

The School opened its doors in the fall of 2008 in the South Bronx with a rigorous academic program and a highly structured and supportive school culture. While the School is comprised of students from many backgrounds, it is uniquely designed to meet the needs of at-risk students who receive foster care and prevention services through the New York City child welfare system.

The School is exempt from Federal income tax under section 501(a) of the Internal Revenue Code ("IRC") as an organization described in Section 501(c)(3) of the IRC and a similar provision under New York State income tax laws. The School has also been classified as an entity that is not a private foundation within the meaning of Section 509(a) of the IRC and qualifies for deductible contributions as provided in section 170(b)(1)(A)(ii) of the IRC.

In fiscal year 2018, the School operated classes for students in kindergarten through sixth grade. The sixth grade was added in 2018. The School also has a Pre-K program named "Little Haven" which is funded by the New York City Department of Education ("NYCDOE").

2. SIGNIFICANT ACCOUNTING POLICIES

Financial Statement Presentation

The School's financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America ("U.S. GAAP").

The classification of the School's net assets and its support, revenues and expenses is based on the existence or absence of donor-imposed restrictions. It requires that the amounts for each of the three classes of net assets, permanently restricted, temporarily restricted, and unrestricted, be displayed in a statement of financial position and that the amounts of change in each of those classes of net assets be displayed in a statement of activities.

These classes are defined as follows:

Permanently Restricted – Net assets resulting from contributions and other inflows of assets whose use by the School is limited by donor-imposed stipulations that neither expire by passage of time nor can be fulfilled or otherwise removed by actions of the School.

Temporarily Restricted – Net assets resulting from contributions and other inflows of assets whose use by the School are limited by donor-imposed stipulations that either expire by passage of time or can be fulfilled and removed by actions of the School pursuant to those stipulations. When such stipulations end or are fulfilled, such temporarily restricted net assets are reclassified to unrestricted net assets and reported as such in the statement of activities.

Unrestricted – The part of net assets that is neither permanently nor temporarily restricted by donor-imposed stipulations.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2018

2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Cash and Cash Equivalents

The School considers all highly liquid instruments purchased with a maturity of three months or less to be cash equivalents.

Cash – restricted

An escrow account of \$70,256 and \$70,221 is held aside for contingency purposes at June 30, 2018 and 2017, respectively, as required by the NYCDOE.

Grants and Other Receivables

Grants and other receivables represent unconditional promises to give by donors. Grants and other receivables are expected to be collected within one year, are recorded at net realizable value, and amount to \$770,314 and \$427,904 at June 30, 2018 and 2017, respectively. The School determined that no allowance for uncollectible accounts was necessary at June 30, 2018 and 2017. Such estimate is based on management's assessments of the creditworthiness of its donors, the aged basis of its receivables, as well as current economic conditions and historical information.

Revenue Recognition

Revenue is recognized when the donor makes a promise to give to the School that is, in substance, unconditional. Grants and other contributions of cash are reported as temporarily restricted support if they are received with donor stipulations. Contributions of assets other than cash are recorded at their estimated fair value.

Revenue from the state and local government resulting from the School's charter status is based on the number of students enrolled and is recorded when services are performed in accordance with the charter agreement.

Revenue from federal, state and local government grants and contracts are recorded by the School when qualifying expenditures are incurred and billable. Funds received in advance for which qualifying expenditures have not been incurred would be reflected as refundable advances from state and local government grants in the accompanying statement of financial position.

In-Kind Contributions

The School receives contributed goods and services that are an integral part of its operations. Such support is recorded as contributions in-kind, at their fair value, provided it meets the criteria for recognition. In-kind contributions consist of rent subsidies and are reflected as both income and expense in the accompanying financial statements.

Property and Equipment

Property and equipment are stated at cost and are being depreciated on the straight-line method over the estimated useful lives of the assets. Leasehold improvements are amortized over the shorter of the life of the asset or the life of the lease. The School has established a \$1,000 threshold above which assets are evaluated to be capitalized. Property and equipment acquired with certain government contract funds is recorded as an expense pursuant to the terms of the contract in which the government funding source retains ownership of the property. Maintenance and repairs are charged to expense as incurred; major renewals and betterments are capitalized.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2018

2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Impairment

The School reviews long-lived assets to determine whether there has been any permanent impairment whenever events or circumstances indicate the carrying amount of an asset may not be recoverable. If the sum of the expected future undiscounted cash flows is less than the carrying amount of the assets, the School recognizes an impairment loss. No impairment losses were recognized for the years ended June 30, 2018 and 2017.

Functional Allocation of Expenses

Expenses that can be directly identified with the program or supporting service to which they relate are charged accordingly. Other expenses by function have been allocated among program and supporting service classifications based upon benefits received.

Estimates

The preparation of financial statements in conformity with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Subsequent Events

The School has evaluated events through October 24, 2018, which is the date the financial statements were available to be issued.

Comparative Financial Information

The June 30, 2018 financial statements include certain prior year summarized comparative information in total but not by net asset class. In addition, only certain of the notes to the financial statements for June 30, 2017 are presented. As a result, the June 30, 2017 comparative information does not include sufficient detail to constitute a presentation in conformity with U.S. GAAP. Accordingly, such June 30, 2017 information should be read in conjunction with the School's financial statements for the year ended June 30, 2017, from which the summarized information was derived.

Income Taxes

The School follows the accounting standard for uncertainty in income taxes. The standard prescribes a minimum recognition threshold and measurement methodology that a tax position taken or expected to be taken in a tax return is required to meet before being recognized in the financial statements. It also provides guidance for derecognition, classification, interest and penalties, disclosure, and transition.

The School files informational returns in the Federal and New York State jurisdictions. With few exceptions, the School is no longer subject to Federal, state, or local income tax examinations for fiscal years before 2015.

The School believes that it has appropriate support for the positions taken on its tax returns. Nonetheless, the amounts ultimately paid, if any, upon resolution of the issues raised by the taxing authorities may differ materially from the amounts accrued for each year. Management believes that its nonprofit status would be sustained upon examination.

Should there be interest on underpayments of income tax, the School would classify it as "Interest Expense." The School would classify penalties in connection with underpayments of income tax as "Other Expense."

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2018

2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Reclassification

Certain amounts in the prior year financial statements have been reclassified for comparative purposes to conform to the presentation in the current year financial statements. This reclassification had no effect on previously reported change in net assets.

Recent Accounting Pronouncements

In May 2014, the Financial Accounting Standards Board ("FASB") issued an accounting standards update which affects the revenue recognition of entities that enter into either (1) certain contracts to transfer goods or services to customers or (2) certain contracts for the transfer of nonfinancial assets. The update indicates an entity should recognize revenue in an amount that reflects the consideration the entity expects to be entitled to in exchange for the goods or services transferred by the entity. The update is to be applied to the beginning of the year of implementation or retrospectively and is effective for annual periods beginning after December 15, 2018 and in interim periods in annual periods beginning after December 15, 2019. Early application is permitted but no earlier than annual reporting periods beginning after December 31, 2016. The School is currently evaluating the effect the update will have on its financial statements.

In February 2016, the FASB issued an accounting standards update which amends existing lease guidance. The update requires lessees to recognize a right-of-use asset and related lease liability for many operating leases now currently off-balance sheet under current U.S. GAAP. Accounting by lessors remains largely unchanged from current U.S. GAAP. The update is effective using a modified retrospective approach for fiscal years beginning after December 15, 2019, and for interim periods within fiscal years beginning after December 15, 2020, with early application permitted. The School is currently evaluating the effect the update will have on its financial statements.

In August 2016, the FASB issued an accounting standards update which aims to improve information provided to creditors, donors, grantors, and others while also reducing complexity and costs. The update is the first phase of a project regarding not-for-profits which aims to improve and simplify net asset classification requirements and improve the information presented and disclosed in financial statements about liquidity, cash flows, and financial performance. The update is effective retrospectively for financial statements issued for fiscal years beginning after December 15, 2017, and interim periods within fiscal years beginning after December 15, 2018, with earlier application permitted. The School is currently evaluating the effect the update will have on its financial statements.

3. LIQUIDITY AND DEPENDENCE

At June 30, 2018, the School has a working capital deficit of approximately \$417,000 driven primarily by rent and facility costs owed to The New York Foundling ("NY Foundling"), which is further explained in Note 4. The School has had losses of approximately \$354,000 and \$358,000 for the years ending June 30, 2018 and 2017, respectively, and a deteriorating cash position. NY Foundling, a related party that shares board members with the School, is committed to provide financial support through November 2019 while the School is expanding classes for students up to eighth grade.

NY Foundling subsidizes rent and facility costs. Such support is recorded as contributions in-kind at fair value. Support received is estimated at \$404,220 and \$321,096 for the years ending June 30, 2018 and 2017, respectively, and is reflected as both income and expense in the accompanying financial statements. In addition, NY Foundling reimbursed the School for after school program expenses amounting to \$178,000 for each of the years ending June 30, 2018 and 2017.

Management believes that these actions will enable the School to continue operations through 2019.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2018

4. RELATED PARTY

The School is located at 170 Brown Place, Bronx, New York, 10454. The new LEED certified, environmentally friendly building is leased by NY Foundling. The School subleases a portion of the building from NY Foundling. NY Foundling occupies the remaining space in the building. NY Foundling is a related party and shares two board members with the School.

The School is obligated under a non-cancelable operating sublease for office and classroom space, which originally expired on August 31, 2020 but was amended and renewed through August 31, 2025. However, the structure of the lease accounts for state "renewal and re-authorization of its charter." In the event that the School is closed by its authorizer, the School would be released from the sublease. Future minimum lease payments are as follows for the years ending June 30,:

2019	\$	1,150,443
2020		1,368,343
2021		1,404,660
2022		1,404,660
2023		1,404,660
Thereafter		<u>3,628,705</u>
	\$	<u>10,361,471</u>

For the years ending June 30, 2018 and 2017, the School incurred building lease and rent costs of \$1,336,763 and \$1,072,056, respectively, of which \$404,220 and \$321,096 was contributed by NY Foundling as a subsidy. Such subsidy has been recorded as contributions in-kind at fair value. These amounts are reflected as both income and expense in the accompanying financial statements. The School owed NY Foundling approximately \$575,000 in building lease and rent costs as of June 30, 2018.

5. PROPERTY AND EQUIPMENT

Property and equipment consist of the following as of June 30,:

	2018	2017	Estimated Useful Lives
Furniture and fixtures	\$ 318,931	\$ 307,574	7 years
Computer hardware and software	370,289	347,099	3 years
Musical instruments	12,470	12,470	3 years
Leasehold improvements	149,088	149,088	10 years
Equipment	<u>133,561</u>	<u>88,952</u>	3 years
	984,339	905,183	
Less: accumulated depreciation	<u>(830,820)</u>	<u>(739,037)</u>	
	<u>\$ 153,519</u>	<u>\$ 166,146</u>	

Depreciation expense for the years ended June 30, 2018 and 2017 was \$91,783 and \$123,156, respectively.

6. WEBSITE

Development costs related to the School's website amounting to \$19,255 have been capitalized as of June 30, 2018 and 2017. These costs are amortized over the estimated life of five years using the straight-line method. Amortization expense for each of the years ended June 30, 2018 and 2017 was \$2,300. Accumulated amortization totaled \$17,821 and \$15,521 as of June 30, 2018 and 2017, respectively.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2018

7. EMPLOYEE BENEFITS

The School adopted a 401(k) retirement plan (the "Plan") which covers most of the employees. The Plan is a defined contribution plan. Employees are eligible to enroll in the Plan either the first day of the Plan year or the first day of the seventh month of the Plan year. Those employees who have completed at least 1 full year of service are also eligible for employer contributions. The Plan provides for the School to contribute up to 4% of participating employee salaries. The School contribution becomes fully vested after the first year. For the years ended June 30, 2018 and 2017, employer contribution expense for the School was \$138,294 and \$112,695, respectively, which is included in retirement benefits in the accompanying statement of functional expenses.

8. RISK MANAGEMENT

The School is exposed to various risks of loss related to torts; thefts of, damage to, and destruction of assets; injuries to employees; and natural disasters. The School maintains commercial insurance to help protect itself from such risks.

The School entered into contractual relationships with certain governmental funding sources. The governmental agencies may request return of funds as a result of noncompliance by the School. The accompanying financial statements make no provision for the possible disallowance or refund.

9. CONCENTRATIONS

Financial instruments that potentially subject the School to a concentration of credit risk include cash accounts at a major financial institution that, at times, exceeded the Federal Deposit Insurance Corporation ("FDIC") insured limit of \$250,000.

The School received approximately 69% and 70% of its total revenue from per pupil funding from the NYCDOE during the years ending June 30, 2018 and 2017, respectively. The School received approximately 13% and 8% of its total revenue from the NY Foundling during the years ending June 30, 2018 and 2017, respectively.

10. TEMPORARILY RESTRICTED NET ASSETS

Temporarily restricted net assets are purpose restricted and consist of \$10,000 for the purpose of upgrading playground equipment at June 30, 2018:

Net assets were released from restrictions during the year ended June 30, 2018 by incurring expenses and the passing of time, thus satisfying the restrictions as follows:

Family coordinator and school nurse	\$ 145,000
Support services/Social worker and behavior specialist	126,000
Time restriction - 2018	150,000
Haven Kids rock music program	27,000
Academic programming	50,000
Afternoon academy	<u>25,000</u>
	<u>\$ 523,000</u>



Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With Government Auditing Standards

To the Board of Trustees
Mott Haven Academy Charter School

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of Mott Haven Academy Charter School (the "School"), which comprise the statement of financial position as of June 30, 2018, and the related statements of activities, functional expenses and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 24, 2018.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the School's internal control over financial reporting ("internal control") to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the School's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations during our audit, we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the School's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to management of the School in a separate letter dated October 24, 2018.

An Independent Member of Baker Tilly International

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the School's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

MBAF CPAs, LLC

New York, NY
October 24, 2018



Entry 5c Additional Financial Docs

Last updated: 10/30/2018

The additional items listed below should be uploaded if applicable. Please explain the reason(s) if the items are not included. Examples might include: a written management letter was not issued; the school did not expend federal funds in excess of the Single Audit Threshold of \$750,000; the corrective action plan will be submitted by the following date (should be no later than 30 days from the submission of the report); etc.

Section Heading

1. Management Letter

<https://nysed-cso-reports.fluidreview.com/resp/20208051/8mMlunnVv3/>

Explanation for not uploading the Management Letter. (No response)

2. Form 990

<https://nysed-cso-reports.fluidreview.com/resp/20208051/FINDqRIBTE/>

Explanation for not uploading the Form 990. (No response)

3. Federal Single Audit

Note: A copy of the Federal Single Audit must be filed with the Federal Audit Clearinghouse. Please refer to OMB Uniform Guidelines for the federal filing requirements.

(No response)

Explanation for not uploading the Federal Single Audit. N/A

4. CSP Agreed Upon Procedure Report

(No response)

Explanation for not uploading the procedure report. N/A

5. Evidence of Required Escrow Account

<https://nysed-cso-reports.fluidreview.com/resp/20208051/pN6H0Nalce/>

Explanation for not uploading the Escrow evidence. (No response)

6. Corrective Action Plan

A **Corrective Action Plan** for Audit Findings and Management Letter Recommendations, which must include:

- a. The person responsible
- b. The date action was taken, or will be taken
- c. Description of the action taken
- d. Evidence of implementation (if available)

(No response)

Explanation for not uploading the Corrective Action Plan. N/A

Mott Haven Academy Charter School

Communication With Those Charged With Governance

October 24, 2018





October 24, 2018

To the Audit Committee
Mott Haven Academy Charter School

We have audited the financial statements of Mott Haven Academy Charter School (the "School") for the year ended June 30, 2018 and are prepared to issue our report thereon dated October 24, 2018. Professional standards require that we provide you with the following information related to our audit. This letter is divided into two sections: 1) required communications from the auditors to those with audit oversight responsibilities and 2) opportunities for strengthening internal controls or enhancing operating efficiency and our related recommendations.

REQUIRED COMMUNICATIONS

A. Our Responsibility under U.S. Generally Accepted Auditing Standards:

As stated in our engagement letter dated May 7, 2018, our responsibility, as described by professional standards, is to express an opinion about whether the financial statements prepared by management with your oversight are fairly presented, in all material respects, in conformity with U.S. generally accepted accounting principles. Our audit of the financial statements does not relieve you or management of your responsibilities. Our responsibility is to plan and perform the audit to obtain reasonable, but not absolute, assurance that the financial statements are free of material misstatement. As part of our audit, we considered the internal control of Mott Haven Academy Charter School. Such considerations were solely for the purpose of determining our audit procedures and not to provide any assurance concerning such internal control. We are responsible for communicating significant matters related to the audit that are, in our professional judgment, relevant to your responsibilities in overseeing the financial reporting process. However, we are not required to design procedures specifically to identify such matters.

B. Planned Scope and Timing of the Audit:

We performed the audit according to the planned scope and timing previously communicated to you in our meeting about planning matters in August 2018.

C. Auditor Independence:

We affirm that MBAF CPAs, LLC is independent with respect to Mott Haven Academy Charter School.

An Independent Member of Baker Tilly International

D. Qualitative Aspects of Accounting Practices:

Management is responsible for the selection and use of appropriate accounting policies. In accordance with the terms of our engagement letter, we will advise management about the appropriateness of accounting policies and their application. The significant accounting policies used by Mott Haven Academy Charter School are described in Note 2 to the financial statements. We noted no transactions entered into by the School during the year for which there is a lack of authoritative guidance or consensus. There are no significant transactions that have been recognized in the financial statements in a different period than when the transaction occurred.

E. Accounting Estimates Used in the Financial Statements:

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. The most sensitive estimates affecting the financial statements were:

Allowance for Doubtful Accounts:

As of June 30, 2018, Mott Haven Academy Charter School recorded grants and other receivables of \$770,314. Management concluded that no allowance for doubtful accounts was necessary. Management calculated based on the assessment of the credit-worthiness of the School's donors, the aged basis of the receivables, as well as economic conditions and historical information. Based on our audit procedures which included a discussion with the school leadership and a review of subsequent collections we concur with management's conclusion.

Functional Statement Allocation:

Management's estimate of the allocation of functional expenses is directly identified with the program or supporting service to which they relate. We evaluated the key factors and assumptions used to develop the estimate in determining that it is reasonable in relation to the financial statements taken as a whole.

Depreciation:

Management's estimate of depreciation is based on estimated useful lives of assets. We evaluated the estimated useful lives of assets in comparison to generally accepted accounting principles in determining that it is reasonable in relation to the financial statements taken as a whole.

F. Sensitive Disclosures Affecting the Financial Statements:

The disclosures in the financial statements are neutral, consistent, and clear. Certain financial statement disclosures are particularly sensitive because of their significance to financial statement users. The most sensitive disclosure(s) affecting the financial statements were:

The disclosure of Risk Management in Note 8 to the financial statements describes various risks to which the School is exposed.

G. Corrected and Uncorrected Misstatements:

Professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that are trivial, and communicate them to the appropriate level of management. Management has corrected all such misstatements. We will identify those adjustments proposed both corrected and uncorrected:

Proposed and Corrected:

There were 5 audit adjustments that were prepared by management and increased net assets by approximately \$16,000. The adjustments were as follows:

- To record receivable for after-school program from NY Foundling, which increased net assets by \$44,400.
- To record additional rent payable, per lease agreement with NY Foundling, which decreased net assets by approximately \$31,600.
- To record DCC grant receivable, which increased net assets by approximately \$12,800.
- To adjust accrued salaries related to DCC grant stipend expense, which decreased net assets by approximately \$5,100.
- To reduce Title II revenue by the amount that was not earned until July/August 2018, which decreased net assets by approximately \$4,600.

Last year there was 1 audit adjustment that did not affect net assets.

Proposed and Uncorrected:

There were no audit adjustments proposed and uncorrected during the fiscal year.

H. Audit Difficulties and Disagreements with Management:

For purposes of this letter, professional standards define a disagreement with management as a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor's report.

We are pleased to report that no such disagreements arose during the course of our audit.

I. Management Representations:

We have requested certain representations from management that are included in the management representation letter dated October 24, 2018.

J. Management Consultations with Other Independent Accountants:

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the School's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

K. Other Audit Findings or Issues:

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the School's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

We wish to thank management and personnel for their support and assistance during our audit. We would be pleased to further discuss the contents of this report with you at your convenience.

This information is intended solely for the use of the Audit Committee, Board of Trustees, and management of Mott Haven Academy Charter School and is not intended to be and should not be used by anyone other than these specified parties.

Very truly yours,

MBAF CPAs, LLC

MBAF CPAs, LLC

EXTENSION FILING INSTRUCTIONS

FORM 8868 FOR FORM 990

FOR THE YEAR ENDING

JUNE 30, 2018

Prepared for	MOTT HAVEN ACADEMY CHARTER SCHOOL 170 BROWN PLACE BRONX, NY 10454
Prepared by	MBAF CPAS, LLC 440 PARK AVE. SOUTH NEW YORK, NY 10016
Amount due	NOT APPLICABLE
Make check payable to	NOT APPLICABLE
Mail extension and check (if applicable) to	NOT APPLICABLE
Extension must be mailed on or before	NOT APPLICABLE
Special Instructions	THE EXTENSION FOR FORM 990 HAS QUALIFIED FOR ELECTRONIC FILING. FORM 8868 EXTENDS THE DUE DATE OF THE ORGANIZATION'S FORM 990 RETURN UNTIL MAY 15, 2019. THE EXTENSION HAS BEEN TRANSMITTED ELECTRONICALLY TO THE IRS AND NO FURTHER ACTION IS REQUIRED.

Application for Automatic Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at www.irs.gov/form8868 .**

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile, click on Charities & Non-Profits, and click on e-file for Charities and Non-Profits.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. MOTT HAVEN ACADEMY CHARTER SCHOOL	Employer identification number (EIN) or 11-3833210
	Number, street, and room or suite no. If a P.O. box, see instructions. 170 BROWN PLACE	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. BRONX, NY 10454	

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

JESSICA NAUIOKAS, PRINCIPAL

• The books are in the care of ▶ **170 BROWN PLACE - BRONX, NY 10454**
Telephone No. ▶ **718-292-7015** Fax No. ▶ **718-292-7823**

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 6-month extension of time until **MAY 15, 2019**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ calendar year _____ or
▶ tax year beginning **JUL 1, 2017**, and ending **JUN 30, 2018**.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.



America's Most Convenient Bank®

T

STATEMENT OF ACCOUNT

MOTT HAVEN ACADEMY CHARTER SCHOOL
170 BROWN PL
BRONX NY 10454-4140

Page: 1 of 2
Statement Period: Apr 01 2018-Jun 30 2018
Cust Ref #: 8920837930-356-T-0
Primary Account #: 00008920837930

Commercial Savings

MOTT HAVEN ACADEMY CHARTER SCHOOL

Account # 00008920837930

ACCOUNT SUMMARY

Statement Balance as of 04/01		70,247.58
Plus	0 Deposits and Other Credits	0.00
Plus	Interest Paid	8.76
Less	0 Checks and Other Debits	0.00
Statement Balance as of 06/30		70,256.34

ACCOUNT ACTIVITY

Transactions by Date

DATE	DESCRIPTION	DEBIT	CREDIT	BALANCE
04/30	INTEREST PAID		2.89	70,250.47
05/31	INTEREST PAID		2.98	70,253.45
06/30	INTEREST PAID		2.89	70,256.34

INTEREST SUMMARY

Beginning Interest Rate	0.05%
Number of days in this Statement Period	91
Interest Earned this Statement Period	8.76
Annual Percentage Yield Earned	0.05%
Interest Paid Year to date	17.42

Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

How to Balance your Account

Begin by adjusting your account register as follows:

- Subtract any services charges shown on this statement.
- Subtract any automatic payments, transfers or other electronic withdrawals not previously recorded.
- Add any interest earned if you have an interest-bearing account.
- Add any automatic deposit or overdraft line of credit.
- Review all withdrawals shown on this statement and check them off in your account register.
- Follow instructions 2-5 to verify your ending account balance.

1. Your ending balance shown on this statement is:
2. List below the amount of deposits or credit transfers which do not appear on this statement. Total the deposits and enter on Line 2.
3. Subtotal by adding lines 1 and 2.
4. List below the total amount of withdrawals that do not appear on this statement. Total the withdrawals and enter on Line 4.
5. Subtract Line 4 from 3. This adjusted balance should equal your account balance.

1	Ending Balance		70,256.34
2	Total Deposits	+	
3	Sub Total		
4	Total Withdrawals	-	
5	Adjusted Balance		

2	DEPOSITS NOT ON STATEMENT	DOLLARS	CENTS
	Total Deposits		2

4	WITHDRAWALS NOT ON STATEMENT	DOLLARS	CENTS

	WITHDRAWALS NOT ON STATEMENT	DOLLARS	CENTS
	Total Withdrawals		4

FOR CONSUMER ACCOUNTS ONLY — IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:

If you need information about an electronic fund transfer or if you believe there is an error on your bank statement or receipt relating to an electronic fund transfer, telephone the bank immediately at the phone number listed on the front of your statement or write to:

TD Bank, N.A., Deposit Operations Dept, P.O. Box 1377, Lewiston, Maine 04243-1377

We must hear from you no later than sixty (60) calendar days after we sent you the first statement upon which the error or problem first appeared. When contacting the Bank, please explain as clearly as you can why you believe there is an error or why more information is needed. Please include:

- Your name and account number.
- A description of the error or transaction you are unsure about.
- The dollar amount and date of the suspected error.

When making a verbal inquiry, the Bank may ask that you send us your complaint in writing within ten (10) business days after the first telephone call.

We will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you have the use of the money during the time it takes to complete our investigation.

INTEREST NOTICE

Total interest credited by the Bank to you this year will be reported by the Bank to the Internal Revenue Service and State tax authorities. The amount to be reported will be reported separately to you by the Bank.

FOR CONSUMER LOAN ACCOUNTS ONLY — BILLING RIGHTS SUMMARY

In case of Errors or Questions About Your Bill:

If you think your bill is wrong, or if you need more information about a transaction on your bill, write us at P.O. Box 1377, Lewiston, Maine 04243-1377 as soon as possible. We must hear from you no later than sixty (60) days after we sent you the FIRST bill on which the error or problem appeared. You can telephone us, but doing so will not preserve your rights. In your letter, give us the following information:

- Your name and account number.
- The dollar amount of the suspected error.
- Describe the error and explain, if you can, why you believe there is an error. If you need more information, describe the item you are unsure about.

You do not have to pay any amount in question while we are investigating, but you are still obligated to pay the parts of your bill that are not in question. While we investigate your question, we cannot report you as delinquent or take any action to collect the amount you question.

FINANCE CHARGES: Although the Bank uses the Daily Balance method to calculate the finance charge on your Moneyline/Overdraft Protection account (the term "ODP" or "OD" refers to Overdraft Protection), the Bank discloses the Average Daily Balance on the periodic statement as an easier method for you to calculate the finance charge. The finance charge begins to accrue on the date advances and other debits are posted to your account and will continue until the balance has been paid in full. To compute the finance charge, multiply the Average Daily Balance times the Days in Period times the Daily Periodic Rate (as listed in the Account Summary section on the front of the statement). The Average Daily Balance is calculated by adding the balance for each day of the billing cycle, then dividing the total balance by the number of Days in the Billing Cycle. The daily balance is the balance for the day after advances have been added and payments or credits have been subtracted plus or minus any other adjustments that might have occurred that day. There is no grace period during which no finance charge accrues. Finance charge adjustments are included in your total finance charge.



Entry 5d Financial Services Contact Information

Last updated: 10/19/2018

Regents, NYCDOE and Buffalo BOE authorized schools should enter the financial contact information requested and upload the independent auditor's report and internal controls reports as one combined file.

MOTT HAVEN ACAD CS (NYC CHANCELLOR)Section Heading

1. School Based Fiscal Contact Information

School Based Fiscal Contact Name	School Based Fiscal Contact Email	School Based Fiscal Contact Phone
Charlie Russell	mha@csbm.com	718-292-7015

2. Audit Firm Contact Information

School Audit Contact Name	School Audit Contact Email	School Audit Contact Phone	Years Working With This Audit Firm
MBAF	kandreazza@mbafcpa.com	212-931-9238	11

3. If applicable, please provide contact information for the school's outsourced financial services firm.

Firm Name	Contact Person	Mailing Address	Email	Phone	Years with Firm
CSBM	Karen Daniels	237 W 35th st suite 301 New York, NY 10001	kdaniels@csbm.com	646-448-8723	11

Mott Haven Academy

PROJECTED BUDGET FOR 2018-2019

July 1, 2018 to June 30, 2019

Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 155. This will populate the data in row 10.

	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL
Total Revenue	6,585,706	3,023,996	671,008	158,094	521,486	10,960,291
Total Expenses	7,687,493	2,203,568	317,900	184,735	828,699	11,222,394
Net Income	(1,101,787)	820,428	353,108	(26,640)	(307,213)	(262,104)
Actual Student Enrollment	359	95				454
Total Paid Student Enrollment	359	95				454

	PROGRAM SERVICES			SUPPORT SERVICES		
	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL

REVENUE

REVENUES FROM STATE SOURCES

Per Pupil Revenue

CY Per Pupil Rate

District of Location

\$15,307.00

School District 2 (Enter Name)

School District 3 (Enter Name)

School District 4 (Enter Name)

School District 5 (Enter Name)

4,454,404	1,274,889	194,912	112,711	361,410	6,398,326
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
4,454,404	1,274,889	194,912	112,711	361,410	6,398,326

Special Education Revenue

-	1,087,520	-	-	-	1,087,520
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Grants

Stimulus

Other

Other State Revenue

-	-	-	-	-	-
-	-	-	-	-	-
436,488	125,141	17,912	7,037	36,534	623,113

TOTAL REVENUE FROM STATE SOURCES

4,890,893	2,487,550	212,824	119,748	397,944	8,108,959
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REVENUE FROM FEDERAL FUNDING

IDEA Special Needs

Title I

Title Funding - Other

School Food Service (Free Lunch)

Grants

Charter School Program (CSP) Planning & Implementation

Other

Other Federal Revenue

-	50,000	-	-	-	50,000
173,864	51,136	-	-	-	225,000
10,833	3,100	474	274	879	15,560
298,656	85,478	13,068	7,418	24,371	428,991
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-

TOTAL REVENUE FROM FEDERAL SOURCES

483,352	189,715	13,542	7,692	25,250	719,551
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LOCAL and OTHER REVENUE

Contributions and Donations, Fundraising

Erate Reimbursement

Interest Income, Earnings on Investments,

NYC-DYCD (Department of Youth and Community Developmt.)

Food Service (Income from meals)

Text Book

Other Local Revenue

1,190,298	340,674	52,084	30,118	96,575	1,709,750
21,128	6,047	925	535	1,714	30,348
35	10	2	1	3	50
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	391,632	-	-	391,632

TOTAL REVENUE FROM LOCAL and OTHER SOURCES

1,211,461	346,731	444,642	30,654	98,292	2,131,780
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TOTAL REVENUE

6,585,706	3,023,996	671,008	158,094	521,486	10,960,291
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EXPENSES

ADMINISTRATIVE STAFF PERSONNEL COSTS

	No. of Positions						
Executive Management	1.00	142,911	30,624	10,208	10,208	10,208	204,159
Instructional Management	1.00	122,513	36,033	-	-	-	158,546
Deans, Directors & Coordinators	2.00	171,545	50,455	-	-	-	222,000
CFO / Director of Finance	-	-	-	-	-	-	-
Operation / Business Manager	-	-	-	-	-	-	-
Administrative Staff	6.00	67,102	19,736	-	51,174	267,017	405,029
TOTAL ADMINISTRATIVE STAFF	10	504,071	136,847	10,208	61,382	277,225	989,734

INSTRUCTIONAL PERSONNEL COSTS

Teachers - Regular	44.00	2,525,692	742,850	91,267	-	-	3,359,809
Teachers - SPED	-	-	-	-	-	-	-
Substitute Teachers	-	-	-	-	-	-	-
Teaching Assistants	13.00	318,065	93,549	86,520	-	-	498,134
Specialty Teachers	4.00	200,216	58,887	-	-	-	259,103
Aides	1.00	8,034	-	5,356	-	40,170	53,560
Therapists & Counselors	11.00	827,891	243,497	-	59,522	59,522	1,190,431
Other - Instructional Staff	1.00	156,306	41,763	-	-	-	198,069
TOTAL INSTRUCTIONAL	74	4,036,203	1,180,546	183,143	59,522	99,692	5,559,106

NON-INSTRUCTIONAL PERSONNEL COSTS

Nurse	1.00	76,461	17,874	4,965	-	-	99,300
Librarian	-	-	-	-	-	-	-
Custodian	1.00	22,500	4,500	1,500	-	1,500	30,000
Security	-	-	-	-	-	-	-
Other - Non-Instructional Staff	3.00	138,947	27,789	9,263	-	9,263	185,263
TOTAL NON-INSTRUCTIONAL	5	237,908	50,163	15,728	-	10,763	314,563
SUBTOTAL PERSONNEL SERVICE COSTS	89	4,778,183	1,367,557	209,079	120,904	387,680	6,863,403

PAYROLL TAXES AND BENEFITS

Payroll Taxes		408,923	117,037	17,893	10,347	33,178	587,379
Fringe / Employee Benefits		451,174	129,130	19,742	11,416	36,606	648,068
Retirement / Pension		116,138	33,240	5,082	2,939	9,423	166,822
TOTAL PAYROLL TAXES AND BENEFITS		976,236	279,407	42,717	24,702	79,207	1,402,269

TOTAL PERSONNEL SERVICE COSTS

5,754,419	1,646,964	251,796	145,606	466,887	8,265,672
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CONTRACTED SERVICES

Accounting / Audit		-	-	-	-	163,500	163,500
Legal		-	-	-	-	4,000	4,000
Management Company Fee		-	-	-	-	-	-
Nurse Services		773	227	-	-	-	1,000
Food Service / School Lunch		-	-	-	-	-	-
Payroll Services		49,415	14,143	2,162	1,250	4,009	70,980
Special Ed Services		-	-	-	-	-	-
Titlment Services (i.e. Title I)		-	-	-	-	-	-
Other Purchased / Professional / Consulting		13,136	3,864	-	-	14,000	31,000
TOTAL CONTRACTED SERVICES		63,324	18,234	2,162	1,250	185,509	270,480

SCHOOL OPERATIONS

Board Expenses		1,044	299	46	26	85	1,500
Classroom / Teaching Supplies & Materials		83,632	24,493	579	335	1,073	110,112
Special Ed Supplies & Materials		-	-	-	-	-	-
Textbooks / Workbooks		27,045	7,955	-	-	-	35,000
Supplies & Materials other		3,133	897	137	79	254	4,500
Equipment / Furniture - Ops		9,276	2,701	152	132	2,738	15,000
Telephone		38,636	11,364	-	-	-	50,000
Technology		19,930	5,826	196	113	363	26,428

Student Testing & Assessment	38,636	11,364	-	-	-	50,000
Field Trips	27,045	7,955	-	-	-	35,000
Transportation (student)	10,443	2,989	457	264	847	15,000
Student Services - other	135,333	39,589	1,188	687	2,203	179,000
Office Expense	-	-	-	824	53,020	53,844
Staff Development	109,997	31,482	4,813	2,783	8,925	158,000
Staff Recruitment	34,809	9,963	1,523	881	2,824	50,000
Student Recruitment / Marketing	1,044	299	46	62	2,049	3,500
School Meals / Lunch	160,122	45,828	7,006	4,052	12,992	230,000
Travel (Staff)	1,044	299	46	26	85	1,500
Fundraising	-	-	-	-	-	-
Other - Operations	-	-	-	-	300	300
TOTAL SCHOOL OPERATIONS	701,171	203,301	16,189	10,265	87,759	1,018,684

FACILITY OPERATION & MAINTENANCE

Insurance	32,721	9,365	1,432	828	2,655	47,000
Janitorial	30,305	8,674	1,326	767	2,459	43,530
Building and Land Rent / Lease	1,007,758	288,429	44,096	25,500	81,765	1,447,548
Repairs & Maintenance	1,030	295	45	26	84	1,480
Equipment / Furniture	-	-	-	-	-	-
Security - Facility	19,493	5,579	853	493	1,582	28,000
Utilities	-	-	-	-	-	-
TOTAL FACILITY OPERATION & MAINTENANCE	1,091,307	312,341	47,752	27,614	88,544	1,567,558

DEPRECIATION & AMORTIZATION

	77,273	22,727	-	-	-	100,000
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DISSOLUTION ESCROW & RESERVES / CONTIGENCY

	-	-	-	-	-	-
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TOTAL EXPENSES

	7,687,493	2,203,568	317,900	184,735	828,699	11,222,394
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NET INCOME

	(1,101,787)	820,428	353,108	(26,640)	(307,213)	(262,104)
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ENROLLMENT - *School Districts Are Linked To Above Entries*

	REGULAR EDUCATION	SPECIAL EDUCATION	TOTAL ENROLLED
District of Location	359	95	454
School District 2 (Enter Name)			-
School District 3 (Enter Name)			-
School District 4 (Enter Name)			-
School District 5 (Enter Name)			-
TOTAL ENROLLMENT	359	95	454
REVENUE PER PUPIL	18,345	31,832	1,478
EXPENSES PER PUPIL	21,414	23,195	700

Principal
Assistant Principals (x2)
Middle School Director, Instructional Leader
Data & Tech Specialist, Ops Associate, Ops Assistants (x3)
Teachers
Teaching Assistants
Art, music, specials
Aide
Support services, specialists
Stipends
Nurse
Porter
Cafeteria staff
Taxes
Health insurance, worker's comp, disability
401k + fees
Annual audit fees, financial management services
Legal
Dental services to students
Payroll services
Enrichment consultants, afterschool, ERate consultant
Board expenses
Classroom supplies & materials
Textbooks
Student health/medical supplies
Classroom & office furniture
Telephone & Internet
Technology supplies, maintenance service

Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee

Trustee Name:

William Baccaglioni

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

Mott Haven Academy

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative).

2. Is the trustee an employee of any school operated by the Education Corporation?
 Yes No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?

Yes No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.


4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

<i>Please write "None" if applicable. Do not leave this space blank.</i>
--

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				


 Signature _____ Date 7/17/18

Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.

Business Telephone: 212-886-4075

Business Address: 590 Avenue of the Americas New York, NY 10011

E-mail Address: janleesa.wise@myfoundling.org

Home Telephone: 212-886-4005

Home Address: 300 Albany Street 91 New York, NY 10280

Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee

Trustee Name:

Janet Campagna

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

Motthaven Academy

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative). Treasurer

2. Is the trustee an employee of any school operated by the Education Corporation?
 ___ Yes X No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date. N/A

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?
 ___ Yes X No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date. N/A


4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

<p>NONE <i>Please write "None" if applicable. Do not leave this space blank.</i></p>
--

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<p><i>Please write "None" if applicable. Do not leave this space blank.</i></p> <p>NONE</p>				


07/26/2018

 Signature Date

Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.

Business Telephone: 212-886-9215

Business Address: QS INVESTORS, 880 THIRD AVENUE, NY NY 10022

E-mail Address: janet.campagna@qsinvestors.com

Home Telephone: 212-327-0851

Home Address: 75 East End Avenue, Apt. 10A; NY, NY 10028

Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee

Trustee Name:

Kathleen Chicchi Flores

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

Mott Haven Academy

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative).

2. Is the trustee an employee of any school operated by the Education Corporation?
 Yes No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?
 Yes No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.


Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

Please write "None" if applicable. Do not leave this space blank.

NONE

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<p>Please write "None" if applicable. Do not leave this space blank.</p> <p style="color: blue; font-size: 2em;">NONE</p>				

Signature  Date 7/30/18

Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.

Business Telephone: 212-715-6779

Business Address: 725 5th Ave, NY NY 10022

E-mail Address: Kathleen415@gmail.com

Home Telephone: 973-216-6224 (mobile)

Home Address: 250 W. 99th St, 5A, NY NY 10025

Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee

Trustee Name:

Meghan Mackay

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

Mott Haven Academy Charter School

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative).

2. Is the trustee an employee of any school operated by the Education Corporation?
 Yes **No**

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?
 Yes **No**

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

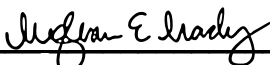
4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

<i>Please write "None" if applicable. Do not leave this space blank.</i>			
None	None	None	None

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
None	None	None	None	None


 Signature _____ Date July 25, 2018

Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.

Business Telephone: 415.260.5693 _____

Business Address: _____

E-mail Address: meghanmackay@gmail.com _____

Home Telephone: 415.260.5693 _____

Home Address: 47 W. 70th Street, New York, NY 10023 _____

Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee

Trustee Name:

PATRICIA MULVANEY

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

MOTT HAVEN ACADEMY CHARTER SCHOOL

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative). Board chair

2. Is the trustee an employee of any school operated by the Education Corporation?
 Yes No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?
 Yes No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

Please write "None" if applicable. Do not leave this space blank.
 * NONE *

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
Please write "None" if applicable. Do not leave this space blank. * NONE *				

Signature Patricia Mulvaney Date 7/30/18

Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.

Business Telephone: 646.471.5868

Business Address: 300 Madison Ave, New York, NY 10017

E-mail Address: patricia.mulvaney@pwc.com

Home Telephone: 732.530.5133

Home Address: 9 Buttonwood Dr., Shrewsbury NJ 07701

Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee

Trustee Name:

Russell Valdez

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

Mott Haven Academy Charter School

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative).

Director

2. Is the trustee an employee of any school operated by the Education Corporation?
 Yes No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?

Yes No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

Please write "None" if applicable. Do not leave this space blank.			
None	None	None	None

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
Please write "None" if applicable. Do not leave this space blank.				
None	None	None	None	None


7/26/2018
 Signature _____ Date _____

Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.

Business Telephone: 212-759-3700

Business Address: 345 Park Ave, 41st Flr, NY, NY 10154

E-mail Address: russvaldez@gmail.com

Home Telephone: 917-494-8047

Home Address: 1702 Windsor Rd, Austin, TX 78703

Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee

Trustee Name:

Whitney Kneistey

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

Mott Haven Academy Charter School

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative). Trustee

2. Is the trustee an employee of any school operated by the Education Corporation?
 Yes No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?

Yes No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

<i>None</i>	<i>"None" if applicable.</i>	<i>Do not leave this space blank</i>
-------------	------------------------------	--------------------------------------

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
<i>None</i>	<i>None</i>	<i>None</i>	<i>None</i>	<i>None</i>


7/25/18

 Signature Date

Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.

Business Telephone: 914-834-8857

Business Address: 1 Woodbine Ave, Larchmont, NY 10538

E-mail Address: execdirector@stormtrysail.org

Home Telephone: 914-834-1340

Home Address: 57 Edgewood Ave, Larchmont, NY 10538



Entry 8 BOT Table

Created: 07/17/2018 • Last updated: 09/27/2018

1. Current Board Member Information (Enter info for each BOT member)

	Trustee Name and Email Address	Position on the Board	Committee Affiliations	Voting Member Per By-Laws (Y/N)	Number of Terms Served	Start Date of Current Term (MM/DD/YYYY)	End Date of Current Term (MM/DD/YYYY)	Board Meetings Attended During 2017-18
1	Patricia Mulvaney patricia.mulvaney@pwc.com	Chair	Finance	Yes	10	03/01/2008	01/01/2070	11
2	William F. Baccaglino bill.baccaglino@nyfoundling.org	Trustee/Member	Education/Fundraising	Yes	10	03/01/2008	01/01/2070	10
3	Janet Campagna janet.campagna@qsinvestors.com	Treasurer	Finance	Yes	10	03/01/2008	01/01/2070	5 or less
4	Jessica Nauiokas jnauiokas@havanaacademy.org	Secretary	Education	No	10	03/01/2008	01/01/2070	12
5	Whitney Kneisley wkneisley@yahoo.com	Trustee/Member	Education/Fundraising	Yes	8	11/01/2010	01/01/2070	5 or less
6	Kathleen Chiechi Flores kathleen	Trustee/Member	Education	Yes	5	05/01/2013		5 or less

	415@gmail.com							
7	Meghan Mackay meghanmackay@gmail.com	Trustee/Member	Education/Fundraising	Yes	9	11/01/2009		9
8	Russ Valdez russvaldez@gmail.com	Trustee/Member	Law/Finance	Yes	5	05/01/2013	01/29/2018	5 or less
9				No				

1a. Are there more than 9 members of the Board of Trustees? No

2. Total number of members on June 30, 2018 8

3. Total number of members joining the Board during the 2017-18 school year 0

4. Total number of members departing the Board during the 2017-18 school year 1

5. Number of voting members in 2017-18, as set by the by-laws, resolution or minutes 7

6. Number of Board meetings conducted during the 2017-18 School Year 12

7. Number of Board meetings 12
scheduled for the coming 2018-
19 school year

Thank you.



Entry 9 - Board Meeting Minutes

Last updated: 07/30/2018

[Instructions for submitting minutes of the BOT monthly meetings](#)

Regents, NYCDOE, and Buffalo BOE authorized schools must either provide a link to a complete set of minutes that are posted on the charter school website, or upload a complete set of board meeting minutes from July 2017--June 2018, which should match the number of meetings held during the 2017-18 school year.

MOTT HAVEN ACAD CS (NYC CHANCELLOR)

Are all monthly BOT meeting minutes posted, which should match the number of meetings held during 2017-18 school year, on the charter school's website?

Yes

the charter school's website.

A. Provide if posted on the charter school's website a URL link to the Monthly Board Meeting Minutes, which should match the number of meetings held during the 2017-18 school year.

<https://havenacademy.org/wp-content/uploads/2018/07/2017-2018-Signed-board-minutes-July-June.pdf>



Entry 10 Enrollment and Retention of Special Populations

Created: 07/17/2018 • Last updated: 07/26/2018

[Instructions for Reporting Enrollment and Retention Strategies](#)

Describe the efforts the charter school has made in 2017-18 toward meeting targets to attract and retain enrollment of students with disabilities, English language learners, and students who are economically disadvantaged. In addition, describe the school's plans for meeting or making progress toward meeting its enrollment and retention targets in 2018-19.

MOTT HAVEN ACAD CS (NYC CHANCELLOR)Section Heading

Recruitment/Attraction Efforts Toward Meeting Targets

	Describe Recruitment Efforts in 2017-18	Describe Recruitment Plans in 2018-19)
Economically Disadvantaged	<p>97% of our student population is eligible for Free or Reduced Price lunch, compared to 93% in District 7. Our school is situated in the South Bronx in one of the poorest congressional districts in the country. We work closely with many community organizations in the neighborhood to recruit our student population from the surrounding areas. We always have a wait-list for admission that includes mostly students from the surrounding neighborhoods who are economically disadvantaged. Since we opened a middle school during this school year, we were planful about middle school design and recruitment efforts to ensure that our seats are filled by our target population (especially economically disadvantaged.) Efforts included: Filling seats with mission fit students, recruiting at child-welfare agencies, advertising in locations likely to have FRPL students such as homeless shelters.</p>	<p>Since our efforts have been effective we will continue to work closely with many community organizations in the neighborhood to recruit our student population from the surrounding areas. We always have a wait-list for admission that includes mostly students from the surrounding neighborhoods who are economically disadvantaged. Efforts include: Filling seats with mission fit students, recruiting at child-welfare agencies, advertising in locations likely to have FRPL students such as homeless shelters.</p>
English Language Learners	<p>18% of our student population are ELLs, all being Spanish speaking, which is the same as our District (7). We publish all school recruitment material in Spanish and English in order to reach all families in our community. We employ Spanish speaking recruitment staff to ensure that the process is smooth and easy for all families. Our school offers appropriate ELL services for all students required to participate in these services. For our new Middle School we continued to make application and/or orientation processes accessible for non-English speaking community members. Additional staff members have been added who bring experience with this population.</p>	<p>Since our efforts have been effective we will continue to publish all school recruitment material in Spanish and English in order to reach all families in our community. Employ Spanish speaking recruitment staff to ensure that the process is smooth and easy for all families. Offer appropriate ELL services for all students required to participate in these services. For our new Middle School we continue to make application and/or orientation processes accessible for non-English speaking community members. Additional staff members have been added who bring experience with this population.</p>
Students with Disabilities	<p>26% of our students are classified as Students with Disabilities, which is the same as our District (7). Our Director of SPED works with families through the application and recruitment process to ensure that students are in the right placement and have all needed accommodations in place when they enter our school.</p>	<p>Our Director of SPED works with families through the application and recruitment process to ensure that students are in the right placement and have all needed accommodations in place when they enter our school.</p>

Retention Efforts Toward Meeting Targets

	Describe Retention Efforts in 2017-18	Describe Retention Plans in 2018-19)
Economically Disadvantaged	97% of our population is economically disadvantaged and that number is mirrored closely in our surrounding community. We continue to work closely with community organizations and in-house social emotional teams to ensure that our families' needs are met and they feel supported at Haven Academy. While designing our Middle School we collected input from our constituents to ensure the school's program met their educational priorities. Due to our efforts we successfully matriculated 98% of our Elementary School students of middle school age.	We continue to work closely with community organizations and in-house social emotional teams to ensure that our families' needs are met and they feel supported at Haven Academy. Due to our efforts we successfully matriculated 100% of our Elementary School students of middle school age for this school year.
English Language Learners	Haven Academy will continue to offer ELL services to meet the needs of our ELL students and their families. Our Spanish speaking staff and Spanish materials will help our families of ELLs to continue to thrive in our school environment.	Haven Academy will continue to offer ELL services to meet the needs of our ELL students and their families. Our Spanish speaking staff and Spanish materials will help our families of ELLs to continue to thrive in our school environment.
Students with Disabilities	Haven Academy has a dedicated SPED director and CTT classrooms on every grade level to ensure that the needs of our SPED scholars are always met. We worked closely with the scholars' families and the CSE to ensure that proper and stellar services are offered. Our Middle School design included a process for academic programming that can be accessible to all students, regardless of disability. With appropriate supports, 99% of our IEP students are matriculated to our Middle School.	Haven Academy will continue to have a dedicated SPED director and CTT classrooms on every grade level to ensure that the needs of our SPED scholars are always met. We continue to work closely with the scholars' families and the CSE to ensure that proper and stellar services are offered. With appropriate supports, 100% of our IEP students are matriculated to our Middle School.



Entry 11 Classroom Teacher and Administrator Attrition

Last updated: 07/18/2018

Report changes in teacher and administrator staffing.

Instructions for completing the Classroom Teacher and Administrator Attrition Tables

Charter schools must complete the tables titled 2017-2018 Classroom Teacher and Administrator Attrition to report changes in teacher and administrator staffing during the 2017-2018 school year. Please provide the full time equivalent (FTE) of staff on June 30, 2017; the FTE for any departed staff from July 1, 2017 through June 30, 2018; the FTE for added staff from July 1, 2017 through June 30, 2018; and the FTE of staff added in newly created positions from July 1, 2017 through June 30, 2018 using the tables provided.

1. Classroom Teacher Attrition Table

FTE Classroom Teachers on 6/30/17	FTE Classroom Teachers Departed 7/1/17 - 6/30/18	FTE Classroom Teachers Filling Vacant Positions 7/1/17 - 6/30/18	FTE Classroom Teachers Added in New Positions 7/1/17 - 6/30/18	FTE of Classroom Teachers on 6/30/18
28	2	0	0	26

2. Administrator Position Attrition Table

FTE Administrative Positions on 6/30/17	FTE Administrators Departed 7/1/17 - 6/30/18	FTE Administrators Filling Vacant Positions 7/1/17 - 6/30/18	FTE Administrators Added in New Positions 7/1/17 - 6/30/18	FTE Administrative Positions on 6/30/18
5	0	0	0	5

3. Tell your school's story

Charter schools may provide additional information in this section of the Annual Report about their respective teacher and administrator attrition rates as some teacher or administrator departures do not reflect advancement or movement within the charter school networks. Schools may provide additional detail to reflect a teacher's advancement up the ladder to a leadership position within the network or an administrator's movement to lead a new network charter school.

(No response)

4. Charter schools must ensure that all prospective employees receive clearance through [the NYSED Office of School Personnel Review and Accountability \(OSPRA\)](#) prior to employment. After an employee has been cleared, schools are required to maintain proof of such clearance in the file of each employee. For the safety of all students, charter schools must take immediate steps to terminate the employment of individuals who have been denied clearance. Once the employees have been terminated, the school must terminate the request for clearance in the TEACH system.

Have all employees have been cleared through the NYSED TEACH system?

Yes

5. For perspective or current employees whose clearance has been denied, have you terminated their employment and removed them from the TEACH system?

Yes

Thank you



Entry 12 Uncertified Teachers

Created: 07/18/2018 • Last updated: 07/25/2018

**FTE Count of All Teachers 32
(Certified and Uncertified) as of
6/30/18**

**FTE Count of All Certified 23
Teachers as of 6/30/18**

Instructions for Reporting Percent of Uncertified Teachers

The table below is reflective of the information collected through the online portal for compliance with New York State Education Law 2854(3)(a-1) for teaching staff qualifications. Enter the relevant full time equivalent (FTE) count of teachers in each column. For example, a school with 20 full time teachers and 5 half time teachers would have an FTE count of 22.5. If more than one column applies to a particular teacher, please select one column for the FTE count. Please do not include paraprofessionals, such as teacher assistants.

FTE count of uncertified teachers on 6/30/18, and each uncertified teacher should be counted only once.

	FTE Count
1. Total FTE count of uncertified teachers (6-30-18)	9
2. FTE count of uncertified teachers with at least three years of elementary, middle or secondary classroom teaching experience (6-30-18)	8
3. FTE count of uncertified teachers who are tenured or tenure track college faculty (6-30-18)	0
4. FTE count of uncertified teachers with two years of Teach for America experience (6-30-18)	0
5. FTE count of uncertified teachers with exceptional business, professional, artistic, athletic, or military experience (6-30-18)	0
6. FTE count of uncertified teachers who do not fit into any of the prior four categories (6-30-18)	1

Thank you.

Haven Academy Board of Trustees

Head of Schools

Organizational Systems

Elementary School Principal

Middle School Principal

Operations Directors:

- Director of External Affairs
- Director of Human Resources and Students Operations

Academic Program Directors:

- Director Data of Technology
- Director of Special Education
- Director of Cultural and Ling. Services

Director of Facilities

Operations Associates

Food Service Managers

Assistant Principal - Elementary

Director of Social Services - Elementary

Academic Behavioral Specialists

Family and Student Specialists

Teachers

Social Work Interns

Interventionists

Special Area Teachers

Associate Teachers

Assistant Teachers

Assistant Principal - Middle School

Director of Social Services - Middle

Academic Behavioral Specialists

Social Work Interns

Teachers

Interventionists

Assistant Teachers

2018-2019 Haven Academy SCHOOL CALENDAR

August 29, 2018	Wednesday	First day of school	
September 3, 2018	Monday	Labor Day	NO SCHOOL
September 6, 2018	Thursday	Back to School Night (5:45pm (3rd Grade- 5:00pm)	
September 10-11, 2018	Monday-Tuesday	Rosh Hashanah	NO SCHOOL
September 19, 2018	Wednesday	Yom Kippur	NO SCHOOL
October 8, 2018	Monday	Columbus Day	NO SCHOOL
November 5, 2018	Monday	Staff Development	NO SCHOOL
November 6, 2018	Tuesday	Election Day	NO SCHOOL
November 12, 2018	Monday	Veteran's Day	NO SCHOOL
November 21-23, 2018	Wednesday-Friday	Thanksgiving	NO SCHOOL
December 24, 2018- January 4, 2019	10 Days (Students return to school on January 7, 2019)	Winter Recess	NO SCHOOL
January 21, 2019	Monday	Dr. MLK, Jr. Day	NO SCHOOL
February 5, 2019	Tuesday	Staff Development	NO SCHOOL
February 18-22, 2019	5 Days	Mid-Winter Recess	NO SCHOOL
April 19-26, 2019	6 Days	Spring Recess	NO SCHOOL
May 27, 2019	Monday	Memorial Day	NO SCHOOL
June 4, 2019	Tuesday	Eid al-Fitr	NO SCHOOL
June 6, 2019	Thursday	Chancellor's Day	NO SCHOOL
June 17, 2019	Monday	Field Day	HALF DAY
June 20, 2019	Thursday	LAST DAY OF SCHOOL	HALF DAY