

Application: Mott Haven Academy Charter School

Zennea Chetta - zchetta@havenacademy.org
2021-2022 Annual Report

Summary

ID: 0000000012

Status: Annual Report Submission

Last submitted: Oct 28 2022 04:43 PM (EDT)

Entry 1 School Info and Cover Page

Completed - Jul 28 2022

[Instructions](#)

Required of ALL Charter Schools

Each Annual Report begins with a completed School Information and Cover Page. The information is collected in a survey format within Annual Report portal. When entering information in the portal, some of the following items may not appear, depending on your authorizer and/or your responses to related items.

Entry 1 School Information and Cover Page

(New schools that were not open for instruction for the 2021-2022 school year are not required to complete or submit an annual report this year).

Please be advised that you will need to complete this cover page (including signatures) before all of the other tasks assigned to you by your school's authorizer are visible on your task page. While completing this cover page task, please ensure that you select the correct authorizer **(as of June 30, 2022)** or you may not be assigned the correct tasks.

BASIC INFORMATION

a. SCHOOL NAME

(Select name from the drop down menu)

MOTT HAVEN ACADEMY CHARTER SCHOOL 800000061086

a1. Popular School Name

Haven Academy

b. CHARTER AUTHORIZER (As of June 30th, 2021)

Please select the correct authorizer as of June 30, 2022 or you may not be assigned the correct tasks.

NEW YORK CITY CHANCELLOR OF EDUCATION

d. DISTRICT / CSD OF LOCATION

CSD # 7 - BRONX

e. DATE OF INITIAL CHARTER

1/2008

f. DATE FIRST OPENED FOR INSTRUCTION

8/2008

c. School Unionized

Is your charter school unionized?

No

f. APPROVED SCHOOL MISSION (Regents, NYCDOE, and Buffalo BOE authorized schools only)

MISSION STATEMENT

Haven Academy is redefining school by intentionally designing spaces and programs to meet the academic and social-emotional needs of all children, with a focus on those impacted by the child welfare system. United with our scholars and their families, we aim to dismantle systemic barriers to success through comprehensive support services, responsive curriculum, predictability, and an emphasis on voice and choice. We lead with empathy and forge deep relationships to build a better future in service of our resilient, resourceful, and independent scholars.

g. KEY DESIGN ELEMENTS (Regents, NYCDOE, and Buffalo BOE authorized schools only)

KEY DESIGN ELEMENTS (Briefly describe each Key Design Elements (KDE) as presented in the schools approved charter. KDEs are those general aspects of the school that are innovative or unique to the school’s mission and goals, are core to the school’s overall design, and are critical to its success.

| | |
|-------|--|
| KDE 1 | Trauma-Informed Environment We maintain a safe, nurturing climate by dedicating significant time to the development of our school culture with a specific focus on social emotional (SE) programming. All staff are trained in the effects of trauma on learning and building positive attachments using approaches like Responsive Classroom, Love and Logic, and PBIS. Our SE staff use evidence-based practices such as Second Step to teach key social skills like self-regulation and problem solving. |
| | Data Driven Decision Making |

| | |
|-------|---|
| KDE 2 | Haven Academy thoughtfully uses assessments and data to drive our curriculum development and daily student instruction. Instructional Staff and Leadership regularly collect and analyze data on individual scholar progress and school wide trends to differentiate instruction, guide daily teaching, and inform areas that require remediation and support. |
| KDE 3 | <p>Supportive School Culture and Climate</p> <p>Haven Academy is committed to teaching students to be problem solvers and critical thinkers, to respect and challenge intellectual ideas, to resolve conflicts peacefully, and form opinions in an environment that values trust. We continue to implement evidence-based Social Emotional curricula as well as school-wide Positive Behavior Supports.</p> |
| KDE 4 | <p>Rigorous Standards-Based Curriculum</p> <p>Significant efforts are undertaken to align the school's curriculum with the Common Core Learning Standards. We utilize a curriculum revision protocol in order to guarantee that all of the Common Core Learning Standards are taught in ELA and math. We have detailed scope and sequences, curriculum maps, and assessment schedules, which allow for teachers and coaches to continue the work of developing unit and lesson plans during weekly grade level meetings and check-ins.</p> |
| KDE 5 | <p>High Attendance Percentages and Systems</p> <p>We believe high attendance for all students is critical to academic success. We take pride in high daily attendance rates and our reduction in chronic absenteeism. Our multi-disciplinary attendance team tracks absences on a daily basis and follows up with families by phone with each absence. We also provide more intensive coaching for families with chronic absenteeism by pairing them with a specific staff member who helps identify barriers, reduce those barriers, and reinforce attendance.</p> |
| KDE 6 | Parents as Partners |

| | |
|--------|--|
| | <p>Haven Academy provides comprehensive wrap around services to our families to ensure the consistency of support available both at home and school through one-on-one coaching, workshops, and other parent engagement opportunities. We value the relationship we cultivate with our families and work tirelessly to ensure that our families are not only heard but that their input informs our daily functioning and programming.</p> |
| KDE 7 | <p>Community Partnership At the core of our mission, is our partnership with The New York Foundling. Additionally, we work in tandem with several other child welfare agencies as well as other community based organizations that provide our families with critical needs such as housing, medical, and mental health resources. We employ several support staff members who help facilitate these partnerships and make resources available to families.</p> |
| KDE 8 | (No response) |
| KDE 9 | (No response) |
| KDE 10 | (No response) |

Need additional space for variables

No

h. SCHOOL WEB ADDRESS (URL)

<http://havenacademy.org/>

i. Total Approved Charter Enrollment for 2021-2022 School Year (exclude Pre-K program enrollment)

468

j. Total Enrollment on June 30, 2022 (exclude Pre-K program enrollment)

446

k. Grades Served during the 2021-2022 School Year (exclude Pre-K program students)

Check all that apply

Grades Served

K, 1, 2, 3, 4, 5, 6, 7, 8

l. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?

No

FACILITIES INFORMATION

m. FACILITIES

Will the school maintain or operate multiple sites in 2022-2023?

No, just one site.

School Site 1 (Primary)

m1. SCHOOL SITES

Please provide information on Site 1 for the upcoming school year.

| | Physical Address | Phone Number | District/CSD | Grades to be Served at Site for coming year (K-5, 6-9, etc.) | Receives Rental Assistance for Which Grades (If yes, enter the appropriate grades. If no, enter No). |
|--------|------------------------------------|--------------|--------------|--|--|
| Site 1 | 170 Brown Pl Bronx, Ny 10454 | 7182927015 | NYC CSD 7 | K-8 | 6-8 |

m1a. Please provide the contact information for Site 1.

| | Name | Title | Work Phone | Alternate Phone | Email Address |
|---|------------------|-----------------------------|--------------|-----------------|--|
| School Leader | Jessica Nauiokas | Executive Director | 347-854-0835 | 718-292-7015 | jnauiakas@havenacademy.org |
| Operational Leader | Sherrie Henry | COTO | 347-854-0826 | 718-292-7015 | shenry@havenacademy.org |
| Compliance Contact | Zennea Chetta | Director of Data | 718-292-7015 | 516-698-9229 | zchetta@havenacademy.org |
| Complaint Contact | Michael Windram | Principal | 718-292-7015 | 347-854-0820 | mwindram@havenacademy.org |
| DASA Coordinator | Vivian Colon | Director of Social Services | 917-977-1916 | 718-292-7015 | vcolon@havenacademy.org |
| Phone Contact for After Hours Emergencies | Jessica Nauiokas | Executive Director | 347-854-0835 | 917-806-0777 | jnauiakas@havenacademy.org |

m1b. Is site 1 in public (co-located) space or in private space?

Private Space

IF LOCATED IN PRIVATE SPACE IN NYC OR IN DISTRICTS OUTSIDE NYC

m1d. Upload a current Certificate of Occupancy (COO) and the annual Fire Inspection Report for school site 1 if located in private space in NYC or located outside of NYC .

Certificate of Occupancy and Fire Inspection. Provide a copy of a current and non-expired certificate of occupancy (if outside NYC or in private space in NYC). For schools that are not in district space (NYC co-locations), provide a copy of a current and non-expired certificate of occupancy, and a copy of the current annual fire inspection results, which should be dated on or after July 1, 2021.

- **Fire inspection certificates must be updated annually. For the upcoming school year 2022-2023, the fire inspection certificate must be dated after July 1, 2021.**
- **If the fire inspection certificate is dated after the August 1, 2022 submission of the Annual Report, please submit the new certificate with the Annual Report entries due on November 1, 2022.**

Site 1 Certificate of Occupancy (COO)

[Certificate of Occupancy 170 Brown UPDATED.pdf](#)

Filename: Certificate of Occupancy 170 Brown UPDATED.pdf **Size:** 88.8 kB

Site 1 Fire Inspection Report

[6-17-22 High Rise Report of Test and Inspect 544222.pdf](#)

Filename: 6-17-22 High Rise Report of Test and Inspect 544222.pdf **Size:** 228.1 kB

CHARTER REVISIONS DURING THE 2021-2022 SCHOOL YEAR

n1. Were there any revisions to the school's charter during the 2021-2022 school year? (Please include approved or pending material and non-material charter revisions).

No

o. Has your school's Board of Trustee's approved a budget for the 2021-2022 FY?

Yes

ATTESTATIONS

p. Individual Primarily Responsible for Submitting the Annual Report. (To write type in a phone number with an extension, please use this format: 123-456-7890-3. The dash and number 3 at the end of the phone number refers to the individual's phone extension. Do not type in the work extension or the abbreviation for it - just the dash and the extension number after the phone number).

| | |
|-----------------|--|
| Name | Zennea Chetta |
| Position | Director of Data and Technology |
| Phone/Extension | 718-292-7015 |
| Email | zchetta@havenacademy.org |

p. Our signatures (Executive Director/School Leader/Head of School and Board President) below attest that our school has reviewed, understands and will comply with the employee clearance and fingerprint requirements as outlined in Entry 10 and found in the [NYSED CSO Fingerprint Clearance Oct 2019 Memo](#). Click **YES to agree.**

Responses Selected:

Yes

q. Our signatures (Executive Director/School Leader/Head of School and Board President) below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Click **YES** to agree and then use the mouse on your PC or the stylus on your mobile device to sign your name).

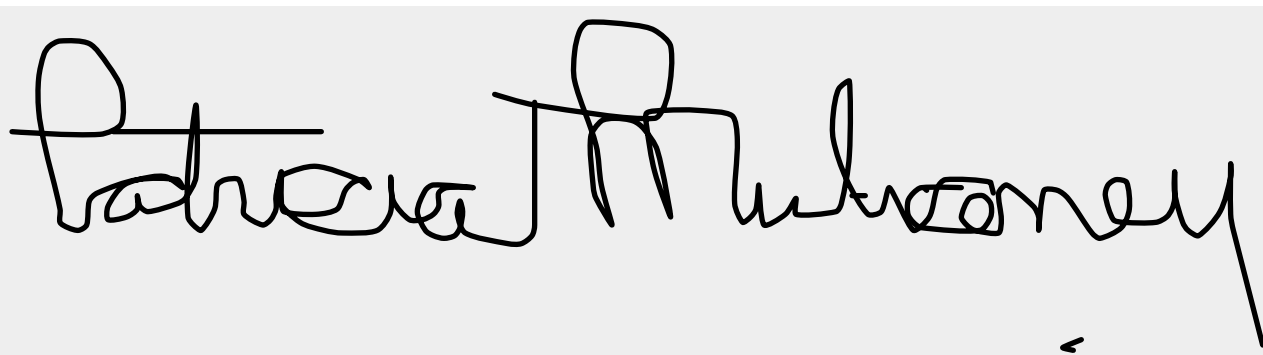
Responses Selected:

Yes

Signature, Head of Charter School

A handwritten signature in black ink on a light gray background. The signature is stylized, starting with a large loop on the left, followed by a horizontal line, a sharp upward stroke, and a long horizontal tail on the right.

Signature, President of the Board of Trustees

A handwritten signature in black ink on a light gray background. The signature is cursive and appears to read "Barbara Mulhoney". It features a large initial 'B' and a long, sweeping tail on the right side.

Date

Jul 14 2022

Thank you.



Entry 3 Progress Toward Goals

Completed - Oct 25 2022

Instructions

Regents, NYCDOE, and Buffalo BOE-Authorized Charter Schools ONLY

The following tables reflect formatting in the online portal required for Board of Regents, NYCDOE, and Buffalo BOE authorized charter schools only. These charter schools should report all Progress Toward Charter Goals as per their currently approved charters no later than **November 1, 2022**.

PLEASE NOTE: This is a required task, and it is marked optional for administrative purposes only.

Entry 3 Progress Toward Goals

PROGRESS TOWARD CHARTER GOALS

Regents, NYCDOE, and Buffalo BOE-Authorized Charter Schools ONLY

Complete the tables provided. List each goal and measure as contained in the school's currently approved charter, and indicate whether the school has met or not met the goal. Please provide information for all goals no later than November 2, 2022.

MOTT HAVEN ACADEMY CHARTER SCHOOL 800000061086

1. ACADEMIC STUDENT PERFORMANCE GOALS

Regents, NYCDOE, and Buffalo BOE-Authorized Charter Schools ONLY

The following tables reflect formatting in the online portal required for Board of Regents, NYCDOE, and Buffalo BOE authorized charter schools only. These charter schools should report all Progress Toward Charter Goals as per their currently approved charters no later than **November 1, 2022**.

2021-2022 Progress Toward Attainment of Academic Goals

| | Academic Student Performance Goal | Measure Used to Evaluate Progress Toward Attainment of Goal | Goal - Met, Not Met or Unable to Assess | If not met, describe efforts the school will take to meet goal. If unable to assess goal, type N/A for Not Applicable |
|-----------------|---|---|---|---|
| Academic Goal 1 | For each year of the school's next charter term, the school will show academic performance with a percent of students proficient at or above Level 3 that meets or exceeds the percent proficient of the Community School District (CSD) of location and also meets or exceeds the citywide percent proficient on the New York State ELA examination. | New York State ELA examination | Not Met | Goal Partially Met Exceeded District 7 and comparable to NYC in most grades |

| | | | | |
|-----------------|---|---------------------------------|------------------|--|
| Academic Goal 2 | <p>For each year of the school's next charter term, the school will show academic performance with a percent of students proficient at or above Level 3 that meets or exceeds the percent proficient of the Community School District (CSD) of location and also meets or exceeds the citywide percent proficient on the New York State math examination.</p> | New York State Math examination | Not Met | <p>Goal Partially Met</p> <p>Exceeded District 7 and comparable to NYC in most grades, exceeded NYC in 3rd grade</p> |
| Academic Goal 3 | <p>For each year of the school's next charter term, each grade-level cohort will demonstrate growth with a reduction by a half the gap between the percent at or above Level 3 on the previous year's NYS ELA exam (baseline) and the CSD or citywide percent (whichever is higher) of students proficient at or above Level 3 on the current year's State ELA exam. For schools in which the number of</p> | New York State ELA examination | Unable to Assess | <p>Not an adequate number of students tested in previous year to have comparative data</p> |

| | | | | |
|-----------------|---|---------------------------------|------------------|---|
| | <p>students scoring above proficiency in a grade-level cohort exceeded the CSD or citywide percent proficient (whichever is higher) on the previous year's ELA exam, the school is expected to demonstrate growth comparable to the CSD in the current year</p> | | | |
| Academic Goal 4 | <p>For each year of the school's next charter term, each grade-level cohort will demonstrate growth with a reduction by a half the gap between the percent at or above Level 3 on the previous year's NYS math exam (baseline) and the CSD or citywide percent (whichever is higher) of students proficient at or above Level 3 on the current year's State math exam. For schools in which the number of students scoring above proficiency in a grade-level cohort exceeded</p> | New York State Math examination | Unable to Assess | Not an adequate number of students tested in previous year to have comparative data |

| | | | | |
|-----------------|--|---|------------|--|
| | <p>the CSD or citywide percent proficient (whichever is higher) on the previous year's math exam, the school is expected to demonstrate growth comparable to the CSD in the current year</p> | | | |
| Academic Goal 5 | <p>In each year of the charter term, 75 percent of students enrolled for three or more years will perform at or above a level 3 on the NYS fourth grade science exam.</p> | <p>NYS fourth grade science examination</p> | <p>Met</p> | |
| Academic Goal 6 | <p>In each year of the charter term, for child welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS ELA assessment, the percent proficient will meet or exceed the proficiency demonstrated by the students in the</p> | <p>New York State ELA examination</p> | <p>Met</p> | |

| | | | | |
|-----------------|---|--|------------|--|
| | <p>same tested grades in the district of location.</p> | | | |
| Academic Goal 7 | <p>In each year of the charter term, for child welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS mathematics assessment, the percent proficient will meet or exceed the proficiency demonstrated by the students in the same tested grades in the district of location</p> | <p>New York State Math examination</p> | <p>Met</p> | |
| Academic Goal 8 | <p>In each year of the charter term, for general community/non-child welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS ELA assessment, the percent proficient will meet or exceed the proficiency demonstrated by students in the</p> | <p>New York State ELA examination</p> | <p>Met</p> | |

| | | | | |
|------------------|--|---------------------------------|-----|--|
| | same tested grades in in the district of location. | | | |
| Academic Goal 9 | In each year of the charter term, for general community/non-child welfare involved students enrolled in at least their second year at Haven Academy, with respect to the NYS mathematics assessment, the percent proficient will meet or exceed the proficiency demonstrated by students in the same tested grades in in the district of location. | New York State Math examination | Met | |
| Academic Goal 10 | | | | |

2. Do have more academic goals to add?

No

4. ORGANIZATION GOALS

For the 2021-2022 school year, any organization goals that cannot be evaluated due to school closure resulting in a lack of data and changes in testing, surveying, and other usual practices should be reported as “N/A”.

2021-2022 Progress Toward Attainment of Organization Goals

| | Organizational Goal | Measure Used to Evaluate Progress | Goal - Met, Not Met, or Unable to Assess | If not met, describe efforts the school will take to meet goal. If unable to assess goal, type N/A for Not Applicable |
|------------|---|-----------------------------------|--|---|
| Org Goal 1 | Each year, the school’s “General Community and Foster Care” populations will have a daily student attendance rate of at least 95 percent. The school’s overall attendance (including Prevention students) will be minimally 92 percent. | Internal Attendance Reporting | Unable to Assess | Goals may need to be reassessed for Covid impact |
| | Each year, 95 percent of all students enrolled during | | | |

| | | | | |
|------------|--|------------------------------------|------------------|--|
| Org Goal 2 | <p>the course of the year will return the following September(excluding those who leave the school because they move out of the city, lack reasonable transportation or have been re-assigned a school placement for a more restrictive special education setting)</p> | ATS Reports and Enrollment Records | Unable to Assess | Goals may need to be reassessed for Covid impact |
| Org Goal 3 | <p>Each year, 90 percent of all instructional staff employed during the prior school year will return and/or be asked to return the following school year.</p> | Internal Reporting | Met | |
| Org Goal 4 | <p>In each year of the charter term, parents will express satisfaction with the school's program, based on the NYC DOE School Survey. On key questions as identified in the NYC DOE Charter Schools Accountability</p> | NYC DOE School Survey | Met | |

| | | | | |
|------------|---|-----------------------|-----|--|
| | <p>Handbook, the school will have a percentage of parents that agree or strongly agree that meets or exceeds citywide averages. The school will only have met this goal if 50% or more parents participate in the survey.</p> | | | |
| Org Goal 5 | <p>In each year of the charter term, staff will express satisfaction with the school's program, based on the NYC DOE School Survey. On key questions as identified in the NYC DOE Charter Schools Accountability Handbook, the school will have a percentage of staff that agree or strongly agree that meets or exceeds citywide averages. The school will only have met this goal if 50% or more staff participate in the survey.</p> | NYC DOE School Survey | Met | |
| Org Goal 6 | | | | |

| | | | | |
|-------------|--|--|--|--|
| Org Goal 7 | | | | |
| Org Goal 8 | | | | |
| Org Goal 9 | | | | |
| Org Goal 10 | | | | |
| Org Goal 11 | | | | |
| Org Goal 12 | | | | |
| Org Goal 13 | | | | |
| Org Goal 14 | | | | |
| Org Goal 15 | | | | |
| Org Goal 16 | | | | |
| Org Goal 17 | | | | |
| Org Goal 18 | | | | |
| Org Goal 19 | | | | |
| Org Goal 20 | | | | |

5. Do have more organizational goals to add?

No

6. FINANCIAL GOALS

2021-2022 Progress Toward Attainment of Financial Goals

| | Financial Goals | Measure Used to Evaluate Progress | Goal - Met, Not Met, or Partially Met | If not met, describe efforts the school will take to meet goal. |
|------------------|--|-----------------------------------|---------------------------------------|---|
| Financial Goal 1 | Each year, the school will operate on a balanced budget and maintain a stable cash flow. | School financials | Met | |
| Financial Goal 2 | | | | |
| Financial Goal 3 | | | | |
| Financial Goal 4 | | | | |
| Financial Goal 5 | | | | |

7. Do have more financial goals to add?

No

Thank you.

Entry 4 - Audited Financial Statements

Completed - Oct 28 2022

Required of ALL Charter Schools

ALL SUNY-authorized charter schools must upload the financial statements and related documents in PDF format into the SUNY Epicenter system no later than **November 1, 2022**. SUNY CSI will forward to NYSED CSO. **SUNY-authorized charter schools** are asked to ensure that security features such as password protection are turned off.

ALL Regents, NYCDOE, and Buffalo BOE-authorized charter schools must upload final, audited financial statements to the [Annual Report Portal](#) no later than **November 1, 2022**. Upload the independent auditor's report, any advisory and/or management letter, and the internal controls report as one submission, combined into a PDF file, ensuring that security features such as password protections are removed from all school uploaded documents.

PLEASE NOTE: This task appears as visible and optional task in the online portal until August 1

2022 but will be identified as a required task thereafter and due on November 1, 2022. This is a required task, and it is marked optional for administrative purposes only.

[Audited Financials and Management Letters MHACS June 30 2022](#)

Filename: Audited_Financials_and_Management__i618fhs.pdf Size: 787.7 kB

Entry 4b - Audited Financial Report Template (BOR/NYC/BOE)

Completed - Oct 28 2022

[Instructions - Regents-Authorized Charter Schools ONLY](#)

Regents-authorized schools must download and complete the Excel spreadsheet entitled “Audited Financial Report Template” from the online portal or the [2021-2022 Annual Reports](#) webpage. Upload the completed file in Excel format and submit by **November 1, 2022**.

EDUCATION CORPORATIONS WITH MORE THAN ONE SCHOOL SHOULD COMPLETE THE EXCEL SPREADSHEET FOR THE EDUCATION CORPORATION AS A WHOLE, NOT FOR THE INDIVIDUAL SCHOOLS. PLEASE SUBMIT THE SAME EXCEL SPREADSHEET FOR EACH OF THE SCHOOLS.

PLEASE NOTE: This is a required task, and it is marked optional for administrative purposes only.

[MHA FY22 auditedfinancialreporttemplate](#)

Filename: MHA_FY22_auditedfinancialreporttemplate.xlsx Size: 75.3 kB

Entry 4c - Additional Financial Documents

Completed - Oct 28 2022

[Instructions - Regents, NYCDOE and Buffalo BOE authorized schools](#) must upload financial documents and submit by **November 1, 2022**. The items listed below should be uploaded, with an explanation if not applicable or available. For example, a “federal Single Audit was not required because the school did not expend federal funds of more than the \$750,000 Threshold.”

1. Advisory and/or Management letter
2. Federal Single Audit
3. CSP Agreed-Upon Procedure Report
4. Evidence of Required Escrow Account for each school^[1]
5. Corrective Action Plan for Audit Findings and Management Letter Recommendations

[1] Note: For BOR schools chartered or renewed after the 2017-2018 school year, the escrow account per school is \$100,000.

PLEASE NOTE: This is a required task, and it is marked optional for administrative purposes only.

[MHA FY23 Escrow Stmt 7930 September \(1\)](#)

Filename: MHA_FY23_Escrow_Stmt_7930_September_1.pdf Size: 934.6 kB

Entry 4d - Financial Services Contact Information

Completed - Oct 11 2022

Regents, NYCDOE, and Buffalo BOE authorized schools should enter financial contact information directly into the form within the portal by **November 1, 2022**.

Form for "Financial Services Contact Information"

1. School Based Fiscal Contact Information

| | School Based Fiscal Contact Name | School Based Fiscal Contact Email | School Based Fiscal Contact Phone |
|--|----------------------------------|--|-----------------------------------|
| | Jessica Nauiokas | jnauiokas@havenacademy.org | 718-292-7015 |

2. Audit Firm Contact Information

| | School Audit Contact Name | School Audit Contact Email | School Audit Contact Phone | Years Working With This Audit Firm |
|--|---------------------------|--|----------------------------|------------------------------------|
| | BDO, Jimmy Vora, CPA | jvora@bdo.com | 646-519-7133 | 2 |

3. If applicable, please provide contact information for the school's outsourced financial services firm.

| | Firm Name | Contact Person | Mailing Address | Email | Phone | Years With Firm |
|--|-----------|----------------|--|--|--------------|-----------------|
| | CSBM | Karen Daniels | 237 W 35th st suite 301 New York, NY 10001 | kdaniels@csbm.com | 646-448-8723 | 14 |

Entry 5 - Fiscal Year 2022-2023 Budget

Completed - Oct 11 2022

SUNY-authorized charter schools should download the [2022-23 Budget and Quarterly Report Template and the 2022-23 Budget Narrative Questionnaire](#) from the SUNY website and upload the

completed template into Epicenter. SUNY CSI will forward to NYSED CSO. **Due November 1, 2022.**

Regents, NYCDOE, and Buffalo BOE authorized charter schools should upload a copy of the school's FY22 Budget using the [2022-2023 Budget Template](#) in the portal or from the Annual Report website. **Due November 1, 2022.**

The assumptions column should be completed for all revenue and expense items unless the item is self-explanatory. Where applicable, reference the page number or section in the application narrative that indicates the assumption being made. For instance, student enrollment would reference the applicable page number in Section I, C of the application narrative.

PLEASE NOTE: This is a required task, and it is marked optional for administrative purposes only.

[MHA final-2022-2023-ar-budget-template \(1\)](#)

Filename: MHA_final-2022-2023-ar-budget-template_1.xlsx **Size:** 40.0 kB

Entry 6 - Board of Trustees Disclosure of Financial Interest Form

Completed - Jul 28 2022

Due on August 1, 2022, each member of the charter school's Board of Trustees who served on a charter school education corporation governing one or more charter schools for any period during the 2021-2022 school year must complete and sign a [Trustee Disclosure of Financial Interest Form](#). Acceptable signature formats include:

- Digitally certified PDF signature (i.e., DocuSign)
- Manual signature (1. download to print, 2. manually sign, 3. scan signed document to PDF, and 4. upload into portal)

All completed forms must be collected and uploaded in .PDF format for each individual member. **The education corporation is responsible for completing the form for trustees who left the board during the reporting year.**

Forms completed from past years will not be accepted. **Only the latest version of the form** (updated in April, 2022) is acceptable.).

Trustees serving on an education corporation that governs more than one school are not required to complete a separate disclosure for each school governed by the education corporation. In the Disclosure of Financial Interest Form, trustees must disclose information relevant to any of the schools served by the governing education corporation.

[All Board Members DOFI 2022](#)

Filename: All_Board_Members_DOFI_2022.pdf **Size:** 11.3 MB

Entry 7 BOT Membership Table

Completed - Jul 28 2022

Instructions

Required of ALL charter schools

ALL charter schools or education corporations governing multiple schools must complete the Board of Trustees Membership Table within the online portal. Please be sure to include and identify parents who are members of the Board of Trustees and indicate whether parents are voting or non-voting members.

Entry 7 BOT Table

1. SUNY-AUTHORIZED charter schools are required to provide information for VOTING Trustees only.
2. REGENTS, NYCDOE, and BUFFALO BOE-AUTHORIZED charter schools are required to provide information for all --VOTING and NON-VOTING-- trustees.

MOTT HAVEN ACADEMY CHARTER SCHOOL 800000061086

Authorizer:

Who is the authorizer of your charter school?

NYCDOE

1. 2021-2022 Board Member Information (Enter info for each BOT member)

| | Trustee Name | Trustee Email Address | Position on the Board | Committee Affiliations | Voting Member Per By-Laws (Y/N) | Number of Terms Served | Start Date of Current Term (MM/DD/YYYY) | End Date of Current Term (MM/DD/YYYY) | Board Meetings Attended During 2021-2022 |
|---|--------------------|--------------------------|-----------------------|------------------------|---------------------------------|------------------------|---|---------------------------------------|--|
| 1 | Patricia Mulvane y | mulvane ytrish@gmail.com | Chair | Finance | Yes | 14 | 03/01/2008 | 08/01/2070 | 12 |
| | William F. | bill.baccaglia@n | | Eductio | | | | | |

| | | | | | | | | | |
|---|---|---|--------------------|------------------------------|-----|----|----------------|----------------|-----------|
| 2 | Baccagli n i | yf oundlin g. org | Trustee/ Member | n/Fundr aising | Yes | 14 | 03/01/2 008 | 04/12/2 022 | 8 |
| 3 | Janet Campag na | janey.ca mpagna 57@gm ail.com | Treasure r | Finance | Yes | 14 | 03/01/2 008 | 08/01/2 070 | 9 |
| 4 | J e s s i c a N a u i o k a s | J e s s i c a N a u i o k a s | Secretar y | Educati on | No | 14 | 03/01/2 008 | 08/01/2 070 | 10 |
| 5 | W h i t n e y K n e i s l e y | eisl ey@yah o o.com | Trustee/ Member | Eductio n/Fundr aising | Yes | 12 | 11/01/2 010 | 07/13/2 022 | 6 |
| 6 | K a t h l e e n C h i F l o r e s | k a t h l e e n4 1 5 @ g m a i l. c o | Trustee/ Member | Eductio n/Fundr aising | Yes | 9 | 05/01/2 013 | 09/28/2 021 | 5 or less |

| | | | | | | | | | |
|---|---|--|--------------------|------------------------------|-----|----|----------------|----------------|-----------|
| | | m | | | | | | | |
| 7 | M e g h a n M a c k a y | m e g h a n m a c k a y @gm ail. c o m | Trustee/ Member | Eductio n/Fundr aising | Yes | 13 | 11/01/2 009 | 08/01/2 070 | 12 |
| 8 | C h r i s t i n e S t o k e s | c m b .s t o k e s @ g m ail .co m | Trustee/ Member | Finance | Yes | 4 | 08/30/2 018 | 08/01/2 070 | 11 |
| 9 | Melanie Hartzog | Melanie.Hartzog@NYFoundling.org | Trustee/ Member | Finance | Yes | 1 | 04/08/2 022 | 08/01/2 070 | 5 or less |

1a. Are there more than 9 members of the Board of Trustees?

Yes

1b. Board Member Information

| | Trustee Name | Trustee Email Address | Position on the Board | Committee Affiliations | Voting Member Per By-Laws (Y/N) | Number of Terms Served | Start Date of Current Term (MM/DD /YYYY) | End Date of Current Term (MM/DD /YYYY) | Board Meetings Attended During 2021-2022 |
|----|--------------|--|-----------------------|------------------------------|---------------------------------|------------------------|--|--|--|
| 10 | Fanon Howell | fhowell@carmelhill.org | Trustee/Member | Education and Accountability | Yes | 1 | 04/08/2022 | 08/01/2070 | 5 or less |
| 11 | | | | | | | | | |
| 12 | | | | | | | | | |
| 13 | | | | | | | | | |
| 14 | | | | | | | | | |
| 15 | | | | | | | | | |

1c. Are there more than 15 members of the Board of Trustees?

No

2. INFORMATION ABOUT MEMBERS OF THE BOARD OF TRUSTEES

1. SUNY-AUTHORIZED charter schools provide response relative to VOTING Trustees only.
2. REGENTS, NYCDOE, and BUFFALO BOE-AUTHORIZED charter schools provide a response relative to all trustees.

| | |
|--|----|
| a. Total Number of BOT Members on June 30, 2022 | 10 |
| b.Total Number of Members Added During 2021-2022 | 2 |
| c. Total Number of Members who Departed during 2021-2022 | 2 |
| d.Total Number of members, as set in Bylaws, Resolution or Minutes | 8 |

3. Number of Board meetings held during 2021-2022

12

4. Number of Board meetings scheduled for 2022-2023

12

Total number of Voting Members on June 30, 2022:

7

Total number of Voting Members added during the 2021-2022 school year:

2

Total number of Voting Members who departed during the 2021-2022 school year:

2

Total Maximum Number of Voting members in 2021-2022, as set by the board in bylaws, resolution, or minutes:

8

Total number of Non-Voting Members on June 30, 2022:

1

Total number of Non-Voting Members added during the 2021-2022 school year:

0

Total number of Non-Voting Members who departed during the 2021-2022 school year:

0

Total Maximum Number of Non-Voting members in 2021-2022, as set by the board in bylaws, resolution or minutes:

2

Thank you.

Entry 8 Board Meeting Minutes

Completed - Jul 28 2022

Instructions - Required of Regents, NYCDOE, and Buffalo BOE Authorized Schools ONLY

Schools must upload a complete set of monthly board meeting minutes (July 2021-June 2022), which should match the number of meetings held during the 2021-2022 school year, as indicated in the above table. The minutes provided must be the final version approved by the school’s Board of Trustees and may be uploaded individually or as one single combined file. Board meeting minutes must be submitted by **August 1, 2022**.

Signed Minutes July 21-June 22

Filename: Signed_Minutes_July_21-June_22.pdf Size: 19.3 MB

Entry 9 Enrollment & Retention

Completed - Jul 28 2022

Instructions for submitting Enrollment and Retention Efforts

Required of ALL Charter Schools

Describe the good faith efforts the charter school has made in 2021-2022 toward meeting targets to attract and retain the enrollment of Students with Disabilities (SWDs), English Language Learners (ELLs), and students who are economically disadvantaged. In addition, describe the school’s plans for meeting or making progress toward meeting its enrollment and retention targets in 2022-2023.

Entry 9 Enrollment and Retention of Special Populations

Recruitment/Attraction Efforts Toward Meeting Targets

| | Describe Recruitment Efforts in 2021-2022 | Describe Recruitment Plans in 2022-2023 |
|--|--|---|
| | 97% of our student population is eligible for Free or Reduced Price. | |

| | | |
|-----------------------------------|---|--|
| <p>Economically Disadvantaged</p> | <p>Our school is situated in the South Bronx in one of the poorest congressional districts in the country. We work closely with many community organizations in the neighborhood to recruit our student population from the surrounding areas. We always have a wait-list for admission that includes mostly students from the surrounding neighborhoods who are economically disadvantaged. Since we opened a middle school during this school year, we were planful about middle school design and recruitment efforts to ensure that our seats are filled by our target population (especially economically disadvantaged.) Efforts included: Filling seats with mission fit</p> <p>students, recruiting at child-welfare agencies, advertising in locations likely to have FRPL students such as homeless shelters.</p> | <p>Since our efforts have been effective we will continue to work closely with many community organizations in the neighborhood to recruit our student population from the surrounding areas. We always have a wait-list for admission that includes mostly students from the surrounding neighborhoods who are economically disadvantaged. Efforts include: Filling seats with mission fit students, recruiting at child-welfare agencies, advertising in locations likely to have FRPL students such as homeless shelters.</p> |
| <p>English Language Learners</p> | <p>16% of our student population are ELLs, the vast majority being Spanish speaking, which is comparable to our District (7). We publish all school recruitment material in Spanish and English in order to reach all families in our community. We employ Spanish speaking recruitment staff to ensure that the process is smooth and easy for all families. Our school offers appropriate ELL services for all students required to participate in these services. For our new Middle School we</p> | <p>Since our efforts have been effective we will continue to publish all school recruitment material in Spanish and English in order to reach all families in our community. Employ Spanish speaking recruitment staff to ensure that the process is smooth and easy for all families. Offer appropriate ELL services for all students required to participate in these services. For Middle School we continue to make application and/or</p> |

| | | |
|----------------------------|--|---|
| | continued to make application and/or orientation processes accessible for non-English speaking community members. Additional staff members have been added who bring experience with this population. | orientation processes accessible for non-English speaking community members. Additional staff members have been added who bring experience with this population. |
| Students with Disabilities | 24% of our students are classified as Students with Disabilities, which is comparable to our District (7). Our Director of SPED works with families through the application and recruitment process to ensure that students are in the right placement and have all needed accommodations in place when they enter our school. | Our Director of SPED works with families through the application and recruitment process to ensure that students are in the right placement and have all needed accommodations in place when they enter our school. |

Retention Efforts Toward Meeting Targets

| | Describe Retention Efforts in 2021-2022 | Describe Retention Plans in 2022-2023 |
|----------------------------|--|---|
| Economically Disadvantaged | 97% of our population is economically disadvantaged and that number is mirrored closely in our surrounding community. We continue to work closely with community organizations and in-house social emotional teams to ensure that our families' needs are met and they feel supported at Haven Academy. While designing our Middle School we collected input from our constituents to ensure the school's program met their educational priorities. Due to our efforts we successfully matriculated 98% of our | We continue to work closely with community organizations and in-house social emotional teams to ensure that our families' needs are met and they feel supported at Haven Academy. Our goal is to successfully matriculate 100% of our Elementary School students of middle school age to middle school. |

| | | |
|----------------------------|--|--|
| | Elementary School students of middle school age. | |
| English Language Learners | Haven Academy will continue to offer ELL services to meet the needs of our ELL students and their families. Our Spanish speaking staff and Spanish materials will help our families of ELLs to continue to thrive in our school environment. | Haven Academy will continue to offer ELL services to meet the needs of our ELL students and their families. Our Spanish speaking staff and Spanish materials will help our families of ELLs to continue to thrive in our school environment. |
| Students with Disabilities | Haven Academy has a dedicated SPED director and CTT classrooms on every grade level to ensure that the needs of our SPED scholars are always met. We worked closely with the scholars' families and the CSE to ensure that proper and stellar services are offered. Our Middle School design included a process for academic programming that can be accessible to all students, regardless of disability. With appropriate supports, 99% of our IEP students are matriculated to our Middle School. | Haven Academy will continue to have a dedicated SPED director and CTT classrooms on every grade level to ensure that the needs of our SPED scholars are always met. We continue to work closely with the scholars' families and the CSE to ensure that proper and stellar services are offered. With appropriate supports, 100% of our IEP students will be matriculated to our Middle School. |

Entry 10 - Teacher and Administrator Attrition

Completed - Jul 28 2022

Form for "Entry 10 - Teacher and Administrator Attrition" Revised to Employee Fingerprint Requirements Attestation

A. TEACH System - Employee Clearance

Charter schools must ensure that all prospective employees^[1] receive clearance through [the NYSED Office of School Personnel Review and Accountability](#) (OSPRA) prior to employment. **This includes paraprofessionals and other school personnel who are provided or assigned by the district of location, or related/contracted service providers.** After an employee has been cleared, schools are required to maintain proof of such clearance in the file of each employee. For the safety of all students, charter schools must take immediate steps to terminate the employment of individuals who have been denied clearance. Once the employees have been terminated, the school must terminate the request for clearance in the TEACH system.

In the Annual Report, charter schools are asked to confirm that all employees have been cleared through the NYSED TEACH system; and, if denied clearance, confirm that the individual or employee has been removed from the TEACH system, and is not employed by the school.

^[1] Employees who must be cleared include, but are not limited to, teachers, administrative staff, janitors, security personnel and cafeteria workers, and other staff who are present when children are in the school building. **This includes paraprofessionals and other school personnel that are provided or assigned by the district of location, as well as related/contracted service providers.** See NYSED memorandum dated October 1, 2019 at <http://www.nysed.gov/common/nysed/files/programs/charter-schools/employeefingerprintoct19.pdf> or visit the NYSED website at: <http://www.highered.nysed.gov/tsei/ospra/fingerprintingcharts.html> for more information regarding who must be fingerprinted. Also see, 8 NYCRR §87.2.

B. Emergency Conditional Clearances

Emergency Conditional Clearances

Charter schools are **strongly discouraged** from using the emergency conditional clearance provisions for prospective employees. This is because the school must request clearance through NYSED TEACH, and the school's emergency conditional clearance of the employee terminates automatically once the school receives notification from NYSED regarding the clearance request. Status notification is provided for all prospective employees through the NYSED TEACH portal within 48 hours after the clearance request is submitted. Therefore, at most, a school's emergency conditional clearance will be valid for only 48 hours after approval by the board.

Schools are not permitted to renew or in any way re-establish a prospective employee's emergency conditional clearance after status notification is sent by NYSED through the TEACH portal.

Schools are asked to attest that they have reviewed and understand these requirements. More information can be found in the memo at [NYSED CSO Employee Clearance and Fingerprint Memo 10-2019](#).

Attestation

Responses Selected:

I hereby attest that the school has reviewed, understands, and will comply with these requirements.

Entry 11 Percent of Uncertified Teachers

Completed - Jul 28 2022

Instructions

Required of Regents, NYCDOE, and Buffalo BOE Authorized Charter Schools ONLY

The table below reflects the information collected through the online portal for compliance with New York State Education Law 2854(3)(a-1) for teaching staff qualifications. Uncertified teachers are those not certified pursuant to the State Certification Requirements established by the NYSED Commissioner of Education.

Enter the relevant full-time equivalent (FTE) count of teachers in each column. For example, a school with 20 full-time teachers and 5 half-time teachers would have an FTE count of 22.5.

Entry 11 Uncertified Teachers

School Name:

Instructions for Reporting Percent of Uncertified Teachers

Required of Regents, NYCDOE, and Buffalo BOE Authorized Charter Schools

The table below reflects the information collected through the online portal for compliance with New York State Education Law 2854(3)(a-1) for teaching staff qualifications. Uncertified teachers are those not certified pursuant to the State Certification Requirements established by the NYSED Commissioner of Education. Enter the relevant full-time equivalent (FTE) count of teachers in each column. For example, a school with 20 full-time teachers and 5 half-time teachers would have an FTE count of 22.5.

If more than one line applies to a teacher, please include in only one FTE uncertified category. Please do not include paraprofessionals, such as teacher aides.

CATEGORY A. 30% OR 5 UNCERTIFIED TEACHERS WHICHEVER IS LESS

| | FTE Count |
|--|-----------|
| i. FTE count of uncertified teacher with at least three years of elementary, middle or secondary classroom teaching experience (as of June 30, 2022) | 4 |
| ii. FTE count of uncertified teachers who are tenured or tenure track college faculty (as of June 30, 2022) | 0 |
| iii. FTE count of uncertified teachers with two years of Teach for America experience (as of June 30, 2022) | 0 |
| iv. FTE count of uncertified teachers with exceptional business, professional, artistic, athletic, or military experience (as June 30, 2022) | 1 |
| Total Category A: 5 or 30% whichever is less | 5.0 |

CATEGORY B. PLUS FIVE UNCERTIFIED TEACHERS IN MATHEMATICS, SCIENCE, COMPUTER SCIENCE, TECHNOLOGY OR CAREER AND TECHNICAL EDUCATION.

| | FTE Count |
|--|------------|
| i. Mathematics | 3 |
| ii. Science | 1 |
| iii. Computer Science | 0 |
| iv. Technology | 0 |
| v. Career and Technical Education | 0 |
| Total Category B: not to exceed 5 | 4.0 |

CATEGORY C: PLUS 5 ADDITIONAL UNCERTIFIED TEACHERS

| | FTE Count |
|--|------------|
| i. FTE count of uncertified teacher with at least three years of elementary, middle or secondary classroom teaching experience (as of June 30, 2022) | 0 |
| ii. FTE count of uncertified teachers who are tenured or tenure track college faculty (as of June 30, 2022) | 0 |
| iii. FTE count of uncertified teachers with two years of Teach for America experience (as of June 30, 2022) | 0 |
| iv. FTE count of uncertified teachers with exceptional business, professional, artistic, athletic, or military experience (as June 30, 2022) | 0 |
| Total Category C: not to exceed 5 | 0.0 |

TOTAL FTE COUNT OF UNCERTIFIED TEACHERS (Sum of Categories A, B AND C)

(Include teachers who do not fit in one of these categories or if did fit would exceed the numerical limits for that category)

| | FTE Count |
|-------|-----------|
| Total | 9 |

CATEGORY D: TOTAL FTE COUNT OF UNCATEGORIZED, UNCERTIFIED TEACHERS

(Include teachers who do not fit in one of these categories or if did fit would exceed the numerical limits for that category)

| | FTE Count |
|------------------|-----------|
| Total Category D | 1 |

CATEGORY E: TOTAL FTE COUNT OF CERTIFIED TEACHERS

| | FTE Count |
|------------------|-----------|
| Total Category E | 44 |

CATEGORY F: TOTAL FTE COUNT OF ALL TEACHERS

Please do not include paraprofessionals, such as teacher aides.

| | FTE Count |
|------------------|-----------|
| Total Category F | 54 |

Thank you.



Entry 12 Organization Chart

Completed - Jul 28 2022

Instructions

Required of Regents, NYCDOE, and Buffalo BOE Authorized Charter Schools ONLY

Upload the 2021-2022 **Organization Chart**. The organization chart should include position titles and reporting relationships. Employee names should **not** appear on the chart.

Haven Org Chart 2022-23

Filename: Haven_Org_Chart_2022-23.pdf Size: 81.1 kB

Entry 13 School Calendar

Completed - Jul 28 2022

[Instructions for submitting School Calendar](#)

Required of ALL Charter Schools

If the charter school has a tentative calendar based on available information and guidance at the time, please submit with the August 1, 2022 submission. Charter schools must upload a final school calendar into the portal and may do so at any time but no later than **September 15, 2022**.

School calendars must meet the [minimum instructional requirements](#) as required of other public schools *"... unless the school's charter requires more instructional time than is required under the regulations."*

Board of Regents-authorized charter schools also are required to submit school calendars that clearly indicate the start and end date of the instructional year AND the number of instructional hours and/or instructional days for each month. See an example of a calendar showing the requested information. Schools are encouraged to use a calendar template and ensure there is a monthly tally of instructional days.

PLEASE NOTE: This is a required task, and it is marked optional for administrative purposes only.

2022-2023 Simple School Calendar

Filename: 2022-2023_Simple_School_Calendar.pdf Size: 62.5 kB

Entry 14 Links to Critical Documents on School Website

Completed - Jul 28 2022

Instructions

Required of ALL Charter Schools noting that SUNY-authorized charter schools are not required to submit item 5: Authorizer-approved DASA policy and NYSED-Approved School Discipline Policy

By law, each charter school is required to maintain certain notices and policies listed on its website. Please insert the [link](#) from the school's website for each of the items:

1. Current Annual Report (i.e., 2021-2022 Annual Report);[\[1\]](#)
2. Board meeting notices, agendas and documents;
3. New York State School Report Card;
4. Authorizer-approved DASA Policy and NYSED-Approved School Discipline Policy **(For Regents, NYCDOE, and Buffalo BOE-Authorized Charter Schools ONLY)**;

5. District-wide safety plan, not a building level safety plan (as per the September 2021 [Emergency Response Plan Memo](#));
6. Authorizer-approved FOIL Policy; and
7. Subject matter list of FOIL records. (Example: See [NYSED Subject Matter List](#))

[1] Each charter school is required to make the Annual Report publicly available by August 1 and to post on their respective charter school website. Each school should post an updated and complete version to include accountability data and financial statements that are not or may not be available until after the August deadline (i.e., Repost when financials have been submitted in November.)

Form for Entry 14 Links to Critical Documents on School Website

School Name: Mott Haven Academy Charter School

Required of ALL Charter Schools noting that SUNY-authorized charter schools are not required to submit item 4: Authorizer-approved DASA policy and NYSED-Approved School Discipline Policy

By law, each charter school is required to maintain certain notices and policies listed on its website. Please insert the link from the school’s website for each of the items:

| | Link to Documents |
|---|---|
| 1. Current Annual Report (i.e., 2021-2022 Annual Report) | https://havenacademy.org/wp-content/uploads/2022/04/2021-HA-Annual-Report.pdf |
| 2. Board meeting notices, agendas and documents | https://havenacademy.org/staff-board/board/ |
| 3. New York State School Report Card | https://havenacademy.org/about-us/annual-accountability-reports/ |
| 4. Authorizer-approved DASA Policy and NYSED-Approved School Discipline Policy (For Regents, NYCDOE, and Buffalo BOE-Authorized Charter Schools ONLY) | https://havenacademy.org/resources/parent-resources/ |
| 5. District-wide safety plan, not a building level safety plan (as per the September 2021 Emergency Response Plan Memo | https://havenacademy.org/resources/parent-resources/ |
| 6. Authorizer-approved FOIL Policy | https://havenacademy.org/resources/parent-resources/ |
| 7. Subject matter list of FOIL records. (Example: See NYSED Subject Matter List) | https://havenacademy.org/resources/parent-resources/ |

Thank you.



Entry 15 Staff Roster

Completed - Sep 28 2022

INSTRUCTIONS

Required of Regents and NYCDOE-authorized Charter Schools ONLY

Please click on the MS Excel [Faculty/Staff Roster Template](#) and provide the following information for **ANY and ALL** instructional and non-instructional employees.

Use of the 2021-2022 Annual Report Faculty/Staff roster template is required. Each of the data elements, with the exception of the Notes, are required, and use of the drop-down options, when provided, is also required. Reminders: Please use the notes section provided to add any additional information as deemed necessary. Failure to adhere to the guidelines and validations in the Staff Roster Template will result in a resubmission of a fully corrected roster.

Please note the roster should include all staff employed any point from July 1, 2021 to June 30, 2022, including those employed on June 30th.

[Faculty Roster MHA 2022 Annual Report](#)

Filename: Faculty_Roster_MHA_2022_Annual_Report.xlsx **Size:** 32.1 kB

Optional Additional Documents to Upload (BOR)

Incomplete



Mott Haven Academy Charter School

Financial Statements
Years Ended June 30, 2022 and 2021

and Supplemental Schedule of
Expenditures of Federal Awards
For the Year Ended June 30, 2022

Mott Haven Academy Charter School

Financial Statements

Years Ended June 30, 2022 and 2021

**and Supplemental Schedule of Expenditures of Federal Awards
for the Year Ended June 30, 2022**

Mott Haven Academy Charter School

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www.bdo.com

622 Third Ave, Suite 3100
New York, NY 10017

Independent Auditor's Report

To the Board of Trustees
Mott Haven Academy Charter School
Bronx, New York

Report on the Audit of the Financial Statements

Opinion

We have audited the financial statements of Mott Haven Academy Charter School (the "School"), which comprise the statements of financial position as of June 30, 2022 and 2021, and the related statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

In our opinion, the accompanying financial statements present fairly, in all material respects, the financial position of the School as of June 30, 2022 and 2021, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS) and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the School and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the School's ability to continue as a going concern within one year after the date that the financial statements are issued or available to be issued.

BDO USA, LLP, a Delaware limited liability partnership, is the U.S. member of BDO International Limited, a UK company limited by guarantee, and forms part of the international BDO network of independent member firms.

BDO is the brand name for the BDO network and for each of the BDO Member Firms



Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS and *Government Auditing Standards* will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS and *Government Auditing Standards*, we:

- Exercise professional judgment and maintain professional skepticism throughout the audits.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the School's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audits, significant audit findings, and certain internal control-related matters that we identified during the audits.



Other Matters

Supplementary Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards, as required by Title 2 U.S. Code of Federal Regulations (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*, is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements, and to certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements, or to the financial statements themselves, and to other additional procedures, in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements as a whole.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated October 26, 2022 on our consideration of the School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the School's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control over financial reporting and compliance.

BDO USA, LLP

New York, New York
October 26, 2022

Mott Haven Academy Charter School

Statements of Financial Position

| June 30, | 2022 | 2021 |
|---|---------------------|---------------------|
| Assets | | |
| Cash | \$ 1,068,014 | \$ 1,492,068 |
| Cash - restricted | 70,374 | 70,360 |
| Grants and other receivables | 1,769,512 | 849,965 |
| Due from New York Foundling Hospital | 604,000 | 738,867 |
| Due from NYC Department of Education | - | 3,368 |
| Prepaid expenses and other assets | 43,545 | 33,906 |
| Property and equipment, net | 324,718 | 359,297 |
| Total Assets | \$ 3,880,163 | \$ 3,547,831 |
| Liabilities and Net Assets | | |
| Liabilities | | |
| Accounts payable and accrued expenses | \$ 458,544 | \$ 677,295 |
| Accrued salaries and other payroll related expenses | 682,543 | 615,932 |
| Due to NYC Department of Education | 31,414 | - |
| Due to New York Foundling Hospital | 31,583 | - |
| Total Liabilities | 1,204,084 | 1,293,227 |
| Commitments and Contingencies | | |
| Net Assets | | |
| Without donor restrictions | 2,597,027 | 2,146,420 |
| With donor restrictions | 79,052 | 108,184 |
| Total Net Assets | 2,676,079 | 2,254,604 |
| Total Liabilities and Net Assets | \$ 3,880,163 | \$ 3,547,831 |

See accompanying notes to the financial statements.

Mott Haven Academy Charter School

Statements of Activities

| Year ended June 30, | 2022 | 2021 |
|--|---------------------|---------------------|
| Changes in Net Assets Without Donor Restrictions | | |
| Operating Revenue without Donor Restrictions | | |
| State and local per pupil operating revenue | \$ 10,119,107 | \$ 10,164,588 |
| Government grants and contracts | 2,290,700 | 904,929 |
| Total Operating Revenue without Donor Restrictions | 12,409,807 | 11,069,517 |
| Expenses | | |
| Program services | | |
| General education | 9,842,861 | 8,470,684 |
| Special education | 1,883,060 | 2,582,209 |
| Pre-K education | 412,098 | 66,330 |
| Management and general | 1,333,226 | 762,404 |
| Fundraising | 51,453 | 20,129 |
| Total Expenses | 13,522,698 | 11,901,756 |
| Support and Other Income | | |
| Contributions and other grants | 211,128 | 199,718 |
| Forgiveness of debt, Paycheck Protection Program | - | 1,608,000 |
| New York Foundling Hospital contributions and grants | 393,481 | 48,451 |
| In-kind building lease and rent | - | 573,143 |
| Interest and other income | 14 | 33 |
| Net assets released from restrictions | 958,875 | 1,155,673 |
| Total Support and Other Income | 1,563,498 | 3,585,018 |
| Change in Net Assets Without Donor Restrictions | 450,607 | 2,752,779 |
| Changes in Net Assets With Donor Restrictions | | |
| Contributions and other grants | 325,743 | 354,205 |
| New York Foundling Hospital contributions and grants | 604,000 | 804,000 |
| Net assets released from restrictions | (958,875) | (1,155,673) |
| (Decrease) Increase in Net Assets With Donor Restrictions | (29,132) | 2,532 |
| Change in Net Assets | 421,475 | 2,755,311 |
| Net Assets - Beginning of Year | 2,254,604 | (500,707) |
| Net Assets - End of Year | \$ 2,676,079 | \$ 2,254,604 |

Mott Haven Academy Charter School

Statement of Functional Expenses

Year ended June 30, 2022

| | No. of Positions | Program Services | | | Subtotal | Management and General | Fundraising | Total |
|--|------------------|---------------------|---------------------|-------------------|----------------------|------------------------|------------------|----------------------|
| | | General Education | Special Education | Pre-K Education | | | | |
| Personnel service costs | | | | | | | | |
| Administrative staff personnel | 12 | \$ 529,374 | \$ 359,384 | \$ - | \$ 888,758 | \$ 654,469 | \$ 22,320 | \$ 1,565,547 |
| Instructional personnel | 82 | 5,249,289 | 661,605 | 213,000 | 6,123,894 | - | - | 6,123,894 |
| Non-instructional personnel | 42 | 237,173 | 66,935 | - | 304,108 | 12,046 | 12,046 | 328,200 |
| Total salaries and staff | 136 | 6,015,836 | 1,087,924 | 213,000 | 7,316,760 | 666,515 | 34,366 | 8,017,641 |
| Payroll taxes and employee benefits | | 1,263,254 | 226,351 | 45,529 | 1,535,134 | 142,468 | 7,346 | 1,684,948 |
| Retirement benefits | | 136,587 | 24,474 | 4,923 | 165,984 | 15,404 | 794 | 182,182 |
| Management company fees | | - | - | - | - | - | - | - |
| Legal services | | - | - | - | - | - | - | - |
| Accounting and audit services | | - | - | - | - | 237,715 | - | 237,715 |
| Other purchased, professional, and consulting services | | 108,506 | 29,077 | 9,297 | 146,880 | 35,095 | 176 | 182,151 |
| Building lease and rent | | 838,164 | 150,183 | 30,208 | 1,018,555 | 94,527 | 4,874 | 1,117,956 |
| In-kind building lease and rent | | - | - | - | - | - | - | - |
| Repairs and maintenance | | 65,793 | 11,789 | 2,371 | 79,953 | 7,420 | 383 | 87,756 |
| Insurance | | 46,467 | 8,326 | 1,675 | 56,468 | 5,240 | 270 | 61,978 |
| Utilities | | - | - | - | - | - | - | - |
| Supplies and materials | | 161,074 | 43,607 | 14,049 | 218,730 | 4,661 | 240 | 223,631 |
| Equipment and furnishings | | 16,340 | 4,940 | 1,714 | 22,994 | 3,170 | - | 26,164 |
| Staff development | | 148,715 | 26,647 | 5,360 | 180,722 | 16,772 | 865 | 198,359 |
| Marketing and recruitment | | 114,296 | 20,630 | 4,204 | 139,130 | 12,752 | 657 | 152,539 |
| Technology | | 100,155 | 17,946 | 3,610 | 121,711 | 11,295 | 582 | 133,588 |
| Food service | | 280,185 | 84,707 | 29,389 | 394,281 | - | - | 394,281 |
| Student services | | 392,708 | 118,725 | 41,191 | 552,624 | 9,814 | - | 562,438 |
| Office expense | | 14,576 | 2,612 | 525 | 17,713 | 54,565 | 85 | 72,363 |
| Depreciation | | 136,751 | 24,503 | 4,929 | 166,183 | 15,423 | 795 | 182,401 |
| Other | | 3,454 | 619 | 124 | 4,197 | 390 | 20 | 4,607 |
| Total Expenses | | \$ 9,842,861 | \$ 1,883,060 | \$ 412,098 | \$ 12,138,019 | \$ 1,333,226 | \$ 51,453 | \$ 13,522,698 |

See accompanying notes to the financial statements.

Mott Haven Academy Charter School

Statement of Functional Expenses

Year ended June 30, 2021

| | No. of Positions | Program Services | | | Subtotal | Management and General | Fundraising | Total |
|--|------------------|---------------------|---------------------|------------------|----------------------|------------------------|------------------|----------------------|
| | | General Education | Special Education | Pre-K Education | | | | |
| Personnel service costs | | | | | | | | |
| Administrative staff personnel | 14 | \$ 734,135 | \$ 222,797 | \$ - | \$ 956,932 | \$ 294,433 | \$ 16,171 | \$ 1,267,536 |
| Instructional personnel | 87 | 4,368,323 | 1,335,690 | 31,922 | 5,735,935 | - | - | 5,735,935 |
| Non-instructional personnel | 7 | 163,224 | 46,870 | 10,823 | 220,917 | 10,823 | - | 231,740 |
| Total salaries and staff | 108 | 5,265,682 | 1,605,357 | 42,745 | 6,913,784 | 305,256 | 16,171 | 7,235,211 |
| Payroll taxes and employee benefits | | 1,076,620 | 328,231 | 8,739 | 1,413,590 | 62,413 | 3,306 | 1,479,309 |
| Retirement benefits | | 116,726 | 35,586 | 948 | 153,260 | 6,767 | 358 | 160,385 |
| Legal services | | - | - | - | - | 3,000 | - | 3,000 |
| Accounting and audit services | | - | - | - | - | 159,099 | - | 159,099 |
| Other purchased, professional, and consulting services | | 139,478 | 42,632 | 139 | 182,249 | 2,168 | - | 184,417 |
| Building lease and rent | | 813,632 | 248,053 | 6,605 | 1,068,290 | 49,666 | - | 1,117,956 |
| In-kind building lease and rent | | 417,125 | 127,170 | 3,386 | 547,681 | 25,462 | - | 573,143 |
| Repairs and maintenance | | 12,927 | 3,941 | 105 | 16,973 | 789 | - | 17,762 |
| Insurance | | 40,909 | 12,472 | 332 | 53,713 | 2,497 | - | 56,210 |
| Supplies and materials | | 131,330 | 40,134 | 201 | 171,665 | 1,509 | - | 173,174 |
| Equipment and furnishings | | 8,409 | 2,533 | 38 | 10,980 | 739 | 2 | 11,721 |
| Staff development | | 91,878 | 28,011 | 746 | 120,635 | 5,608 | - | 126,243 |
| Marketing and recruitment | | 27,712 | 8,449 | 225 | 36,386 | 1,692 | - | 38,078 |
| Technology | | 90,573 | 27,613 | 735 | 118,921 | 5,529 | - | 124,450 |
| Food service | | 76,142 | 22,733 | 549 | 99,424 | - | - | 99,424 |
| Student services | | 59,507 | 18,186 | 9 | 77,702 | - | - | 77,702 |
| Office expense | | 16,639 | 5,073 | 135 | 21,847 | 123,538 | 292 | 145,677 |
| Depreciation | | 84,320 | 25,707 | 684 | 110,711 | 5,148 | - | 115,859 |
| Other | | 1,075 | 328 | 9 | 1,412 | 1,524 | - | 2,936 |
| Total Expenses | | \$ 8,470,684 | \$ 2,582,209 | \$ 66,330 | \$ 11,119,223 | \$ 762,404 | \$ 20,129 | \$ 11,901,756 |

See accompanying notes to the financial statements.

Mott Haven Academy Charter School

Statements of Cash Flows

| Year ended June 30, | 2022 | 2021 |
|---|---------------------|---------------------|
| Cash Flows from Operating Activities | | |
| Cash received from operating revenue | \$ 11,659,909 | \$ 9,806,835 |
| Other cash received | 1,534,366 | 1,979,550 |
| Cash paid to employees and suppliers | (13,470,493) | (12,342,950) |
| Net Cash Used in Operating Activities | (276,218) | (556,565) |
| Cash Flows from Investing Activities | | |
| Purchase of property and equipment | (147,822) | (313,351) |
| Net Decrease in Cash | (424,040) | (869,916) |
| Cash and Cash Restricted, beginning of year | 1,562,428 | 2,432,344 |
| Cash and Cash Restricted, end of year | \$ 1,138,388 | \$ 1,562,428 |
| Reconciliation of change in net assets to net cash used in operating activities: | | |
| Change in net assets | \$ 421,475 | \$ 2,755,311 |
| Adjustments to reconcile change in net assets to net cash used in operating activities: | | |
| Depreciation and amortization | 182,401 | 115,859 |
| Forgiveness of debt, Paycheck Protection Program | - | (1,608,000) |
| Changes in operating assets and liabilities: | | |
| Grants and other receivables | (919,547) | (577,110) |
| Due from New York Foundling Hospital | 134,867 | (738,867) |
| Due from NYC Department of Education | 3,368 | 53,295 |
| Prepaid expenses and other assets | (9,639) | 7,209 |
| Accounts payable and accrued expenses | (218,751) | 459,004 |
| Accrued salaries and other payroll related expenses | 66,611 | 33,110 |
| Due to NYC Department of Education | 31,414 | - |
| Due to New York Foundling Hospital | 31,583 | (1,056,376) |
| Net Cash Used in Operating Activities | \$ (276,218) | \$ (556,565) |
| Supplemental Disclosure of Cash Flow Information: | | |
| Cash | \$ 1,068,014 | \$ 1,492,068 |
| Cash - restricted | 70,374 | 70,360 |
| Total | \$ 1,138,388 | \$ 1,562,428 |

See accompanying notes to the financial statements.

Mott Haven Academy Charter School

Notes to Financial Statements

1. Nature of The Organization

Mott Haven Academy Charter School (the "School") is a New York State, not-for-profit educational corporation that was incorporated on January 15, 2008 to operate a charter school pursuant to Article 56 of the Educational Law of the State of New York. The School was granted a provisional charter on January 15, 2008, valid for a term of five years, by the Board of Regents of the University of the State of New York. The charter was renewed through June 30, 2025.

The School opened its doors in the fall of 2008 in South Bronx with a rigorous academic program and a highly structured and supportive school culture. While the School is comprised of students from many backgrounds, it is uniquely designed to meet the needs of at-risk students who receive foster care and prevention services through the New York City child welfare system.

The School is exempt from federal income tax under Section 501(a) of the Internal Revenue Code ("IRC") as an organization described in Section 501(c)(3) of the IRC and a similar provision under New York State income tax laws. The School has also been classified as an entity that is not a private foundation within the meaning of Section 509(a) of the IRC and qualifies for deductible contributions as provided in Section 170(b)(1)(A)(ii) of the IRC.

The School operates classes for students in kindergarten through eighth grade. The eighth grade was added in fiscal year 2020. The School also has a Pre-K program named "Little Haven" which is funded by the New York City Department of Education ("NYCDOE").

2. Significant Accounting Policies

Financial Statement Presentation

The School's financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America ("U.S. GAAP").

The classification of the School's net assets and its support, revenues, and expenses is based on the existence or absence of donor-imposed restrictions. It requires that the amounts for each of the two classes of net assets - with donor restrictions or without donor restrictions - be displayed in a statement of financial position and that the amount of change in each of those classes of net assets be displayed in a statement of activities.

These classes are defined as follows:

Net Assets with Donor Restrictions consist of contributions and other inflows of assets whose use is subject to donor-imposed restrictions that are more specific than broad limits reflecting the nature of the not-for-profit entity, the environment in which it operates and the purposes specified in its articles of incorporation or bylaws or comparable documents. Donor-imposed restrictions may be temporary in nature, such as stipulating that resources may be used only after a specified date or limited to specific programs or services. Certain donor-imposed restrictions are perpetual in nature.

Net Assets without Donor Restrictions consist of contributions and other inflows of assets whose use is not subject to donor-imposed restrictions. This net asset category includes both contributions not subject to donor restrictions and exchange transactions, and are, therefore, available for general operations.

Mott Haven Academy Charter School

Notes to Financial Statements

The School had \$79,052 and \$108,184 of net assets with donor restrictions at June 30, 2022 and 2021, respectively.

Cash - Restricted

An escrow account in the amount of \$70,374 and \$70,360 was held aside under the provisions of the School's charter to pay for legal and audit expenses that would be associated with a dissolution should it occur, as required by the New York State Education Department as of June 30, 2022 and 2021, respectively.

Grants and Other Receivables

Grants and other receivables represent unconditional promises to give by donors. Grants and other receivables that are expected to be collected within one year and are recorded at net realizable value are \$1,769,512 and \$849,965 at June 30, 2022 and 2021, respectively. The School determined that no allowance for uncollectible accounts for grants and other receivables is necessary at June 30, 2022 and 2021. Such estimate is based on management's assessments and historical information, the aged basis of its receivables, as well as current economic conditions.

Contributions

Transfers of cash or other assets or settlement of liabilities that are both voluntary and nonreciprocal are recognized as contributions.

Contributions may either be conditional or unconditional. A contribution is considered conditional when the donor imposes both a measurable barrier and a right of return. Conditional contributions are recognized as revenue on the date all donor-imposed barriers are overcome or explicitly waived by the donor. Barriers may include specific and measurable outcomes, limitations on the performance of an activity and other stipulations related to the contribution. A donor has a right of return of any assets transferred or a right of release of its obligation to transfer any assets in the event the School fails to overcome one or more barriers. Assets received before the barrier is overcome are accounted for as refundable advances.

Unconditional contributions may or may not be subject to donor-imposed restrictions. Donor-imposed restrictions limit the use of the donated assets as to time or purpose restrictions.

Contributions subject to donor restrictions are recognized in changes in net assets with donor restrictions. When a purpose restriction is satisfied or when a time restriction expires, the contribution is reported as net assets released from restrictions and is recognized in changes in net assets without donor restrictions in the statement of activities.

Revenue Recognition

Per-Pupil Revenue

The School recognizes revenues from per-pupil funding in the fiscal year in which the academic programs are provided. Per-pupil revenue is billed and received based on the total number of full-time equivalent (FTE) students and the basic charter school tuition rate for the school district of residence of the students attending the School in any given fiscal year for general education and special education. The FTE is formula-driven and based on the number of days the student has been with the School as a proportion of the number of days in the entire school year (the calculation is

Mott Haven Academy Charter School

Notes to Financial Statements

done by using the New York State calculator online). The School's total student population includes general education and special education students. The School has determined that revenue from its students has the same performance obligations, types of contract, and services rendered. As a result, the student body is viewed as one customer base for revenue purposes. The School uses a portfolio approach to account for per-pupil contracts as a collective group rather than recognizing revenue on an individual-contract basis. The School believes that revenue recognized by utilizing the portfolio approach approximates the revenue that would have been recognized if an individual contract approach were used.

Per-pupil invoicing is managed on a bi-monthly basis to the funding source (local school district). Billing is a function of student enrollment for the upcoming fiscal year, which is the basis for the first two invoices per-pupil due June 1st and July 31st, which is a projection. Subsequent invoices are due bi-monthly. With the implementation of an automated-invoicing process through a dedicated website, the submission of each invoice is done online. After the year is complete, the School submits the FTE per-pupil reconciliation, listing every student who attended any part of the year, and the FTE each represents. Based on this final count, it calculates how much should have been paid to the School and included in the reconciliation will be any amounts due from the funding source included in grants and other receivables on the statement of financial position at year end, or any amounts payable to the funding source included as a liability on the statement of financial position at year end, as amounts are tried up to actual based on actual numbers submitted at year end.

Additional funding is also provided to support special education services. All students who are identified to need special education services or settings have an Individualized Education Program ("IEP"), formalized for his or her unique needs. Based on this IEP, the student is categorized into one of three levels of service: 0-20% service, 20-60% service, or 60% or more service required and provided by the School. For a student receiving less than 20% in services, no additional funding is received. For a student receiving services between 20% and 60% and 60% or more services of the school day, additional funding per FTE is received. Billing for this support is incorporated into the per-pupil invoices and is also settled in the same FTE per-pupil reconciliation process.

As the students receive the benefit of these services simultaneously as the School is providing them, the School recognizes per-pupil revenue from these services over time. The School believes that this method provides a reasonable depiction of the transfer of services over the term of the performance obligation based on the services needed to satisfy the obligation. Generally, performance obligations satisfied over time relate to students receiving academic or school services. The School measures the performance obligation from admission or enrollment into the School to the point when the student is discharged or the end of the school year where it is no longer required to provide services to the student, which is generally at the time of discharge or the completion of the school year. All of these services are bundled and considered a single-performance obligation, and as such, the School accounts for these bundled-performance obligations under state and local per pupil operating revenue in the statement of activities and recognizes the per-pupil revenue over time.

Government Grants

Revenue from federal, state, and local government grants and contracts is recognized by the School when qualifying expenditures are incurred and billable to the government, or when required services have been provided.

Mott Haven Academy Charter School

Notes to Financial Statements

Contract Assets and Contract Liabilities

In accordance with Financial Accounting Standards Board ("FASB") Accounting Standards Codification ("ASC") 606, contract assets are to be recognized when an entity has the right to receive consideration in exchange for goods or services that have been transferred to a customer when that right is conditional on something other than the passage of time. The School does not recognize contract assets, as the right to receive consideration is unconditional in accordance with the passage of time criteria. Also, in accordance with ASC 606, contract liabilities are to be recognized when an entity is obligated to transfer goods or services for which consideration has already been received. The School does not receive consideration prior to the transfer of goods or services and, therefore, does not recognize contract liabilities.

Contributions of Nonfinancial Assets

The School receives contributed goods and services that are an integral part of its operations. Such support is recorded as contributions in-kind, at their fair value, provided it meets the criteria for recognition. In-kind contributions consist of rent subsidies and are reflected as both income and expense in the accompanying financial statements.

Property and Equipment

Property and equipment are stated at cost and are depreciated on the straight-line method over the estimated useful lives of the assets. Leasehold improvements are amortized over the lesser of the useful life of the asset or the lease term. The School has established a \$1,000 threshold above which assets are evaluated to be capitalized. Property and equipment acquired with certain government contract funds is recorded as an expense pursuant to the terms of the contract in which the government funding source retains ownership of the property. Maintenance and repairs are charged to expense as incurred; major renewals and betterments are capitalized, based on the established threshold.

Impairment

The School reviews long-lived assets to determine whether there has been any permanent impairment whenever events or circumstances indicate the carrying amount of an asset may not be recoverable. If the sum of the expected future undiscounted cash flows is less than the carrying amount of the assets, the School recognizes an impairment loss. No impairment losses were recognized for the years ended June 30, 2022 and 2021.

Advertising

The School expenses advertising costs as incurred. The School incurred \$152,539 and \$38,078 of advertising costs for the years ended June 30, 2022 and 2021, respectively, which is included in the accompanying statements of functional expenses under marketing and recruitment.

Functional Allocation of Expenses

The costs of providing programs and other activities have been summarized on a functional basis in the accompanying statement of activities. Accordingly, certain costs have been allocated among the respective programs and activities according to the functional categories, as follows:

Mott Haven Academy Charter School

Notes to Financial Statements

Program Services - This category represents expenses related to general education and special education for certain students requiring additional attention and guidance. These costs are allocated based on the FTE allocation method.

Management and General - This category represents expenses related to the overall administration and operation of the School that are not specific to any program services or development. These costs are allocated based on the FTE allocation method.

Estimates

The preparation of financial statements in conformity with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Income Taxes

The School is exempt from federal, state and local income taxes under Section 501(c)(3) of the Internal Revenue Code (the "IRC") and, therefore, has made no provision for income taxes in the accompanying financial statements. In addition, the School has been determined by the Internal Revenue Service not to be a "private foundation" within the meaning of Section 509(a) of the IRC. There was no unrelated business income for the years ended June 30, 2022 and 2021.

Under U.S. GAAP, an organization must recognize the tax benefit associate with tax positions taken for tax-return purposes when it is more likely than not that the position will not be sustained upon examination by a taxing authority. The School does not believe it has taken any material uncertain tax positions and, accordingly, it has not recorded any liability for unrecognized tax benefits. The School is subject to routine audits by a taxing authority. As of June 30, 2022 and 2021, the School was not subject to any examination by a taxing authority.

Adopted Accounting Pronouncements

Presentation and Disclosures by Not-for-Profit Entities for Contributed Nonfinancial Assets

In September 2020, the FASB issued Accounting Standards Updated ("ASU") 2020-07, *Presentation and Disclosures by Not-For-Profit Entities for Contributed Nonfinancial Assets* (Topic 958). The update requires not-for-profits to present contributed nonfinancial assets as a separate line item on the statement of activities, and to disclose information regarding each type of contributed nonfinancial assets. The update is effective for financial statements issued for fiscal years beginning after June 15, 2021. The School adopted this ASU as of July 1, 2021. The adoption of this ASU did not have a material impact on the financial statements.

Issued but not yet Adopted Accounting Pronouncements

Lease Accounting

In February 2016, the FASB issued ASU 2016-02, *Leases*, which will require lessees to recognize a lease liability, which is a lessee's obligation to make lease payments arising from a lease, measured on a discounted basis; and a right-of-use asset, which is an asset that represents the lessee's right to use, or control the use of, a specified asset for the lease term. The FASB also issued ASU-2020-

Mott Haven Academy Charter School

Notes to Financial Statements

05, which deferred the effective date for the School until annual periods beginning after December 15, 2021. The School is currently evaluating the impact of the adoption of ASU 2016-02.

Subsequent Events

The School has evaluated events through October 26, 2022, which is the date the financial statements were available to be issued.

3. Liquidity Management and Availability of Resources

The School maintains a policy of structuring its financial assets to be available as its general expenditures, liabilities and other obligations come due.

The School's financial assets available within one year of the statements of financial position date for general expenditures are as follows:

| June 30, | 2022 | 2021 |
|---|--------------|--------------|
| Cash | \$ 1,068,014 | \$ 1,492,068 |
| Cash - restricted | 70,374 | 70,360 |
| Grants and other receivables | 1,769,512 | 849,965 |
| Due from New York Foundling Hospital | 604,000 | 738,867 |
| Due from NYC Department of Education | - | 3,368 |
| Total financial assets available within one year | 3,511,900 | 3,154,628 |
| Less: amounts unavailable for general expenditures within one year due to: | | |
| Restricted by donors with purpose restrictions | (29,052) | (58,184) |
| Restricted by contract | - | (70,360) |
| Restricted by donors with time restrictions | (50,000) | (50,000) |
| Total financial assets available to management for general expenditures within one year | \$ 3,432,848 | \$ 2,976,084 |

The School had a surplus of approximately \$421,000 and \$2,755,000 for the years ending June 30, 2022 and 2021. The New York Foundling Hospital ("NY Foundling"), a related party that shares board members with the School, is committed to provide financial support through November 2022.

NY Foundling subsidizes rent and facility costs (see Note 4). In addition, NY Foundling provided contributions and grants to the School totaling \$997,481 and \$1,425,594 for the years ending June 30, 2022 and 2021, respectively.

4. Related Party

The School is located at 170 Brown Place, Bronx, New York, 10454. The new LEED certified, environmentally friendly building is leased by NY Foundling. The School subleases a portion of the building from NY Foundling. NY Foundling occupies the remaining space in the building. NY Foundling is a related party and shares two board members with the School.

Mott Haven Academy Charter School

Notes to Financial Statements

The School is obligated under a non-cancelable operating sublease for office and classroom space, which originally was to expire on August 31, 2020 but was amended and renewed through August 31, 2025.

Future minimum lease payments are as follows for the years ending June 30, :

| Year ended June 30, | | |
|---------------------|----|------------------|
| 2023 | \$ | 1,117,956 |
| 2024 | | 1,117,956 |
| 2025 | | 1,117,956 |
| 2026 | | 465,815 |
| | \$ | <u>3,819,683</u> |

For the year ending June 30, 2022, the School incurred building lease and rent costs of \$1,117,956. For the year ending June 30, 2021, the School incurred building lease and rent costs of \$1,691,099, of which \$573,143 was contributed by NY Foundling as a subsidy. Such subsidy has been recorded as contributions in-kind at fair value. These amounts are reflected as both income and expense in the accompanying financial statements. The School was not indebted to NY Foundling for any building lease and rent costs as of June 30, 2022. As of June 30, 2021, the School owed NY Foundling approximately \$186,000 in building lease and rent costs.

5. Property And Equipment, Net

Property and equipment consist of the following as of June 30, :

| | 2022 | 2021 | Estimated Useful Lives |
|--------------------------------|------------------|------------------|---|
| Furniture and fixtures | \$ 256,103 | \$ 335,102 | 7 Years |
| Computer hardware and software | 462,947 | 537,819 | 3 Years |
| Musical instruments | - | 12,470 | 3 Years |
| | | | Leasser of the useful life of the asset or the lease term |
| Leasehold improvements | 171,496 | 160,752 | |
| Equipment | 274,733 | 263,271 | 3 Years |
| | <u>1,165,279</u> | <u>1,309,414</u> | |
| Less: accumulated depreciation | <u>(840,561)</u> | <u>(950,117)</u> | |
| | \$ 324,718 | \$ 359,297 | |

Depreciation expense for the years ended June 30, 2022 and 2021 was \$182,401 and \$115,859, respectively.

Mott Haven Academy Charter School

Notes to Financial Statements

6. Employee Benefits

The School adopted a 401(k) retirement plan (the "Plan") which covers most of the employees. The Plan is a defined contribution plan. Employees are eligible to enroll in the Plan either the first day of the Plan year or the first day of the seventh month of the Plan year. Those employees who have completed at least one full year of service are also eligible for employer contributions. The Plan provides for the School to contribute up to 4% of participating employee salaries. The School contribution becomes fully vested after the first year. For the years ended June 30, 2022 and 2021, employer contribution expense for the School was \$188,161 and \$160,385, respectively, which is included in retirement benefits in the accompanying statements of functional expenses.

7. Risk Management

- A. The School is exposed to various risks of loss related to torts; thefts of, damage to, and destruction of assets; injuries to employees; and natural disasters. The School maintains commercial insurance to help protect itself from such risks. The School also intends to defend its positions on these matters. As of June 30, 2022 and 2021, there are no matters for which the School believes the ultimate outcome would have a material adverse effect on the School's financial position.
- B. The School entered into contractual relationships with certain governmental funding sources. The governmental agencies may request return of funds as a result of noncompliance by the School, as well as additional funds for the use of facilities. The accompanying financial statements make no provision for the possible disallowance or refund. The School is of the opinion that such cost disallowances, if any, will not have a material effect in the School's financial statements and will record them in the fiscal year they become known.

8. Concentration Risks

Financial instruments that potentially subject the School to a concentration of credit risk include cash accounts at a major financial institution that, at times, exceeded the Federal Deposit Insurance Corporation insured limit of \$250,000. The School has not experienced any losses in such accounts and does not believe it is exposed to any significant credit risk on its cash accounts.

The School received approximately 73% and 69% of its total revenue from per-pupil funding from the NYCDOE during the years ending June 30, 2022 and 2021, respectively. The School received approximately 5% and 10% of its total revenue from NY Foundling during both the years ending June 30, 2022 and 2021.

Mott Haven Academy Charter School

Notes to Financial Statements

9. Net Assets With Donor Restrictions

Net assets with donor restrictions are purpose and time restricted and consist of the following at June 30, :

| | 2022 | 2021 |
|--|-----------|------------|
| Haven Kids rock music program | \$ 23,058 | \$ 29,512 |
| Mental health services | 5,994 | 12,624 |
| COVID-19 | - | 11,000 |
| Family coordinator and school nurse | - | 5,048 |
| Time restriction - 2023 | 50,000 | - |
| Time restriction - 2022 | - | 50,000 |
| Total net assets with donor restrictions | \$ 79,052 | \$ 108,184 |

Net assets were released from restrictions during the years ended June 30, 2022 and 2021 by incurring expenses and the passing of time, thus satisfying the restrictions as follows:

| | 2022 | 2021 |
|---|------------|--------------|
| Alumni support and college readiness | \$ 300,000 | \$ 300,000 |
| Family coordinator and school nurse | 5,048 | 152,504 |
| Mental health services | 206,630 | 187,375 |
| Support services/ social worker and behavior specialist | 126,000 | 126,000 |
| After school program | 178,000 | 178,000 |
| Haven Kids rock music program | 47,822 | 21,794 |
| COVID-19 | 11,000 | 60,000 |
| Classroom furnishing | 16,000 | - |
| PD Fellows | 18,375 | - |
| Blended literacy program | - | 45,000 |
| Arts and music | - | 30,000 |
| Academic tutoring | - | 5,000 |
| Time restriction - 2022 | 50,000 | - |
| Time restriction - 2021 | - | 50,000 |
| Total released from restrictions | \$ 958,875 | \$ 1,155,673 |

Mott Haven Academy Charter School

Schedule of Expenditure of Federal Awards

Year ended June 30, 2022

| FEDERAL GRANTOR/PASS-THROUGH GRANTOR/PROGRAM OR CLUSTER TITLE | FEDERAL ASSISTANCE LISTING NUMBER | PASS-THROUGH ENTITY IDENTIFYING NUMBER | PROVIDED TO SUBRECIPIENTS | TOTAL FEDERAL EXPENDITURES |
|--|--|--|------------------------------|-------------------------------|
| U.S. Department of Education: | | | | |
| Passed through the New York State Education Department: | | | | |
| Title I - Grants to Local Educational Agencies (Title I Part A of the ESEA) | 84.010 | Not Applicable | \$ - | \$ 274,276 |
| Title II - Supporting Effective Instruction State Grants | 84.367 | Not Applicable | - | 35,762 |
| Title IV - Student Support and Academic Enrichment Program | 84.424 | Not Applicable | - | 30,809 |
| Coronavirus Response and Relief Supplemental Appropriations Act, 2021 - Emergency Assistance to Non-Public School (CRRSA EANS) Program | 84.425R | Not Applicable | | 306,490 |
| American Rescue Plan - Elementary and Secondary School Emergency Relief (ARP ESSER) | 84.425U | Not Applicable | - | 901,244 |
| Special Education Cluster (IDEA): Education - Grants to States (IDEA, Part B) | 84.027 | Not Applicable | - | 100,719 |
| Total U.S. Department of Education | | | | 1,649,300 |
| U.S. Department of Agriculture: | | | | |
| Passed through the New York State Education Department: | | | | |
| School Breakfast Program | 10.553 | Not Applicable | - | 103,281 |
| National School Lunch Program | 10.555 | Not Applicable | - | 313,962 |
| Fresh Fruit and Vegetable Program | 10.582 | Not Applicable | - | 25,625 |
| Child Nutrition Program Emergency Operating Costs During COVID-19 | 10.558 | Not Applicable | - | 55,314 |
| Total U.S. Department of Agriculture | | | | 498,182 |
| Total Expenditures of Federal Awards | | | \$ - | \$ 2,147,482 |

See accompanying notes to the schedule.

Mott Haven Academy Charter School

Notes to Schedule of Expenditures of Federal Awards June 30, 2022

1. Basis Of Presentation

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal award activity of Mott Haven Academy Charter School (the "School") under programs of the federal government for the year ended June 30, 2022. The information in this Schedule is presented in accordance with the requirements of Title 2 U.S. *Code of Federal Regulations* ("CFR") Part 200, *Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards* ("Uniform Guidance"). Because the Schedule presents only a selected portion of the operations of the School, it is not intended and does not present the financial position, changes in net assets, or cash flows of the School.

2. Summary Of Significant Accounting Policies

Expenditures reported on the Schedule are reported on the accrual basis of accounting. Such expenditures are recognized following the cost principles contained in the Uniform Guidance, wherein certain types of expenditures are not allowable or are limited as to reimbursement.

3. Indirect Cost Rate

The School has elected not to use the 10% de minimis indirect cost rate allowed under the Uniform Guidance



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622 Third Ave, Suite 3100
New York, NY 10017

Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With *Government Auditing Standards*

To the Board of Trustees
Mott Haven Academy Charter School
Bronx, New York

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Mott Haven Academy Charter School (the "School"), which comprise the statements of financial position as of June 30, 2022 and 2021, and the related statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements, and have issued our report thereon dated October 26, 2022.

Report on Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the School's internal control over financial reporting ("internal control") as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses or significant deficiencies may exist that have not been identified.

Report on Compliance and Other Matters

As part of obtaining reasonable assurance about whether the School's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such

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an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the School's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

BDO USA, LLP

New York, New York
October 26, 2022



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622 Third Ave, Suite 3100
New York, NY 10017

Independent Auditor's Report on Compliance For Each Major Federal Program and Report on Internal Control Over Compliance Required by the Uniform Guidance

To the Board of Trustees
Mott Haven Academy Charter School
Bronx, New York

Report on Compliance for Each Major Federal Program

Opinion on Each Major Federal Program

We have audited Mott Haven Academy Charter School's (the "School") compliance with the types of compliance requirements described in the OMB *Compliance Supplement* that could have a direct and material effect on each of the School's major federal programs for the year ended June 30, 2022. The School's major federal program is identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

In our opinion, the School complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on its major federal programs for the year ended June 30, 2022.

Basis for Opinion on Each Major Federal Program

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America ("GAAS"); the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* ("Uniform Guidance"). Our responsibilities under those standards and the Uniform Guidance are further described in the Auditor's Responsibilities for the Audit of Compliance section of our report.

We are required to be independent of the School and to meet our other ethical responsibilities, in accordance with relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion on compliance for each major federal program. Our audit does not provide a legal determination of the School's compliance with the compliance requirements referred to above.

Responsibilities of Management for Compliance

Management is responsible for compliance with the requirements referred to above and for the design, implementation, and maintenance of effective internal control over compliance with the requirements of laws, statutes, regulations, rules, and provisions of contracts or grant agreements applicable to the School's federal programs.



Auditor's Responsibilities for the Audit of Compliance

Our objectives are to obtain reasonable assurance about whether material noncompliance with the compliance requirements referred to above occurred, whether due to fraud or error, and express an opinion on the School's compliance based on our audit. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS, *Government Auditing Standards*, and the Uniform Guidance will always detect material noncompliance when it exists. The risk of not detecting material noncompliance resulting from fraud is higher than for that resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Noncompliance with the compliance requirements referred to above is considered material, if there is a substantial likelihood that, individually or in the aggregate, it would influence the judgment made by a reasonable user of the report on compliance about the School's compliance with the requirements of each major federal program as a whole.

In performing an audit in accordance with GAAS, *Government Auditing Standards*, and the Uniform Guidance, we:

- exercise professional judgment and maintain professional skepticism throughout the audit.
- identify and assess the risks of material noncompliance, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the School's compliance with the compliance requirements referred to above and performing such other procedures as we considered necessary in the circumstances.
- obtain an understanding of the School's internal control over compliance relevant to the audit in order to design audit procedures that are appropriate in the circumstances and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control over compliance. Accordingly, no such opinion is expressed.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and any significant deficiencies and material weaknesses in internal control over compliance that we identified during the audit.



Report on Internal Control over Compliance

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the Auditor's Responsibilities for the Audit of Compliance section above and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies in internal control over compliance. Given these limitations, during our audit we did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However, material weaknesses or significant deficiencies in internal control over compliance may exist that were not identified.

Our audit was not designed for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, no such opinion is expressed.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

BDO USA, LLP

New York, New York
October 26, 2022

Mott Haven Academy Charter School

Schedule of Findings and Questioned Costs Year Ended June 30, 2022

Section I - Summary of Auditor's Results

Financial Statements

Type of report the auditor issued on whether the financial statements audited were prepared in accordance with U.S. GAAP:

Unmodified

Internal control over financial reporting:

- Material weakness(es) identified? Yes No
- Significant deficiency(ies) identified? Yes None reported

Noncompliance material to financial statements noted?

Yes No

Federal Awards

Internal control over major federal programs:

- Material weakness(es) identified? Yes No
- Significant deficiency(ies) identified? Yes None reported

Type of auditor's report issued on compliance for major federal programs:

Unmodified

Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a)?

Yes No

Identification of major federal programs:

| <u>CFDA Number</u> | <u>Name of Federal Program or Cluster</u> |
|--------------------|--|
| 84.425R | Coronavirus Response and Relief Supplemental Appropriations Act, 2021 - Emergency Assistance to Non-Public School (CRRSA EANS) Program |
| 84.425U | American Rescue Plan - Elementary and Secondary School Emergency Relief (ARP ESSER) |

Dollar threshold used to distinguish between type A and type B programs:

\$750,000

Auditee qualified as low-risk auditee?

Yes No

Mott Haven Academy Charter School

Schedule of Findings and Questioned Costs Year Ended June 30, 2022

Section II - Financial Statement Findings

There were no findings related to the financial statements that are required to be reported in accordance with generally accepted government auditing standards.

Section III - Federal Award Findings and Questioned Costs

There were no findings and questioned costs for federal awards (as defined in 2 CFR 200.516 (a)) that are required to be reported.

October 26, 2022

BDO USA, LLP
600 Third Avenue, 3rd Floor
New York, NY 10016

Ladies and gentlemen:

We are providing this letter in connection with your audit of Mott Haven Academy Charter School (“the School”), which comprise the statements of financial position as of June 30, 2022 and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements for the purpose of expressing an opinion as to whether the financial statements present fairly, in all material respects, the financial position, changes in net assets, and cash flows of the School in conformity with accounting principles generally accepted in the United States of America. We confirm that we are responsible for the preparation and fair presentation in the financial statements of financial position, changes in net assets, and cash flows in conformity with accounting principles generally accepted in the United States of America.

Certain representations in this letter are described as being limited to matters that are material. Items are considered material, regardless of size, if they involve an omission or misstatement of accounting information that, in the light of surrounding circumstances, makes it probable that the judgment of a reasonable person relying on the information would be changed or influenced by the omission or misstatement.

We confirm, to the best of our knowledge and belief, as of the date of this representation letter, as entered on the first page, the following representations made to you during your audit:

- (1) We have fulfilled our responsibilities, as set out in the terms of the audit engagement letter dated May 6, 2022, for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America.
- (2) We have fulfilled our responsibility, as set out in the terms of the aforementioned audit engagement letter, for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.
- (3) The financial statements include all assets and liabilities under the School’s control.
- (4) We have made available to you:
 - (a) All financial records, and related data and federal awards (including amendments, if any, and any other correspondence with federal agencies or pass-through entities relevant to federal programs and related activities), including the names of all related parties and all relationships and transactions with related parties, as agreed upon in the terms of the aforementioned audit engagement letter.
 - (b) All additional information that you have requested from us for the purpose of the audit.
 - (c) Unrestricted access to persons within the School from whom you determined it necessary to obtain audit evidence.

- (d) Minutes of the meetings of directors and committees of directors that were held from July 1, 2021 to the date of this letter, or summaries of actions of recent meetings for which minutes have not yet been prepared.

- (5) There have been no communications from regulatory agencies concerning noncompliance with or deficiencies in financial reporting practices.

- (6) There are no material transactions that have not been properly recorded in the accounting records underlying the financial statements or schedule of expenditures of federal awards (SEFA).

- (7) We acknowledge our responsibility for the design, implementation, and maintenance of internal control to prevent and detect fraud or noncompliance. We have disclosed to you the results of our assessment of the risk that the financial statements may be materially misstated as a result of fraud or noncompliance. We have no knowledge of any:
 - (a) Fraud or suspected fraud involving management or involving employees who have significant roles in internal control, whether or not perceived to have a material effect on the financial statements.

 - (b) Fraud or suspected fraud involving others where the fraud could have a material effect on the financial statements.

 - (c) Allegations of fraud or suspected fraud affecting the Organization received in communications from employees, former employees, regulatory agencies, grantors, law firms, predecessor accounting firms, or others.

 - (d) Instances of noncompliance or suspected noncompliance with provisions of laws, regulations, contracts or grant agreements, or abuse, whose effects, both quantitatively and qualitatively, should be considered when preparing the financial statements.

- (8) We have no plans or intentions that may materially affect the carrying value or classification of assets, liabilities, or net asset balances.

- (9) The following, where applicable and material, have been properly recorded or disclosed in the financial statements:
 - (a) The identity of all related parties and all related party relationships and transactions of which we are aware (e.g., transactions with unconsolidated subsidiaries; affiliates under common control with the School or that are directly or indirectly controlled by the School; directors,

management, and members of their immediate families), including sales, purchases, loans, transfers, leasing arrangements, and guarantees, and amounts receivable from or payable to related parties.

- (b) Arrangements with financial institutions involving compensating balances or other arrangements involving restrictions on cash balances and line-of-credit or similar arrangements.
- (c) All derivative instruments and any embedded derivative instruments that require bifurcation, in accordance with FASB ASC 815, *Derivatives and Hedging*.
- (d) Guarantees, whether written or oral, under which Mott Haven Academy Charter School is contingently liable.
- (e) Significant estimates and material concentrations known to management that are required to be disclosed in accordance with FASB ASC 275-10, *Risk and Uncertainties - Overall*. In that regard, all accounting estimates that could be material to the financial statements, including key factors and significant assumptions underlying those estimates, have been identified, and we believe the estimates are reasonable in the circumstances. The methods, significant assumptions, and the data used in making the accounting estimates and the related disclosures are appropriate to achieve recognition, measurement, and disclosure that is in accordance with accounting principles generally accepted in the United States of America. (Significant estimates are estimates at the statement of financial position date that could change materially within the next year. Concentrations refer to volumes of business, revenues, available sources of supply, or markets or geographic areas for which events could occur that would significantly disrupt normal finances within the next year.).
- (f) The effects of all known actual or possible litigation, claims, and other liabilities or gain or loss contingencies that are required to be accrued or disclosed by FASB ASC 450, *Contingencies*, including:
 - Pending or anticipated tax assessments or refunds, other potential or pending claims, lawsuits by or against any branch of government or others;
 - Written or oral guarantees, endorsements, or unused letters of credit;
 - Unusual warranties or guarantees; or
 - Labor claims or negotiations.

FASB ASC 450-20, *Loss Contingencies*, requires loss contingencies to be accrued if it is probable an asset has been impaired or a liability incurred at the statement of financial position date and the amount of loss can be reasonably estimated. Such contingencies must be disclosed, but may not be accrued, if the loss is reasonably possible (but not probable) or the loss is probable but the amount of loss cannot be reasonably estimated.

- (g) Commitments, such as:
 - Major fixed asset purchase agreements;
 - More-than-one-year employment arrangements or contracts with suppliers or customers, or one-year-or-longer term leases;
 - Deferred compensation, bonuses, pension and profit-sharing plans, or severance pay; or
 - Pending sale or merger of all or a portion of the business or of an interest therein or acquisition of all or a portion of the business, assets or securities of another entity.

 - (h) Joint ventures or other participations, the detailed transactions of which are not carried on our books.

 - (i) Foreign currency transaction gains or losses, as well as translation of foreign currency financial statements.
- (10) There are no:
- (a) Violations or possible violations of laws or regulations and provisions of contracts and grant agreements (including the failure to file reports required by regulatory bodies (e.g., EPA, OCC, FDIC, DOL, Medicare, U.S. Customs Service, HIPAA, IRS, Dept. of Commerce, state and municipal authorities) when the effects of failing to file could be material to the financial statements) whose effects should be considered for disclosure in the financial statements or as a basis for recording a loss contingency.

 - (b) Unasserted claims or assessments that our lawyer has advised us are probable of assertion and must be disclosed in accordance with FASB ASC 450.

 - (c) Side agreements or other arrangements (either written or oral) that have not been disclosed to you.

 - (d) Designation of net assets disclosed to you that were not properly authorized and approved, or reclassifications of net assets that have not been properly reflected in the financial statements.
- (11) Receivables recorded in the financial statements represent valid claims against debtors or grantors for sales, contributions, pledges, or other charges arising on or before the statement of financial position date and have been appropriately reduced to their estimated net realizable value.

- (12) With regard to items reported at fair value: (a) the underlying assumptions are reasonable and they appropriately reflect management's intent and ability to carry out its stated courses of action, (b) the measurement methods and related assumptions used in determining fair value are appropriate in the circumstances and have been consistently applied, (c) the disclosures related to fair values are complete, adequate, and in conformity with U.S. GAAP and (d) there are no subsequent events that require adjustments to the fair value measurements and disclosures included in the financial statements.
- (13) The School has satisfactory title to all owned assets, and there are no liens or encumbrances on such assets nor has any asset been pledged as collateral.
- (14) We have complied with all aspects of grant agreements and other contractual agreements, including debt covenants, that would have a material effect on the financial statements in the event of noncompliance.
- (15) No discussions have taken place with your firm's personnel regarding employment with the School.
- (16) The School is an exempt organization under Section 501(c)3 of the Internal Revenue Code as evidenced by determination letter dated July 6, 2008. Any activities of which we are aware that would jeopardize our tax-exempt status, all activities subject to tax on unrelated business income or excise or other tax, have been disclosed to you. All required filings with tax authorities are up-to-date.
- (17) We have complied with all restrictions on resources, including donor restrictions, and all aspects of contractual and grant agreements that would have a material effect on the financial statements in the event of noncompliance. This includes complying with donor requirements to maintain a specific asset composition necessary to satisfy their restrictions.
- (18) Net assets with donor restrictions of the School at June 30, 2022 consist of the following:

2022

Haven Kids rock music program

23,058

| | |
|-------------------------|---------------|
| Mental health services | 5,995 |
| Time restriction - 2023 | 50,000 |
| | <hr/> |
| Total | 79,053 |
| | <hr/> |

- (19) The basis used for the allocation of functional expenses is reasonable, and is in compliance with the provisions of ASU 2016-14.
- (20) As part of your audit, you assisted with the preparation of the financial statements and related notes and the schedule of expenditures of federal awards. We acknowledge our responsibility as it relates to those nonattest/nonaudit services, including that we assume all management responsibilities; oversee the services by designating an individual, preferably within senior management, who possesses suitable skill, knowledge, or experience; evaluate the adequacy and results of the services performed; and accept responsibility for the results of the services. We have reviewed, approved, and accepted responsibility for the financial statements and related notes and schedule of expenditures of federal awards.
- (21) We are responsible for compliance with laws, regulations, and provisions of contracts and grant agreements applicable to us and we have identified and disclosed to you all laws, regulations, and provisions of contracts and grant agreements that we believe have a direct and material effect on the determination of financial statement amounts or other financial data significant to the audit objectives.
- (22) We have provided views on your reported findings, conclusions, and recommendations. We are responsible for taking corrective action on audit findings and we are responsible for preparing and implementing a corrective action plan for each audit finding.
- (23) We have identified and disclosed to you the findings received for previous audits, attestation engagements, and other studies related to the audit objectives and whether related recommendations have been implemented.
- (24) With respect to federal award programs:
- (a) We are responsible for understanding and complying with, and have complied with, the requirements of Title 2 U.S. *Code of Federal Regulations* (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance), as applicable.
- (b) We have, in accordance with the Uniform Guidance, identified and disclosed to you, in the schedule of expenditures of federal awards (SEFA), expenditures made during the audit period for all government programs and related activities provided by federal agencies in the form of federal awards, grants, federal cost-reimbursement contracts, loans, loan guarantees, property (including donated surplus property), cooperative agreements, interest subsidies, insurance, food commodities, direct appropriations, and other assistance.

- (c) We acknowledge our responsibility for the preparation of the SEFA and related notes in accordance with the requirements of the Uniform Guidance, and we believe the SEFA, including its form and content, is fairly presented in accordance with the Uniform Guidance. The methods of measurement or presentation of the SEFA have not changed from those used in the prior period, and we have outlined any significant assumptions and interpretations underlying the measurement or presentation of the SEFA below.
- (d) We have notified you of federal awards and funding increments that were received for awards received before December 26, 2014, and differentiated those awards from awards received on or after December 26, 2014, and subject to the audit requirements of the Uniform Guidance.
- (e) We will include the auditor's report on the SEFA in any document that contains the SEFA and that indicates you have reported on such information.
- (f) We are responsible for understanding and complying with the requirements of federal statutes, regulations, and the terms and conditions of federal awards related to each of our federal programs and have identified and disclosed to you the requirements of federal statutes, regulations, and the terms and conditions of federal awards that are considered to have a direct and material effect on each major program.
- (g) We are responsible for establishing and maintaining, and have established and maintained, effective internal control over compliance for federal programs that provides reasonable assurance that we are managing our federal awards in compliance with federal statutes, regulations, and the terms and conditions of federal awards that could have a material effect on our federal programs. We believe the internal control system is adequate and is functioning as intended. Also, subsequent to the date of the auditor's report as of which compliance was audited, no changes have occurred in internal control over compliance or other factors that might significantly affect internal control, including any corrective action we have taken regarding significant deficiencies and material weaknesses in internal control over compliance as reported in the schedule of findings and questioned costs.
- (h) We have made available to you all federal awards (including amendments, if any) and any other correspondence relevant to federal programs and related activities that have taken place with federal agencies or pass-through entities.
- (i) We have received no requests from a federal agency to audit one or more specific programs as a major program.
- (j) We have complied, in all material respects, with the direct and material compliance requirements (except for noncompliance disclosed to you), including, when applicable, those

set forth in the *OMB Compliance Supplement*, relating to federal awards and have identified and disclosed to you all amounts questioned and all known noncompliance with the direct and material compliance requirements of federal awards, or confirmed that there were no amounts questioned and no known noncompliance with the direct and material compliance requirements of federal awards. We also know of no instances of noncompliance occurring subsequent to the end of the period audited.

- (k) We have disclosed to you any communications from federal awarding agencies and pass-through entities concerning possible noncompliance with the direct and material compliance requirements, including communications received from the end of the period covered by the compliance audit to the date of the auditor's report.
- (l) Amounts claimed or used for matching were determined in accordance with relevant guidelines in Uniform Guidance and OMB Circular A-122, "*Cost Principles for Nonprofit Organizations*," and Subpart C, "*Cost Sharing and Matching*," of OMB Circular A110, "*Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations*".
- (m) We have disclosed to you our interpretations of compliance requirements that are subject to varying interpretations, if any.
- (n) We have made available to you all documentation related to compliance with the direct and material compliance requirements, including information related to federal program financial reports and claims for advances and reimbursements.
- (o) We have disclosed to you the nature of any subsequent events that provide additional evidence with respect to conditions that existed at the end of the reporting period that affect noncompliance during the reporting period.
- (p) Federal program financial reports and claims for advances and reimbursements are supported by the books and records from which the basic financial statements have been prepared, and are prepared on a basis consistent with the schedule of expenditures of federal awards.
- (q) The copies of federal program financial reports provided to you are true copies of the reports submitted, or electronically transmitted, to the respective federal agency or pass-through entity, as applicable.
- (r) We have charged costs to federal awards in accordance with applicable cost principles.

- (s) We are responsible for, and have accurately completed, the appropriate sections of the Data Collection Form as required by the Uniform Guidance. The final version of the applicable audit reporting package, which includes your signed auditor's reports, that we will submit to the Federal Audit Clearinghouse (FAC) will be identical to the final version of such documents that you provided to us.
 - (t) We have identified and disclosed to you the findings received and related corrective actions taken for previous audits, attestation engagements, internal or external monitoring, and other studies directly related to the audit objectives of the compliance audit, including findings received and corrective actions taken from the end of the audit period covered by the compliance audit report to the date of the auditor's report.
 - (u) We have disclosed to you all contracts or other agreements with service organizations, and we have disclosed to you all communications from the service organizations relating to noncompliance at the service organizations.
 - (v) We are responsible for and have ensured the reporting package does not contain protected personally identifiable information.
 - (w) As part of your audit, you assisted with the preparation of the financial statements and related notes and schedule of expenditures of federal awards. We acknowledge our responsibility as it relates to those nonattest/nonaudit services, including that we assume all management responsibilities; oversee the services by designating an individual, preferably with senior management, who possesses suitable skill, knowledge, or experience; evaluate the adequacy and results of the services performed; and accept responsibility for the results of the services. We have reviewed, approved, and accepted responsibility for those financial statements and related notes and schedule of expenditures of federal awards.
- (25) There have been no known or suspected breaches of sensitive information (e.g., personnel files) caused by cyber-attack or other means, or other cybersecurity incidents, where the breach or other incidents could have a material effect on the financial statements.
- (26) In connection with any electronic presentation of the financial statements and your audit report thereon on our web site, we acknowledge that:
- We are responsible for the preparation, presentation, and content of the financial statements in the electronic format.
 - If your audit report is presented on our web site, the full financial statements upon which you reported and to which you appended your signed report will be presented.

· We will clearly indicate in the electronic presentation on our web site the financial information that is subject to your audit report. We will clearly differentiate any information that may also be presented by us on or in connection with our web site that was contained in the published version of the financial statements and other supplementary information, but which is not part of the audited financial statements or other financial information covered by your audit report.

· We have assessed the security over financial statement information and the audit report presented on our web site, and are satisfied that procedures in place are adequate to ensure the integrity of the information provided. We understand the risk of potential misrepresentation inherent in publishing financial information on our web site through internal failure or external manipulation.

· If the electronic financial statements are generally made available to the public on our web site, we will include a notification to the reader that such financial statements are presented for convenience and information purposes only, and while reasonable efforts have been made to ensure the integrity of such information, they should not be relied on. A copy of the printed financial statements will be provided on request.

(27) By executing this document, you represent that Mott Haven Academy Charter School is not owned or controlled, directly or indirectly, by one or more Russian citizen(s), Russian national(s), persons physically located in Russia or entity(s) organized under the laws of Russia. You agree that if at any time while BDO USA, LLP (“BDO”) is providing services to the School the foregoing representation is no longer true, you will immediately notify BDO.


(28) We are aware that Marc Taub is the engagement partner and is responsible for supervising the engagement and signing the report.

To the best of our knowledge and belief, no events, including instances of noncompliance, have occurred subsequent to the statement of financial position date and through the date of this representation letter, as entered on the first page, that would require adjustment to or disclosure in the aforementioned financial statements or in the schedule of findings and questioned costs.

Very truly yours,



Jessica Nauiokas, Head of School & Founder



Janet Campagna, Board Treasurer



MOTT HAVEN ACADEMY CHARTER SCHOOL
170 BROWN PL
BRONX NY 10454-4140

Page: 1 of 2
Statement Period: Jul 01 2022-Sep 30 2022
Cust Ref #: 8920837930-356-T-0
Primary Account #: 00008920837930

Commercial Savings

MOTT HAVEN ACADEMY CHARTER SCHOOL

Account # 00008920837930

ACCOUNT SUMMARY

| | | |
|-------------------------------|------------------------------|-----------|
| Statement Balance as of 07/01 | | 70,374.21 |
| Plus | 0 Deposits and Other Credits | 0.00 |
| Plus | Interest Paid | 3.54 |
| Less | 0 Checks and Other Debits | 0.00 |
| Statement Balance as of 09/30 | | 70,377.75 |

ACCOUNT ACTIVITY

Transactions by Date

| DATE | DESCRIPTION | DEBIT | CREDIT | BALANCE |
|-------|---------------|-------|--------|-----------|
| 07/31 | INTEREST PAID | | 1.19 | 70,375.40 |
| 08/31 | INTEREST PAID | | 1.20 | 70,376.60 |
| 09/30 | INTEREST PAID | | 1.15 | 70,377.75 |

INTEREST SUMMARY

| | |
|---|-------|
| Beginning Interest Rate | 0.02% |
| Number of days in this Statement Period | 92 |
| Interest Earned this Statement Period | 3.55 |
| Annual Percentage Yield Earned | 0.02% |
| Interest Paid Year to date | 10.52 |

How to Balance your Account

Begin by adjusting your account register as follows:

- Subtract any services charges shown on this statement.
- Subtract any automatic payments, transfers or other electronic withdrawals not previously recorded.
- Add any interest earned if you have an interest-bearing account.
- Add any automatic deposit or overdraft line of credit.
- Review all withdrawals shown on this statement and check them off in your account register.
- Follow instructions 2-5 to verify your ending account balance.

1. Your ending balance shown on this statement is:
2. List below the amount of deposits or credit transfers which do not appear on this statement. Total the deposits and enter on Line 2.
3. Subtotal by adding lines 1 and 2.
4. List below the total amount of withdrawals that do not appear on this statement. Total the withdrawals and enter on Line 4.
5. Subtract Line 4 from 3. This adjusted balance should equal your account balance.

| | | | |
|----------|--------------------------|----------|------------------|
| 1 | Ending Balance | | 70,377.75 |
| 2 | Total Deposits | + | |
| 3 | Sub Total | | |
| 4 | Total Withdrawals | - | |
| 5 | Adjusted Balance | | |

| 2 | DEPOSITS NOT ON STATEMENT | DOLLARS | CENTS |
|----------|----------------------------------|----------------|--------------|
| | | | |
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| | | | |
| | | | |
| | | | |
| | | | |
| | Total Deposits | | 2 |

| 4 | WITHDRAWALS NOT ON STATEMENT | DOLLARS | CENTS |
|----------|-------------------------------------|----------------|--------------|
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| | WITHDRAWALS NOT ON STATEMENT | DOLLARS | CENTS |
|--|-------------------------------------|----------------|--------------|
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| | | | |
| | | | |
| | Total Withdrawals | | 4 |

FOR CONSUMER ACCOUNTS ONLY — IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:

If you need information about an electronic fund transfer or if you believe there is an error on your bank statement or receipt relating to an electronic fund transfer, telephone the bank immediately at the phone number listed on the front of your statement or write to:

TD Bank, N.A., Deposit Operations Dept, P.O. Box 1377, Lewiston, Maine 04243-1377

We must hear from you no later than sixty (60) calendar days after we sent you the first statement upon which the error or problem first appeared. When contacting the Bank, please explain as clearly as you can why you believe there is an error or why more information is needed. Please include:

- Your name and account number.
- A description of the error or transaction you are unsure about.
- The dollar amount and date of the suspected error.

When making a verbal inquiry, the Bank may ask that you send us your complaint in writing within ten (10) business days after the first telephone call.

We will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you have the use of the money during the time it takes to complete our investigation.

INTEREST NOTICE

Total interest credited by the Bank to you this year will be reported by the Bank to the Internal Revenue Service and State tax authorities. The amount to be reported will be reported separately to you by the Bank.

FOR CONSUMER LOAN ACCOUNTS ONLY — BILLING RIGHTS SUMMARY

In case of Errors or Questions About Your Bill:

If you think your bill is wrong, or if you need more information about a transaction on your bill, write us at P.O. Box 1377, Lewiston, Maine 04243-1377 as soon as possible. We must hear from you no later than sixty (60) days after we sent you the FIRST bill on which the error or problem appeared. You can telephone us, but doing so will not preserve your rights. In your letter, give us the following information:

- Your name and account number.
- The dollar amount of the suspected error.
- Describe the error and explain, if you can, why you believe there is an error. If you need more information, describe the item you are unsure about.

You do not have to pay any amount in question while we are investigating, but you are still obligated to pay the parts of your bill that are not in question. While we investigate your question, we cannot report you as delinquent or take any action to collect the amount you question.

FINANCE CHARGES: Although the Bank uses the Daily Balance method to calculate the finance charge on your Moneyline/Overdraft Protection account (the term "ODP" or "OD" refers to Overdraft Protection), the Bank discloses the Average Daily Balance on the periodic statement as an easier method for you to calculate the finance charge. The finance charge begins to accrue on the date advances and other debits are posted to your account and will continue until the balance has been paid in full. To compute the finance charge, multiply the Average Daily Balance times the Days in Period times the Daily Periodic Rate (as listed in the Account Summary section on the front of the statement). The Average Daily Balance is calculated by adding the balance for each day of the billing cycle, then dividing the total balance by the number of Days in the Billing Cycle. The daily balance is the balance for the day after advances have been added and payments or credits have been subtracted plus or minus any other adjustments that might have occurred that day. There is no grace period during which no finance charge accrues. Finance charge adjustments are included in your total finance charge.

**Disclosure of Financial Interest by a Current
or Former Trustee**

Trustee Name:

William Baccaglini

Name of Charter School Education Corporation:

Mott Haven Academy

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g., chair, vice-chair, treasurer, secretary, parent representative, etc.).

Board of Trustee

2. Are you related, by blood or marriage, to any person employed by the school and/or education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and the person's position, job description, and other responsibilities with the school.

3. Are you related by blood, or marriage, or legal adoption/guardianship to any student currently enrolled in a school operated by the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if the student could benefit from your participation.

4. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if this person could benefit from your participation.

5. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes No

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

6. Identify each interest/transaction (and provide the requested information) that you, any of your immediate family members, and/or any persons who you reside with have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six months prior to such service. If there has been no such interest or transaction, check **None**.

None

| Date(s) | Nature of financial interest / transaction | Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion) | Name of person holding interest or engaging in transaction and relationship to you |
|----------------|---|---|---|
| | | | |

7. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) you reside with had a financial interest or other relationship. If you are a member, director, officer, or employee of an organization formally partnered with and/or doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, check **None**.

None

| Organization conducting business with the school(s) | Nature of business conducted | Approximate value of the business conducted | Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest | Steps taken to avoid conflict of interest |
|---|------------------------------|---|---|---|
| | | | | |

This document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. The personal contact information provided below will be redacted.

Business Telephone:

917-805-8171

Business Address:

590 Avenue of the Americas New York, NY 10011

E-mail Address:

bill.baccaglini@nyfoundling.org

Home Telephone:

2128864044

Home Address:

914 Foxpointe Circle Delray Beach, FL 33445

| | |
|---|-------------|
|  | 7/13/2022 |
| Signature | Date |

Acceptable signature formats include:

- Digitally certified PDF signature
- Print form, manually sign, scan to PDF

last revised 04/2022

**Disclosure of Financial Interest by a Current
or Former Trustee**

Trustee Name:

Christine Stokes

Name of Charter School Education Corporation:

Mott Haven Academy Charter School

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g., chair, vice-chair, treasurer, secretary, parent representative, etc.).

Trustee

2. Are you related, by blood or marriage, to any person employed by the school and/or education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and the person's position, job description, and other responsibilities with the school.

3. Are you related by blood, or marriage, or legal adoption/guardianship to any student currently enrolled in a school operated by the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if the student could benefit from your participation.

4. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if this person could benefit from your participation.

5. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes No

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

6. Identify each interest/transaction (and provide the requested information) that you, any of your immediate family members, and/or any persons who you reside with have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six months prior to such service. If there has been no such interest or transaction, check **None**.

None

| Date(s) | Nature of financial interest / transaction | Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion) | Name of person holding interest or engaging in transaction and relationship to you |
|----------------|---|---|---|
| | | | |

7. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) you reside with had a financial interest or other relationship. If you are a member, director, officer, or employee of an organization formally partnered with and/or doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, check **None**.

None

| Organization conducting business with the school(s) | Nature of business conducted | Approximate value of the business conducted | Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest | Steps taken to avoid conflict of interest |
|---|------------------------------|---|---|---|
| | | | | |

This document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. The personal contact information provided below will be redacted.

Business Telephone:

2122072089

Business Address:

730 3rd Ave. New York, NY 10017

E-mail Address:

christine.stokes@nuveen.com; cmb.stokes@gmail.com

Home Telephone:

5163185533

Home Address:

441 Manor Ridge Rd. Pelham, NY 10803



Christine Stokes

7/7/2022

Signature

Date

Acceptable signature formats include:

- Digitally certified PDF signature
- Print form, manually sign, scan to PDF

last revised 04/2022

**Disclosure of Financial Interest by a Current
or Former Trustee**

Trustee Name:

Fanon Howell

Name of Charter School Education Corporation:

Mott Haven Academy Charter School

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g., chair, vice-chair, treasurer, secretary, parent representative, etc.).

Board member.

2. Are you related, by blood or marriage, to any person employed by the school and/or education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and the person's position, job description, and other responsibilities with the school.

3. Are you related by blood, or marriage, or legal adoption/guardianship to any student currently enrolled in a school operated by the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if the student could benefit from your participation.

4. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if this person could benefit from your participation.

5. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes No

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

Director: The Carmel Hill Fund Education Program

6. Identify each interest/transaction (and provide the requested information) that you, any of your immediate family members, and/or any persons who you reside with have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six months prior to such service. If there has been no such interest or transaction, check **None**.

None

| Date(s) | Nature of financial interest / transaction | Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion) | Name of person holding interest or engaging in transaction and relationship to you |
|---------|--|--|--|
| | | | |

7. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) you reside with had a financial interest or other relationship. If you are a member, director, officer, or employee of an organization formally partnered with and/or doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, check **None**.

None

| Organization conducting business with the school(s) | Nature of business conducted | Approximate value of the business conducted | Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest | Steps taken to avoid conflict of interest |
|---|------------------------------|---|---|---|
| The Carmel Hill Fund Education Program | | | Fanon Howell, Director Partnership agreement to provide education software, literature, technology, and awards for student reading development. | |

This document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. The personal contact information provided below will be redacted.

Business Telephone:

(212) 426-8404

Business Address:

475 Riverside Drive, Suite 1846 NY, NY 10115

E-mail Address:

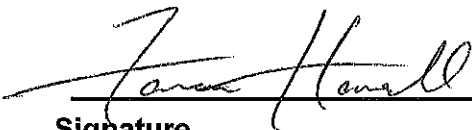
fhowell@carmelhill.org

Home Telephone:

(347) 837-0225

Home Address:

8 Duers Court Ossining, NY 10562



Signature

7/20/22

Date

Acceptable signature formats include:

- Digitally certified PDF signature
- Print form, manually sign, scan to PDF

last revised 04/2022

**Disclosure of Financial Interest by a Current
or Former Trustee**

Trustee Name:

_____ **Janet Campagna** _____

Name of Charter School Education Corporation: Mott Haven Academy

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g., chair, vice-chair, treasurer, secretary, parent representative, etc.).

Board of Trustees, Treasurer

2. Are you related, by blood or marriage, to any person employed by the school and/or education corporation?

No

If **Yes**, please describe the nature of your relationship and the person's position, job description, and other responsibilities with the school.

3. Are you related by blood, or marriage, or legal adoption/guardianship to any student currently enrolled in a school operated by the education corporation?

No

If **Yes**, please describe the nature of your relationship and if the student could benefit from your participation.

4. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of the education corporation?

No

If **Yes**, please describe the nature of your relationship and if this person could benefit from your participation.

5. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

No

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

-

6. Identify each interest/transaction (and provide the requested information) that you, any of your immediate family members, and/or any persons who you reside with have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six months prior to such service. If there has been no such interest or transaction, check **None**.

None X

| Date(s) | Nature of financial interest / transaction | Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion) | Name of person holding interest or engaging in transaction and relationship to you |
|----------------|---|---|---|
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7. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) you reside with had a financial interest or other relationship. If you are a member, director, officer, or employee of an organization formally partnered with and/or doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, check **None**.

None X

| Organizational conducting business with the school(s) | Nature of business conducted | Approximate value of the business conducted | Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest | Steps taken to avoid conflict of interest |
|---|---------------------------------------|---|---|--|
| | | | | |

This document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. The personal contact information provided below will be redacted.

Business Telephone:

____N/A_____

Business Address:

____N/A_____

E-mail Address:

_janet.campagna57@gmail.com_____

Home Telephone: 732 687 0720

Home Address: 105 Ocean Ave., Wells, ME 04090



07/06/2022_____

Signature

Date

Acceptable signature formats include:

- Digitally certified PDF signature
- Print form, manually sign, scan to PDF

last revised 04/2022

**Disclosure of Financial Interest by a Current
or Former Trustee**

Trustee Name:

Name of Charter School Education Corporation:

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g., chair, vice-chair, treasurer, secretary, parent representative, etc.).

2. Are you related, by blood or marriage, to any person employed by the school and/or education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and the person's position, job description, and other responsibilities with the school.

3. Are you related by blood, or marriage, or legal adoption/guardianship to any student currently enrolled in a school operated by the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if the student could benefit from your participation.

4. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of the education corporation?

Yes **No**

If **Yes**, please describe the nature of your relationship and if this person could benefit from your participation.

5. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services (“CMO”), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes **No**

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

6. Identify each interest/transaction (and provide the requested information) that you, any of your immediate family members, and/or any persons who you reside with have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six months prior to such service. If there has been no such interest or transaction, check **None**.

None

| Date(s) | Nature of financial interest / transaction | Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion) | Name of person holding interest or engaging in transaction and relationship to you |
|----------------|---|---|---|
| | | | |

7. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) you reside with had a financial interest or other relationship. If you are a member, director, officer, or employee of an organization formally partnered with and/or doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, check **None**.

None

| Organization conducting business with the school(s) | Nature of business conducted | Approximate value of the business conducted | Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest | Steps taken to avoid conflict of interest |
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Business Telephone:

Business Address:

E-mail Address:

Home Telephone:

Home Address:



Signature

Date

Acceptable signature formats include:

- Digitally certified PDF signature
- Print form, manually sign, scan to PDF

last revised 04/2022

**Disclosure of Financial Interest by a Current
or Former Trustee**

Trustee Name:

kathleen chiechi flores

Name of Charter School Education Corporation:

mott haven academy - the bronx NY

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g., chair, vice-chair, treasurer, secretary, parent representative, etc.).
member, vice-chair

2. Are you related, by blood or marriage, to any person employed by the school and/or education corporation?

Yes **No**

If **Yes**, please describe the nature of your relationship and the person's position, job description, and other responsibilities with the school.

3. Are you related by blood, or marriage, or legal adoption/guardianship to any student currently enrolled in a school operated by the education corporation?

Yes **No**

If **Yes**, please describe the nature of your relationship and if the student could benefit from your participation.

4. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if this person could benefit from your participation.

5. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes No

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

6. Identify each interest/transaction (and provide the requested information) that you, any of your immediate family members, and/or any persons who you reside with have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six months prior to such service. If there has been no such interest or transaction, check **None**.

None

| Date(s) | Nature of financial interest / transaction | Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion) | Name of person holding interest or engaging in transaction and relationship to you |
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7. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) you reside with had a financial interest or other relationship. If you are a member, director, officer, or employee of an organization formally partnered with and/or doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, check **None**.

None

| Organization conducting business with the school(s) | Nature of business conducted | Approximate value of the business conducted | Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest | Steps taken to avoid conflict of interest |
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| | | | | |

This document is considered a public record and, as such, may be made available to members of the public upon request under the Freedom of Information Law. The personal contact information provided below will be redacted.

Business Telephone:

973-216-6224

Business Address:

260 Madison

E-mail Address:

kathleen415@gmail.com

Home Telephone:

973-216-6224

Home Address:

32 carter rd, hampton bays, ny 11946



july 25, 2022

Signature

Date

Acceptable signature formats include:

- Digitally certified PDF signature
- Print form, manually sign, scan to PDF

last revised 04/2022

**Disclosure of Financial Interest by a Current
or Former Trustee**

Trustee Name:

Name of Charter School Education Corporation:

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g., chair, vice-chair, treasurer, secretary, parent representative, etc.).

2. Are you related, by blood or marriage, to any person employed by the school and/or education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and the person's position, job description, and other responsibilities with the school.

3. Are you related by blood, or marriage, or legal adoption/guardianship to any student currently enrolled in a school operated by the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if the student could benefit from your participation.

4. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of the education corporation?

Yes **No**

If **Yes**, please describe the nature of your relationship and if this person could benefit from your participation.

5. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes **No**

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

6. Identify each interest/transaction (and provide the requested information) that you, any of your immediate family members, and/or any persons who you reside with have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six months prior to such service. If there has been no such interest or transaction, check **None**.

None

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None

| Organization conducting business with the school(s) | Nature of business conducted | Approximate value of the business conducted | Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest | Steps taken to avoid conflict of interest |
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Business Telephone:

Business Address:

PO Box 15201, Albany, NY 12212

E-mail Address:

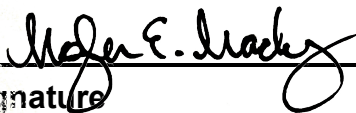
meghanmackay@gmail.com

Home Telephone:

415-260-5693

Home Address:

47 W. 70th Street, New York, NY 10023


Signature

July 13, 2022

Date

Acceptable signature formats include:

- Digitally certified PDF signature
- Print form, manually sign, scan to PDF

last revised 04/2022

**Disclosure of Financial Interest by a Current
or Former Trustee**

Trustee Name:

Name of Charter School Education Corporation:

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g., chair, vice-chair, treasurer, secretary, parent representative, etc.).

2. Are you related, by blood or marriage, to any person employed by the school and/or education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and the person's position, job description, and other responsibilities with the school.

3. Are you related by blood, or marriage, or legal adoption/guardianship to any student currently enrolled in a school operated by the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if the student could benefit from your participation.

4. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of the education corporation?

Yes **No**

If **Yes**, please describe the nature of your relationship and if this person could benefit from your participation.

5. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes **No**

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

6. Identify each interest/transaction (and provide the requested information) that you, any of your immediate family members, and/or any persons who you reside with have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six months prior to such service. If there has been no such interest or transaction, check **None**.

None

| Date(s) | Nature of financial interest / transaction | Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion) | Name of person holding interest or engaging in transaction and relationship to you |
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7. Identify each individual, business, corporation, union association, firm, partnership, franchise holding company, joint-stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) operated by the education corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) you reside with had a financial interest or other relationship. If you are a member, director, officer, or employee of an organization formally partnered with and/or doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, check **None**.

None

| Organization conducting business with the school(s) | Nature of business conducted | Approximate value of the business conducted | Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest | Steps taken to avoid conflict of interest |
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Business Telephone:

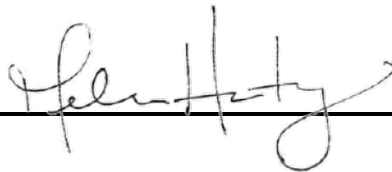
Business Address:

E-mail Address:

Home Telephone:

Home Address:

Signature

A handwritten signature in black ink, appearing to read "John H. Harty", written over a horizontal line.

Date

Acceptable signature formats include:

- Digitally certified PDF signature
- Print form, manually sign, scan to PDF

last revised 04/2022

**Disclosure of Financial Interest by a Current
or Former Trustee**

Trustee Name:

PATRICIA MULVANEY

Name of Charter School Education Corporation:

Mott Haven Academy Charter School

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g., chair, vice-chair, treasurer, secretary, parent representative, etc.).

Board chair

2. Are you related, by blood or marriage, to any person employed by the school and/or education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and the person's position, job description, and other responsibilities with the school.

3. Are you related by blood, or marriage, or legal adoption/guardianship to any student currently enrolled in a school operated by the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if the student could benefit from your participation.

4. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of the education corporation?

Yes No

If Yes, please describe the nature of your relationship and if this person could benefit from your participation.

5. Are you a past, current, or prospective employee of the charter school, education corporation, and/or an entity that provides comprehensive management services ("CMO"), whether for-profit or not-for-profit, which contracts, or may contract, with the charter school or education corporation; or do you serve as an employee, officer, or director of, or own a controlling interest in, a business or entity that contracts, or does business with, or plans to contract or do business with, the charter school, education corporation, and/or a CMO, whether for-profit or not-for-profit, including, but not limited to, the lease of real or personal property to the said entities?

Yes No

If Yes, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

Member of the New York Foundling
Board of Trustees, serving on
the Program Quality and Audit
committees of the board

6. Identify each interest/transaction (and provide the requested information) that you, any of your immediate family members, and/or any persons who you reside with have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six months prior to such service. If there has been no such interest or transaction, check **None**.

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Business Telephone:

Business Address:

E-mail Address:

mulvaneytrish@gmail.com

Home Telephone:

732.610.2625

Home Address:

500 Cinnamon Beach Way, Unit 431, Palm Coast, FL
32137

Patricia Mulvaney

6/30/2022

Signature

Date

Acceptable signature formats include:

- Digitally certified PDF signature
- Print form, manually sign, scan to PDF

last revised 04/2022

**Disclosure of Financial Interest by a Current
or Former Trustee**

Trustee Name:

Name of Charter School Education Corporation:

1. List all positions held on the education corporation Board of Trustees ("Board") (e.g., chair, vice-chair, treasurer, secretary, parent representative, etc.).

2. Are you related, by blood or marriage, to any person employed by the school and/or education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and the person's position, job description, and other responsibilities with the school.

3. Are you related by blood, or marriage, or legal adoption/guardianship to any student currently enrolled in a school operated by the education corporation?

Yes No

If **Yes**, please describe the nature of your relationship and if the student could benefit from your participation.

4. Are you related, by blood or marriage, to any person that could otherwise benefit from your participation as a board member of the education corporation?

Yes **No**

If **Yes**, please describe the nature of your relationship and if this person could benefit from your participation.

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Yes **No**

If **Yes**, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

6. Identify each interest/transaction (and provide the requested information) that you, any of your immediate family members, and/or any persons who you reside with have held or engaged in with the charter school(s) operated by the education corporation during the time you have served on the Board, and in the six months prior to such service. If there has been no such interest or transaction, check **None**.

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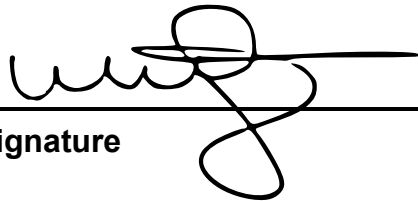
Business Telephone:

Business Address:

E-mail Address:

Home Telephone:

Home Address:

A handwritten signature in black ink, consisting of a series of loops and a long horizontal stroke.

Signature

Date

Acceptable signature formats include:

- Digitally certified PDF signature
- Print form, manually sign, scan to PDF

last revised 04/2022

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
July 21, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Bill Baccaglini, Christine Stokes, Meghan Mackay, Whitney Kneisley and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Upper School Principal, Michael Windram, Lower School Principal, Gleendy Marte, Elementary School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. June 23, 2021 minutes approved

2. Personnel, Operational and Financial Matters

- a. Family Session scheduled for input on Covid Impact Funding-Haven Academy will invite parents to join in the conversation on how Haven will prioritize the Covid Impact Funding.
- b. A Board Development and Fundraising Report was presented to the Board that showed the board's giving and fundraising numbers.

3. Academic and Social-Emotional Program Matters

- a. Summer Programming update - Haven is running a Summer Program at Haven Academy during the summer. Scholars are participating in intensive reading and math instruction for remediation.
- b. Goal Setting for the new year and beyond- The board discussed goal setting and data collection for both internal and external measures. Haven Academy shared goal work for the coming years for academics, attendance and SEL at the school-wide, teacher and student levels.

4. Legal, Regulatory and Governance Matters

- a. NYC DOE Reopening Plan- Haven Academy shared the options for reopening in the fall that the DOE has presented thus far. Covid safety was discussed and the options for masks and testing.

5. Executive Session- None Held

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.



Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
August 25, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Christine Stokes, Meghan Mackay, Whitney Kneisley, Janet Campagna, Kathleen Flores, Bill Baccaglini and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Upper School Principal, Michael Windram, Lower Elementary School Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Anjelica Garcia and Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. July 21, 2021 minutes approved

2. Personnel, Operational and Financial Matters

- a. New Staff and reopening: Haven Academy welcomed new team members last week to start the 21-22 school year and all staff this week.. Staff is back in the building participating in professional development in the building both in person in small groups and virtually from their own spaces when the groups are larger. First day of school is August 31st for scholars in-person.
- b. Upcoming Fundraising Opportunities : Haven Academy gave updates on performances of Haven Kids Rock and other fundraising events. The board was invited to attend these events. The board was asked to also to share any ideas or connections that may be interested in partnerships with Haven Academy.

3. Academic and Social-Emotional Program Matters

- a. Summary of Opportunity Myth Data and how it helps us focus teachers. Instructional leaders presented external findings that have informed our priority work areas for the coming year.
- b. Reopening Issues and Solutions: Haven Academy shared the Covid Safety Protocols in place for the 21-22 school year including but not limited to masks for everyone, all staff vaccinated, social distancing and weekly testing.

4. Legal, Regulatory and Governance Matters

- a. Board Development: We are officially entering open period for recommendations of potential new board members. Timeline for making decisions, needs analysis is in progress. Ideas for creating sub-committees were shared with the board. School based team also shared some outreach that was done for board recruitment and partnerships

for new board members. The board was asked to share any candidates they may have in mind.

5. Executive Session- None Held

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
September 22, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Christine Stokes, Meghan Mackay, Janet Campagna, Kathleen Flores, Bill Baccaglioni and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Upper School Principal, Michael Windram, Lower Elementary School Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Anjelica Garcia and Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. August 25, 2021 minutes approved

2. Personnel, Operational and Financial Matters

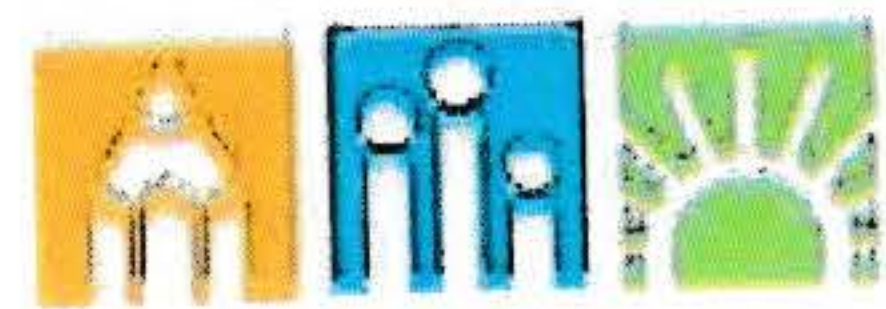
- a. Budget report and Audit Update: Preliminary budget shows us to be on budget. No significant changes to spending currently. The audit is underway.
- b. Upcoming Fundraising Events: The New York Foundling team shared some upcoming fundraising events and reported on the last fundraising events' success.
- c. Covid Reopening Compliance: Haven Academy shared a reopening update in regards to covid protocols, in school testing, contract tracing, quarantining etc.
- d. Covid Weekly Testing- 10% (consent and opt out summary): Haven Academy is testing weekly, 10% of our students and staff. Most families have consented to testing for their children.

3. Academic and Social-Emotional Program Matters

- a. School is Open! : The principals gave their reports of school reopening, and the work on "unit 0" to close the gaps that may have happened due to covid learning loss time.
- b. Assessment Baseline Data Collection: Haven Academy has begun giving baseline assessments in order to collect data to guide instruction in the classrooms and put the correct interventions in place to close any learning gaps.

4. Legal, Regulatory and Governance Matters

- a. Strategic Planning Process and Timeline in Development: The Board and Haven Academy are in the process of reviewing strategic plans developed before Covid-19 and will discuss the target timeline for the development of a new strategic plan going forward.



5. **Executive Session- None Held**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
October 20, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Christine Stokes, Meghan Mackay, Janet Campagna, Bill Baccaglioni and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Upper School Principal, Michael Windram, Lower Elementary School Principal, Jessica Westerduin, Lower Elementary Assistant Principal, Gleendy Marte, Upper Elementary Assistant Principal, Misty Awan, Middle School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Anjelica Garcia and Kirkley Strand, The New York Foundling

1. **Approval of Prior Board Meeting Minutes**
 - a. September 22, 2021 minutes approved
2. **Personnel, Operational and Financial Matters**
 - a. Annual Audit-No significant findings as the audit process nears completion.
 - b. New Retirement Plan Audit and timeline-This is the first time Haven will be required to undergo this additional audit of our retirement plan due to the number of participants. Haven will use the same auditors for the process.
 - c. Monthly Financials- Monthly financials were shared with the board, some minor changes were shared with the board and the board had time for questions.
3. **Academic and Social-Emotional Program Matters**
 - a. Presentation from Assistant Principals, the student experience snapshot- The Assistant Principals provided the board some insight on the cycles used for unit planning, teacher coaching and student learning in their particular grade levels. The APs shared teacher and coaching work as well as student work examples.
4. **Legal, Regulatory and Governance Matters**
 - a. Legal services contacted last month to explore H1N Visas
5. **Executive Session- Brief Session Convened**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: 
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
November 17, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Christine Stokes, Meghan Mackay, Janet Campagna, Bill Baccaglioni, Whitney Kneisley and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Upper School Principal, Michael Windram, Lower Elementary School Principal, Jessica Westerduin, Lower Elementary Assistant Principal, Gleendy Marte, Upper Elementary Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Anjelica Garcia and Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. October 20, 2021 minutes approved

2. Personnel, Operational and Financial Matters

- a. Audit Summary/ Monthly Financials- Previous FY Audit has been completed with no significant findings. The board reviewed the monthly financial report and the current student and staff FTE changes.
- b. Covid Dashboard- Haven academy shared the steps involved for the school-based team to manage and notify the community of covid cases and quarantines. Haven Academy sends a new case notification for each case and also shares a weekly summary to the whole community.

3. Academic and Social-Emotional Program Matters

- a. A new era of student needs- DESSA Summary-DESSA assesses eight social and emotional competencies and is intended to help educators plan instruction, document students' strengths and areas of need, inform progress monitoring, and evaluate program outcomes. Haven Academy shared a summary of school wide DESSA assessments and an overview of interventions put in place for the students' needs based on the data.
- b. Behavior Events Uptick- Haven Academy has seen a significant increase in aggressive incidents compared to the same time frame pre-pandemic. The Board discussed solutions and intervention suggestions.

4. Legal, Regulatory and Governance Matters

- a. MDR (Manifestation Determination Review) process for a student with an IEP- The Board was notified that an MDR is scheduled for an 8th Grade student which may result in a long term suspension or expulsion.

5. **Executive Session- Brief Session Convened**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: Patricia Mulvaney
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
December 15, 2021**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Christine Stokes, Meghan Mackay, Bill Baccaglioni, Whitney Kneisley and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Zennea Chetta, Director of Data and Technology. Guests Included: Anjelica Garcia and Kirkley Strand, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. November 17, 2021 minutes approved

2. Personnel, Operational and Financial Matters

- a. COTO Candidate and Onboarding - After a long search, Haven Academy has hired a new Chief Operations and Talent Officer (COTO). She has begun her onboarding and starts full time after winter break.
- b. PNP Search Recommended - The current pediatric nurse practitioner will resign in January and Haven will be conducting a new search for a PNP.
- c. Current Critical Vacancies and temporary solutions - Since the last board meeting Haven Academy has filled 6 vacancies. Haven also shared the remaining vacancies and how we are filling the immediate needs in the meantime.

3. Academic and Social-Emotional Program Matters

- a. Supporting and Celebrating Coming Out systems for students- Haven Academy team shared written protocols to support and celebrate LGBTQAI+ coming out and the processes to support them and their families both in school and outside.
- b. Crisis Preparedness, Safety Planning, Self Harm and Violence Protocols- Haven Academy annually prepares an internal and external safety plan which is submitted to the city and state. Additionally, we have added violent ideation to our internal processes for crisis and self harm. This included the use of a risk screening tool and development of a Family/Student Facing Safety Plan.

4. Legal, Regulatory and Governance Matters


- a. 401K Program Audit has commenced (BDO Auditor)
- b. Board Recruitment Process - The process and next steps of recruiting prospective board members was shared. A board recruitment task force will be working on the pipeline and next steps. Haven Academy shared about the creation of a new sub-committee for Haven Kids Rock and the Arts.

5. Executive Session- None Held

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: _____

Patricia Mulvaney, Board Chair



**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL**

January 19, 2022

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Janet Campagna, Christine Stokes, Meghan Mackay, Bill Baccaglioni, and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Upper School Principal, Michael Windram, Lower School Principal, Gleendy Marte, Upper Elementary Assistant Principal, Zennea Chetta, Director of Data and Technology, Sherrie Henry, Chief Operating and Talent Officer. Guests Included: Anjelica Garcia, Kirkley Strand and Melanie Hartzog, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. December 15, 2021 minutes approved

2. Personnel, Operational and Financial Matters

- a. Chief Operating Talent Officer (COTO) Introduction and Onboarding - Haven Academy introduced the new COTO to the board and the current focus of the role.
- b. Teacher transitions- Haven Academy shared new hires and open roles, as well as the ongoing hiring process. For next year's recruitment efforts, the newly hired COTO is supporting the strategy.
- c. COVID related testing, tracking and changes (introduction of TTS) - This week the school fully launched Test to Stay (TTS for all unvaxed close contacts) which means people with no symptoms stay in school regardless of exposure. Vaccinated are not required to TTS as long as they remain symptom free. Unvaccinated can stay in school and agree to a testing regime.

3. Academic and Social-Emotional Program Matters

- a. Entering our next round of Data collection - Scholars will participate in multiple interim assessments in order to collect data to drive instruction in the second half of the school year.
- b. Presentation of helping students prepare for assessments and context on our approach- The overall tone that we strive to set is that assessments help the adults get better at teaching AND that assessments help kids set goals of things to improve.

This month scholars will participate in a "mock" state assessment. This practice test will allow the students and staff to practice with full groupings, modifications/accommodations and testing environments. The data from these assessments will help us better understand the needs of our scholars in both testing itself, as well as focus standards for remediation and reteaching.

Practice assessments seem more vital this year since most of our 4th and 5th graders have never taken a state test and most of our middle school scholars have not taken one in 2 years. This means for most of our 8th grade scholars the last state assessment they took was in 5th grade and they have never taken a middle school state assessment.

4. Legal, Regulatory and Governance Matters

- a. Board Needs and Strengths template
- b. 401k Audit process is underway. The school's regular auditors BDO have been formally engaged. School Board member Christine Stokes will be supporting the process.

5. Executive Session- None Held

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: _____

Patricia Mulvaney, Board Chair



**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL**

February 16, 2022

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Christine Stokes, Meghan Mackay, Bill Baccaglioni, and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Upper School Principal, Zennea Chetta, Director of Data and Technology, Sherrie Henry, Chief Operating and Talent Officer. Guests Included: Anjelica Garcia, Kirkley Strand, Eleen Eng and Melanie Hartzog, The New York Foundling

1. Approval of Prior Board Meeting Minutes

- a. January 19, 2022 minutes approved

2. Personnel, Operational and Financial Matters

- a. Review of Monthly Financials: School continues to show a surplus and changes to staffing, enrollment and anticipated new expenses have been included. The school continues to fill vacant seats until we reach our target, reduced enrollment.
- b. Hiring Updates: Haven Academy shared current vacancies as well as hires since the last board meeting. One Middle school teacher was hired since the last board meeting and another has an offer pending.

3. Academic and Social-Emotional Program Matters

- a. Historical High School Placement Data Review and Process Progress: NYC generated a data report that shows Haven Academy 8th grade graduates high school choices and high school application processes. Haven Academy shared key takeaways and action items from the data report.
- b. NWEA Initial Findings: Haven Academy shared growth data for the Winter NWEA administration and action plans for using the data to drive instruction.
- c. Launch of Winter 2022 Afternoon Academy: In order to close achievement gaps we are seeing due to the ongoing pandemic, this year's academy is one of Haven's largest in scale, minutes and compared to previous years.

4. Legal, Regulatory and Governance Matters

- a. 401 K Audit Update: 401K Audit continues. Extension in place until April 2022.
- b. Board Recruitment Process: An update was shared on new candidates that are in consideration and the process for board recruitment.

5. Executive Session- None Held

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: _____

Patricia Mulvaney, Board Chair



**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
March 23, 2022**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Christine Stokes, Meghan Mackay, Whitney Kneisley, Janet Campagna, and Jessica Nauiokas. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Upper School Principal, Michael Windram, Lower School Principal, Zennea Chetta, Director of Data and Technology, Sherrie Henry, Chief Operating and Talent Officer, Vyasa Autar, Director of Programs Lower School, Jonathan Destine, Director of Data and Intervention. Guests Included: Anjelica Garcia, Kirkley Strand, Ellen Eng and Melanie Hartzog, The New York Foundling and Fanon Howell, The Carmel Hill Fund.

1. Approval of Prior Board Meeting Minutes

- a. February 16, 2022 minutes approved

2. Personnel, Operational and Financial Matters

- a. Review of Monthly Financials: The school continues to show a surplus in the current financial report. The school continues to fill vacant seats until spring break but will continue to operate with a reduced enrollment. The 2022-23 budget drafting has commenced and will include enrollment and staffing scenarios.
- b. Recruiting Needs and Analysis: Haven reported on new hires and gave a staffing update. Haven Academy shared an in depth assessment of the recruiting process including strengths, weaknesses and opportunities and some next steps to improve staff retention.

3. Academic and Social-Emotional Program Matters

- a. Status of Afternoon Academy Interventions: Haven Academy gave an update on Winter Afternoon Academy. This Year's Academy is larger in scale, minutes, and teachers than previous years. The school shared student work examples showcasing students who are making extraordinary growth in the afternoon academy. The presentation also included videos of students reflecting on their Academy progress and experience.

4. Legal, Regulatory and Governance Matters

- a. 401K Audit Update: 401K Audit continues. Extension in place until April 2022.

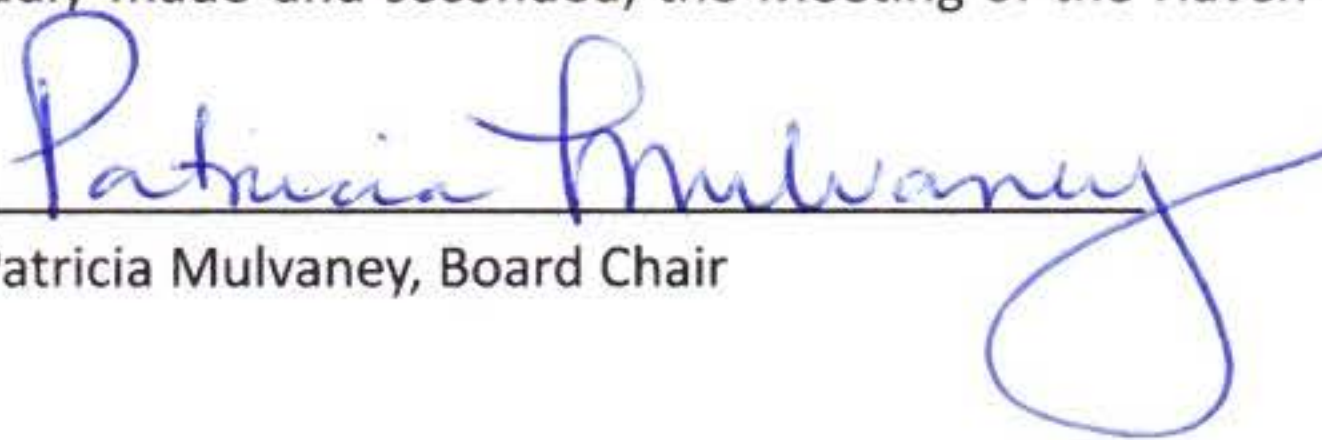
5. Executive Session- Brief session held.

- a. The members of the Board of Trustees of Mott Haven Academy voted unanimously to approve the addition of two new Board members: Fanon Howell and Melanie Hartzog. New members will begin on April 8, 2022.

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: _____

Patricia Mulvaney, Board Chair



**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
April 13, 2022**

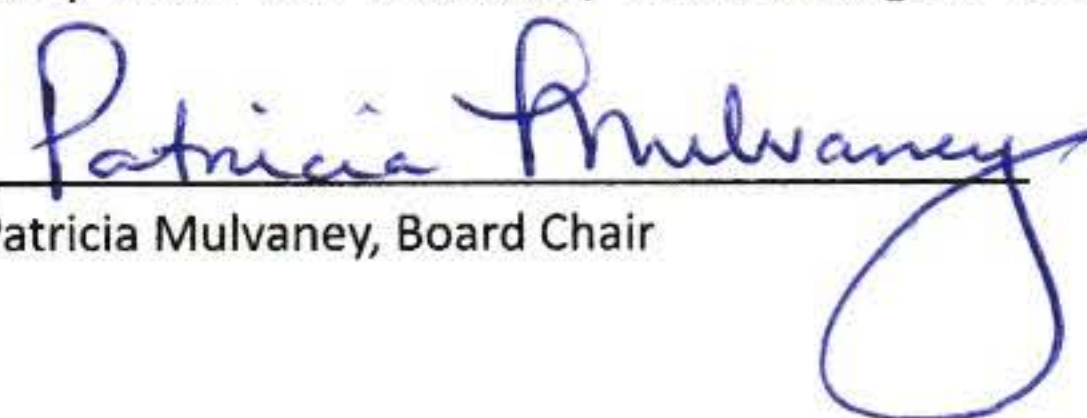
The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Melanie Hartzog, Christine Stokes, Meghan Mackay, Fanon Howell and Janet Campagna. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Upper School Principal, Michael Windram, Lower School Principal, Sherrie Henry, Chief Operating and Talent Officer, Jessica Westerduin, Lower School Assistant Principal, Gleendy Marte, Upper School Assistant Principal, Zennea Chetta, Director of Data and Technology, Alyssandra Jaquith, ENL Coordinator, Kate Hagenbuch, Director of Special Populations. Guests Included: Anjelica Garcia, Kirkley Strand, Ellen Eng.

1. **Approval of Prior Board Meeting Minutes**
 - a. March 23, 2022 minutes approved
2. **Personnel, Operational and Financial Matters**
 - a. Official welcome to our two newest board members Fanon Howell and Melanie Hartzog.
 - b. Review of Monthly Financials: Haven reviewed the monthly financial report with the board. Haven Academy is still projecting a surplus at year-end.
3. **Academic and Social-Emotional Program Matters**
 - a. Presentation on the preparations and structure for Summer Academy: Haven Academy will be partnering with Lavinia Summer RISE to provide summer academic enrichment and targeted interventions in reading, writing and math for about 130 students in small groups.
 - b. Supporting our team in learning about ASD and Neuro Divergent Learners: Haven Academy has seen an increase in students in our community with a Autism Spectrum Disorder (ASD) diagnosis. Haven Academy Director of Special Populations shared the steps Haven is taking to support students with ASD and provide training for staff as well.
4. **Legal, Regulatory and Governance Matters**
 - a. 401K Audit Close Out is in progress, Haven will submit the 5500 this week with a memo stating that the final audit report is pending and the audit report will be submitted next week.
 - b. 401K committee update on plans to evaluate provider and TPA for our plan
 - c. Fundraising Update: Haven shared the fundraising update for the board give/get for the past 4 fiscal years. The board asked for suggestions on timing and dates that would be best for their donations going forward.
5. **Executive Session**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: _____

Patricia Mulvaney, Board Chair

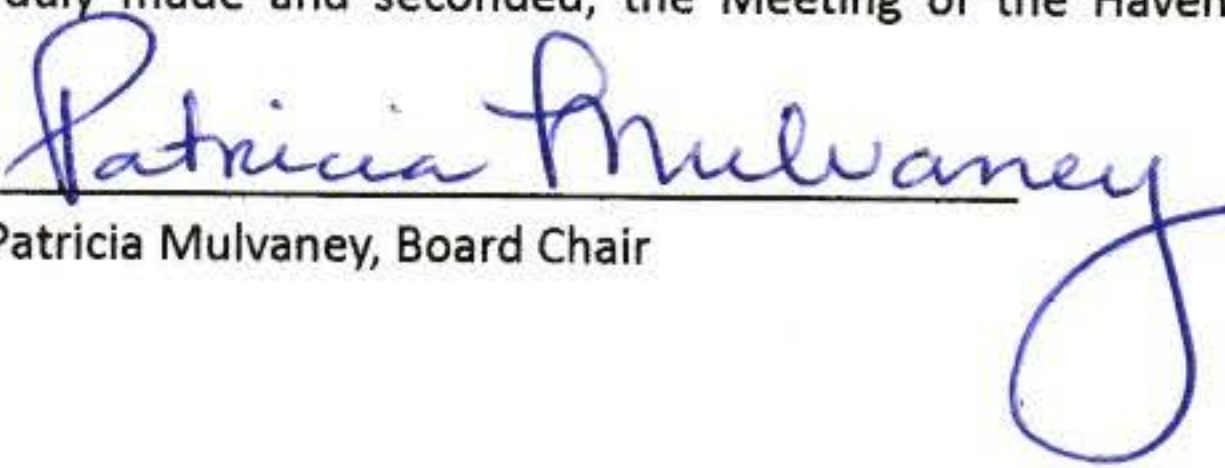


**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
May 25, 2022**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Melanie Hartzog, Meghan Mackay, Whitney Kneisley and Janet Campagna. All members participating via video or voice connection were able to adequately hear and make comments. School Team included: Sarah Touma, Upper School Principal, Michael Windram, Lower School Principal, Sherrie Henry, Chief Operating and Talent Officer, Jessica Westerduin, Lower School Assistant Principal, Gleendy Marte, Upper School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Kirkley Strand, The New York Foundling.

1. **Approval of Prior Board Meeting Minutes**
 - a. April 13, 2022 minutes approved
2. **Personnel, Operational and Financial Matters**
 - a. Draft Budget Proposal FY23: Haven Academy presented the draft proposed budget for FY23 to the board. External and Internal trends were examined and assumptions shared. Some of the trends that were looked at included enrollment, expenses, income and revenues as well as economic signals, public funding changes and possible shifts in demographics post-pandemic. The Board was given the opportunity to ask clarifying questions, give feedback and reflect on the draft budget proposal with the school team.
 - b. 5 Year Projections were shared using the assumptions shared regarding enrollment, income and expenses. Haven Academy created conservative 5-year projections using the current assumptions.
3. **Academic and Social-Emotional Program Matters**
 - a. Summer Academy Update: Summer Boost Grant received and will fully fund our Summer Academy Program. Program will serve approximately 120 students who will receive rigorous ELA and Math instruction during the summer.
 - b. End of year events and school year close summary: The Haven Academy principals reported on the end of year events including field trips, stepping ups and graduations.
4. **Legal, Regulatory and Governance Matters**
 - a. Development Updates: The development team gave a fundraising update and hiring updates for their team.
 - b. 401K Audit Closeout Update: Audit was closed out and all necessary documents were submitted.
5. **Executive Session**

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.


Signature: 
Patricia Mulvaney, Board Chair

**MINUTES OF THE
BOARD OF TRUSTEES OF THE
MOTT HAVEN ACADEMY CHARTER SCHOOL
June 22, 2022**

The meeting of the Mott Haven Academy Charter School Board of Trustees was held at 9:00 AM. The following members attended in person or had access to video and voice connection: Patricia Mulvaney, Melanie Hartzog, Meghan Mackay, Fanon Howell, Janet Campagna, Jessica Nauiokas and Christine Stokes. All members participating in person and via video were able to adequately hear and make comments. School Team included: Michael Windram, Lower School Principal, Sherrie Henry, Chief Operating and Talent Officer, Jessica Westerduin, Lower School Assistant Principal, Gleendy Marte, Upper School Assistant Principal, Zennea Chetta, Director of Data and Technology. Guests Included: Kirkley Strand, The New York Foundling, Dawnisha Lane, CSBM and Nancy King.

1. **Approval of Prior Board Meeting Minutes**
 - a. May 25, 2022 minutes approved
2. **Personnel, Operational and Financial Matters**
 - a. Budget Proposal FY23: Haven Academy shared an analysis on the slight increase in the budget for FY22 compared to FY21. Haven Academy shared a budget schedule with and without the additional grant money that came about during The Pandemic to help forecast additional years where that money may no longer be available. Strategies that will be explored were shared in order to ensure Haven Academy maintains a balanced budget for FY23 and beyond. Haven Academy shared the budget for approval with the Board. The Board members were given opportunities to clarify questions and give comments.
 - b. The Haven Academy FY23 Budget was voted on and approved by the board.
3. **Academic and Social-Emotional Program Matters**
 - a. Initial High School Data Presentation: Haven Academy shared initial data on high school acceptances of our 8th grade graduates. The majority of our 8th graders were given an offer to their 1st-4th high school choice. The Haven Academy team will continue to ensure that our graduates are applying to competitive, high caliber schools as their primary choices.
 - b. End of year events and school year close summary
4. **Legal, Regulatory and Governance Matters**
 - a. Calendar of Board Meetings shared for new year
5. **Executive Session-** Executive session was held and the board unanimously approved the addition of Nancy King as a new Board member with a start date of July 1, 2022.

Upon motion duly made and seconded, the Meeting of the Haven Academy Charter School Board was adjourned.

Signature: 
Patricia Mulvaney, Board Chair

Haven Academy Board of Trustees

**Head of School/Executive Director,
 Jessica Nauiokas**

**Organizational Systems
 Sherrie Henry COTO**

Operations Directors:
 - External Affairs
 - Human Resources and Students Operations

Data and Technology

Director of Facilities

Operations Associates

Food Service Program

**Lower School Principal,
 Michael Windram**

Director of Social Services -Lower

Family Student Specialists

Social Work Interns

Assistant Principal Lower

Directors of Program

**Teachers
 Special Area
 Teachers
 Specialists**

Associate and Assistant Teachers and School Aides

K-8 Instructional Directors and Department Heads

Upper School Principal

Assistant Principals Upper School

Directors of Program

**Teachers
 Special Area
 Teachers
 Specialists**

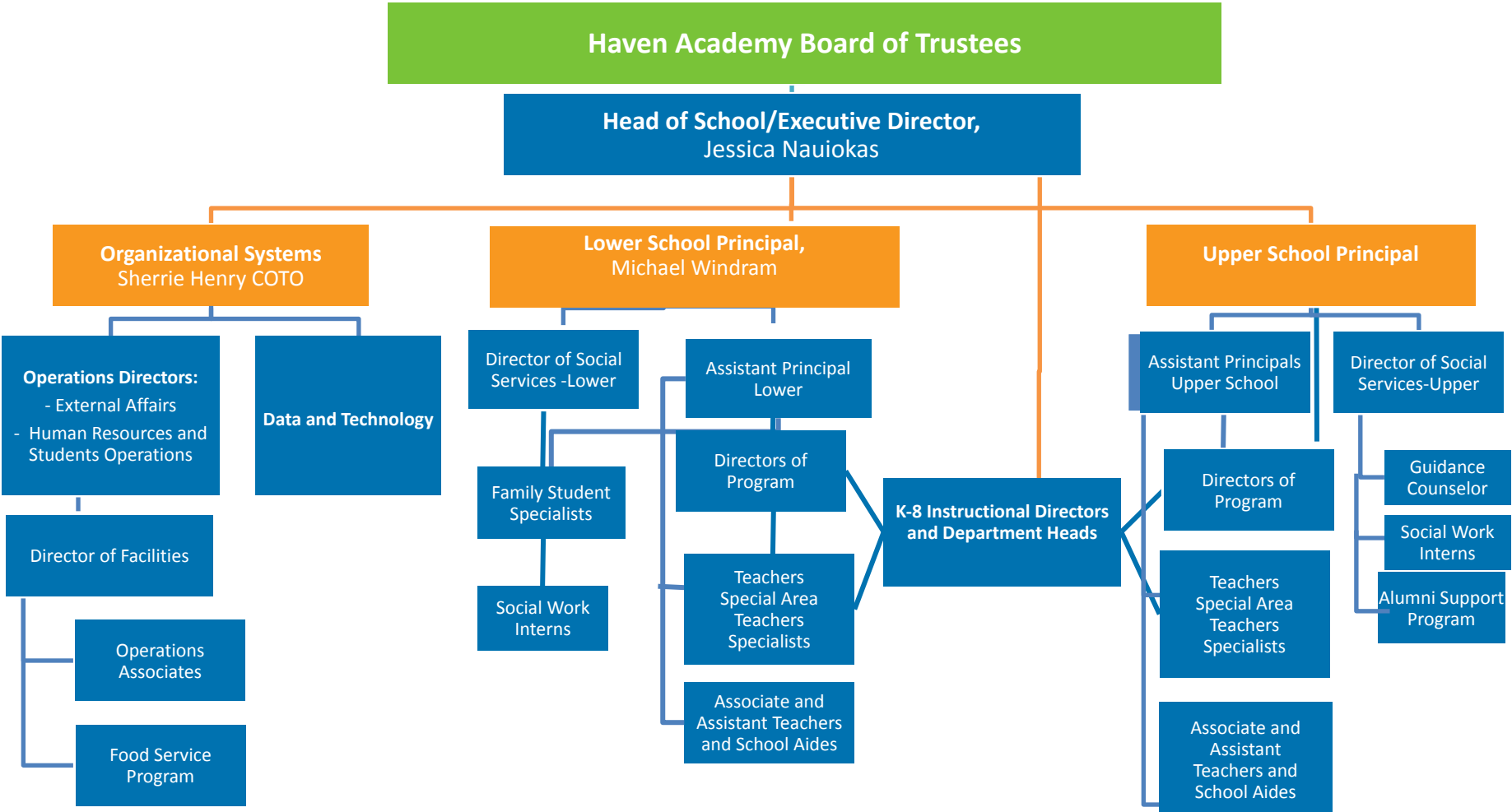
Associate and Assistant Teachers and School Aides

Director of Social Services-Upper

Guidance Counselor

Social Work Interns

Alumni Support Program



2022-2023 SCHOOL CALENDAR

| | | | |
|---------------------------------------|--|--|---------------------|
| August 31, 2022 | Wednesday | First Day of School | |
| August 31 - September 2, 2022 | Wednesday - Friday | Half day for Pre-K and Kindergarten ONLY | |
| September 5, 2022 | Monday | Labor Day | NO SCHOOL |
| September 14, 2022 | Wednesday | Back to School Night | |
| September 26-27, 2022 | Monday and Tuesday | Rosh Hashanah | NO SCHOOL |
| October 5, 2022 | Wednesday | Yom Kippur | NO SCHOOL |
| October 10, 2022 | Monday | Indigenous Peoples' Day | NO SCHOOL |
| November 8, 2022 | Tuesday | Election Day | NO SCHOOL |
| November 11, 2022 | Friday | Veterans' Day | NO SCHOOL |
| November 17-18, 2022 | Thurs-Friday | Pre-K to 8 Conferences | HALF DAY |
| November 23-25, 2022 | Wednesday-Friday | Thanksgiving | NO SCHOOL |
| December 26, 2022- January 6, 2023 | 10 Days (Students return to school on January 9, 2023) | Winter Recess | NO SCHOOL |
| January 16, 2023 | Monday | Dr. MLK Jr. Day | NO SCHOOL |
| February 16-17, 2023 | Thursday-Friday | Pre- K to 8 Conferences | HALF DAY |
| February 20-24, 2023 | 5 Days (Students return to school on February 27, 2023) | Mid-Winter Recess | NO SCHOOL |
| April 7- 14, 2023 | 6 Days (Students return to school on April 17, 2023) | Spring Recess | NO SCHOOL |
| April 19-21, 2023 | 3 days | State Test for grades 3-8 | |
| April 13-14, 2023 | Wed - Thurs | MS Conferences | HALF DAY TBD |
| April 21, 2023 | Friday | Eid al-Fitr | NO SCHOOL |
| May 2-4, 2023 | 3 days | State Test for grades 3-8 | |
| May 29, 2023 | Monday | Memorial Day | NO SCHOOL |
| June 8, 2023 | Thursday | Chancellor's Day | NO SCHOOL |
| June 10, 2023 | Friday | Field Day | |
| June 15, 2023 | Thursday | Last day of School | |

School Hours

Pre-K:

7:45 arrival and 3:15 dismissal

Kindergarten- 8th grade:

7:45 arrival and breakfast and 3:25 dismissal

*** State Testing for grades 3-8 will occur: the week of April 19th and the week of May 2nd (Attendance MANDATORY)

Calendario de 2022-2023

| | | | |
|--|--------------------|--|----------------------|
| 31 de agosto 2022 | martes | Primer día de escuela | |
| 31 de agosto - 2 de septiembre | miércoles-viernes | Medio día SOLO para Pre-K y Kinder | |
| 5 de septiembre 2022 | lunes | Día laboral | NO HAY CLASE |
| 14 de septiembre 2022 | miércoles | Noche de Regreso a la Escuela | |
| 26-27 de septiembre 2022 | lunes y martes | Rosh Hashanah | NO HAY CLASE |
| 5 de octubre 2022 | miércoles | Yom Kippur | NO HAY CLASE |
| 11 de octubre 2022 | lunes | Indigenous Peoples' Day | NO HAY CLASE |
| 11 de noviembre 2022 | jueves | Día de los Veteranos | NO HAY CLASE |
| 17-18 de noviembre 2022 | jueves y viernes | Conferencias | MEDIO DIA |
| 23-25 de noviembre 2022 | 3 Días | Acción de Gracias | NO HAY CLASE |
| 26 de diciembre 2022- 6 de enero 2023 | 10 Días | Receso de Invierno (Estudiantes regresar a escuela a 9 de enero del 2023) | NO HAY CLASE |
| 16 de enero 2023 | lunes | Día del Dr. MLK, Jr. | NO HAY CLASE |
| 16-17 de febrero 2023 | jueves y viernes | Conferencias | MEDIO DIA |
| 20-24 de febrero 2023 | 5 Días | Receso de Invierno (Estudiantes regresar a escuela a 27 de febrero del 2023) | NO HAY CLASE |
| 7-14 de abril 2023 | 6 Días | Vacaciones de Primavera (Estudiantes regresar a escuela a 17 de abril del 2023) | NO HAY CLASE |
| 19-21 de abril 2023 | 3 Días | Las pruebas estatales para los grados 3-8 | |
| 13-14 de abril 2023 | miércoles y jueves | Conferencias | MEDIO DIA TBD |
| 21 de abril 2023 | viernes | Eid al-Fitr | NO HAY CLASE |
| 2-4 de mayo 2023 | 3 Días | Las pruebas estatales para los grados 3-8 | |
| 29 de mayo 2023 | lunes | Día Conmemorativo | NO HAY CLASE |
| 8 de junio 2023 | jueves | Chancellor's Day | NO HAY CLASE |
| 9 de junio 2023 | viernes | Día de Campo | |
| 15 de junio 2023 | jueves | último día de clases | |

Horas de Escuela:

Pre-K:

7:45 am llegada y 3:15 pm salida

Kindergarten - 8th grado

lunes a viernes 7:45 am llegada y desayuno - 3:25 pm salida

***Las pruebas estatales para los grados 3-8 se llevarán a cabo: la semana del 19 de abril y la semana del 2 de mayo (Asistencia OBLIGATORIA)



144 21st Street
Brooklyn, NY 11232
O: 718-369-3434

HIGH RISE
FIRE AND SECURITY
SCUTUM GROUP

1200 N Federal Hwy, Suite 200
Boca Raton, FL 33432
O: 561-569-2100

Silent Test Performed*
REPORT OF TEST AND INSPECTION #544222

| CLIENT - SUBSCRIBER | SERVICED |
|--|------------------------------------|
| NY Foundling 590 6th Avenue New York, NY 10011 | 170 Brown Place Bronx, NY 10454 |

SERVICE REQUEST

| | | | | |
|--------------------|----------------------|--------------------------|---------------------------|----------------------------------|
| CALL AUTHORIZED BY | CONTRACT # SC1812 | NEW019 | FEE SERVICE # | PO # |
| PHONE | FAX | DATE Friday 6/17/2022 | TIME SCHEDULED 8:00:00 | TECHNICIAN(S) ASSIGNED SA, GL |

MATERIAL RECORD

| QUANTITY | DESCRIPTION |
|----------|-------------|
| | |

SERVICE RECORD

| TYPE OF SERVICE | TECHNICIAN(S) | S98/S78 CERT. # | DEPART | TIME IN | TIME OUT | RETURNED | TOTAL | SIGNED |
|-----------------|----------------|--------------------|----------|---------|----------|----------|-------------|-------------|
| | Test & Inspect | Grzegorz Liszewski | 91650424 | 7:30 AM | 8:00 AM | 11:45 AM | 12:15 PM | 4.75 |
| Edwards EST-3 | Saul Ascencio | | 7:30 AM | 8:00 AM | 11:45 AM | 12:15 PM | 4.75 | SA |
| | | | | | | | | |

AUTHORIZATION FOR FIRE ALARM INTERRUPTION AND OTHER LEGAL NOTICES

The client has been advised by High Rise Fire Protection Corp. representatives that it may be necessary to interrupt the fire protection services by disconnecting the system and/or a component in the performance of their assigned work.

The client hereby assumes the responsibility for the notification of the authorities at the times the interruption is initiated and will take all of the precautions deemed necessary during this period of interruption and hold harmless High Rise Fire Protection Corp. and its employees regarding interruption of the protection service during their service of the equipment.

In the event the client requests a silent test to be conducted, this will require disabling the audio/visual notification appliance devices as well as any output functions. Therefore High Rise Fire Protection cannot be held responsible or liable for the proper operation of these functions.

LIMITATION OF LIABILITY: The client agrees that should there arise any liability relating directly or indirectly to services performed by HIGH RISE as a result of HIGH RISE's negligent performance to any degree, failure to perform any of HIGH RISE's obligations, equipment failure or strict products liability, that HIGH RISE's liability shall be limited to the sum of \$250.00 or 5% of the total of all charges to be paid under this contract, whichever is greater. If the client wishes to increase HIGH RISE's maximum amount of HIGH RISE's limitation of liability, the client may, as a matter of right, at any time, by entering into a supplemental contract, obtain a higher limit by paying an annual payment consonant with HIGH RISE's increased liability. This shall not be construed as insurance coverage.

| | |
|--------------------------------|---|
| CLIENT SIGNATURE <i>M B</i> | CLIENT NAME (PRINT) Michael Stelling |
|--------------------------------|---|

DESCRIPTION OF SERVICE REQUESTED
Supervisory, Water Flow & Tamper Test & Inspect Includes

- Test all water flow & tamper
- Fire pump run, fire pump fail, and fire phase reversal
- Water tank high & low water
- Generator run and generator fail
- High and low air pressure
- Test central station signals
- Check sensitivity report

SERVICE PERFORMED
A SILENT TEST WAS PERFORMED DURING THIS TEST & INSPECTION

- Upon arrival FACP located on 1st floor by main entrance was found in normal condition.
- Prior to service, system was placed offline by Security personnel.
- HRF technician performed lamp test, all LEDs are operational
- Checked sensitivity levels of all smoke detectors, all were well within acceptable operating range
- HRF technician checked batteries, test/pass
- All tampers and water flows were tested by shortening out the module, all reported to the panel correctly.

* In the event the client requests a silent test to be conducted, this will require disabling the audio/visual notification appliance devices as well as any output functions. Therefore High Rise Fire Protection cannot be held responsible or liable for the proper operation of these functions.



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O: 718-369-3434

HIGH RISE
FIRE AND SECURITY
SCUTUM GROUP

1200 N Federal Hwy, Suite 200
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O: 561-569-2100

Silent Test Performed*
REPORT OF TEST AND INSPECTION #544222

- All outputs were confirmed fully operational at time of testing.
- Confirmed all signals with AFA operator #89
- System was left as found.

HRF WORK REMAINING/COMMENTS

NONE

CLIENT ACTION REQUIRED

NONE

THANK YOU FOR YOUR BUSINESS

* In the event the client requests a silent test to be conducted, this will require disabling the audio/visual notification appliance devices as well as any output functions. Therefore High Rise Fire Protection cannot be held responsible or liable for the proper operation of these functions.



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DETAIL OF DEVICE TEST AND INSPECTION RESULTS
TEST AND INSPECTION #544222

Inspection Summary

Total Devices Tested: 28

Pass: 28

Fail: 0

Other: 0

Test Result: Passed

| <u>Date</u> | <u>Location</u> | <u>Model</u> | <u>Address</u> | <u>Test Result</u> | <u>Note</u> |
|-------------|-------------------|----------------------------|----------------|--------------------|-------------|
| 6/17/22 | 1ST FL STAIR A | TAMPER SWITCH | CT2 | 01020191 | Passed |
| 6/17/22 | 1ST FL STAIR A | WATERFLOW SWITCH | CT2 | 01020190 | Passed |
| 6/17/22 | 2ND FL STAIR A | TAMPER SWITCH | CT2 | 01020167 | Passed |
| 6/17/22 | 2ND FL STAIR A | WATERFLOW SWITCH | CT2 | 01020166 | Passed |
| 6/17/22 | 3RD FL STAIR A | TAMPER SWITCH | CT2 | 01020161 | Passed |
| 6/17/22 | 3RD FL STAIR A | WATERFLOW SWITCH | CT2 | 01020160 | Passed |
| 6/17/22 | 4TH FL STAIR A | TAMPER SWITCH | CT2 | 02020138 | Passed |
| 6/17/22 | 4TH FL STAIR A | WATERFLOW SWITCH | CT2 | 02020137 | Passed |
| 6/17/22 | 5TH FL STAIR A | TAMPER SWITCH | CT2 | 02020159 | Passed |
| 6/17/22 | 5TH FL STAIR A | WATERFLOW SWITCH | CT2 | 02020158 | Passed |
| 6/17/22 | 6TH FL STAIR A | TAMPER SWITCH | CT2 | 02020199 | Passed |
| 6/17/22 | 6TH FL STAIR A | WATERFLOW SWITCH | CT2 | 02020198 | Passed |
| 6/17/22 | 7TH FL STAIR A | WATERFLOW SWITCH | CT2 | 02020218 | Passed |
| 6/17/22 | 7TH FL STAIR A | TAMPER SWITCH | CT2 | 02020219 | Passed |
| 6/17/22 | LL SPRINKLER RM | BY SIDE ENTRANCE TAM | CT1 | 01020126 | Passed |
| 6/17/22 | LOWER LEV STAIR A | WATERFLOW SWITCH | CT2 | 01020209 | Passed |
| 6/17/22 | LOWER LEVEL | WATERPUMP ROOM TAMPER | CT2 | 01020201 | Passed |
| 6/17/22 | LOWER LEVEL | FIRE PUMP FAIL | CT2 | 01020226 | Passed |
| 6/17/22 | LOWER LEVEL | FIRE PUMP REVERSAL | CT1 | 01020227 | Passed |
| 6/17/22 | LOWER LEVEL | FIRE PUMP RUN | CT2 | 01020225 | Passed |
| 6/17/22 | LOWER LEVEL | GAS METER RM TAMPER SWITCH | CT1 | 01020228 | Passed |
| 6/17/22 | LOWER LEVEL | SPRINKLER RM TAMPER SWITCH | MCT2 | 01020223 | Passed |
| 6/17/22 | LOWER LEVEL | STAIR ATAMPER SWITCH | CT2 | 01020210 | Passed |
| 6/17/22 | LOWER LEVEL | WATERPUMPBYPASS VALVE | CT2 | 01020202 | Passed |
| 6/17/22 | PENTHOUSE | GENERATOR FAIL | CT2 | 02020183 | Passed |
| 6/17/22 | PENTHOUSE | GENERATOR RUN | CT2 | 02020182 | Passed |
| 6/17/22 | PENTHOUSE | STAIR A TAMPER SWITCH | CT2 | 02020181 | Passed |
| 6/17/22 | PENTHOUSE | STAIR A WATERFLOW SWITCH | CT2 | 02020180 | Passed |



Certificate of Occupancy

CO Number:2000023-0000002

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified.No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued.*This document or a copy shall be available for inspection at the building at all reasonable times.*

| | | | |
|---|--|--|--|
| A. | Borough: BRONX Address: 170 BROWN PLACE Building Identification Number(BIN): 2000023 | Block Number: 2263 Lot Number(s): 1 Additional Lot Number(s): Application Type: A1 - ALTERATION TYPE 1 | Full Building Certificate Type: Final Date Issued: 01/12/2022 |
| | This building is subject to this Building Code: 1968 | | |
| This Certificate of Occupancy is associated with job# 220564958-01 | | | |
| B. | Construction Classification: I-C: 2 HOUR PROTECTED Building Occupancy Group classification: E - EDUCATIONAL Multiple Dwelling Law Classification: Not Available | | |
| | No.of stories: 7 | Height in feet: 97 | No.of dwelling units: Not Available |
| C. | Parking Spaces and Loading Berths: Open Parking Spaces: Not Available. Enclosed Parking Spaces: Not Available. Total Loading Berths: Not available | | |
| | This Certificate is issued with the following legal limitations: Restrictive Declaration: None Zoning Exhibit: 2010000311858, 2010000311859 BSA Calendar Number(s): None CPC Calendar Number(s): None | | |
| Borough Comments: | | | |

Borough Commissioner

Commissioner



Permissible Use and Occupancy

| FLOOR | Occ Group | Max. Persons Permitted | Live Loads (lbs per sq ft) | Zoning Use Group | Dwelling or Rooming Units | Job Reference | Certificate of Occupancy Type |
|---------------------|---|------------------------|----------------------------|------------------|---------------------------|---------------|-------------------------------|
| Cellar | A-2 | 128 | OG | 3B | | 210057089 | Final |
| Description of Use: | Cafeteria CAFETERIA | | | | Exceptions: | | |
| Cellar | A-3 | 166 | OG | 3A | | 210057089 | Final |
| Description of Use: | School Auditorium - EDU GYMNASIUM | | | | Exceptions: | | |
| Cellar | A-3 | NA | OG | 3A | | 220564958 | Final |
| Description of Use: | Dance Studio DANCE STUDIO | | | | Exceptions: | | |
| Cellar | F-2 | NA | OG | 3B | | 210057089 | Final |
| Description of Use: | Mechanical and/or electrical equipment rooms MECHANICAL ROOM, ELECTRICAL CONTROL ROOM, WATER SERVICE ROOM SERVER ROOM | | | | Exceptions: | | |
| Cellar | B | 1 | OG | 3B | | 210057089 | Final |
| Description of Use: | Business and Service OFFICE | | | | Exceptions: | | |
| Cellar | S-2 | NA | OG | 3B | | 210057089 | Final |
| Description of Use: | Storage of non combustible Materials STORAGE ROOMS, TRASH ROOM, RECYCLE ROOM | | | | Exceptions: | | |



Permissible Use and Occupancy

| FLOOR | Occ Group | Max. Persons Permitted | Live Loads (lbs per sq ft) | Zoning Use Group | Dwelling or Rooming Units | Job Reference | Certificate of Occupancy Type |
|---------------------|---|------------------------|----------------------------|------------------|---------------------------|---------------|-------------------------------|
| Cellar | F-2 | 2 | OG | 3B | | 210057089 | Final |
| Description of Use: | Low-Hazard: Manufacturing KITCHEN | | | | Exceptions: | | |
| Cellar | E | 20 | OG | 3A | | 210057089 | Final |
| Description of Use: | Academies and schools CLASSROOMS | | | | Exceptions: | | |
| Floor 1 | E | 104 | 40 | 3A | | 210057089 | Final |
| Description of Use: | Academies and schools CLASSROOM, LIBRARY | | | | Exceptions: | | |
| Floor 1 | B | 10 | 50 | 3B | | 210057089 | Final |
| Description of Use: | Educational OFFICE STAFF CENTER | | | | Exceptions: | | |
| Floor 2 | E | 167 | 40 | 3A | | 210057089 | Final |
| Description of Use: | Academies and schools CLASSROOMS | | | | Exceptions: | | |
| Floor 2 | E | 20 | 40 | 3A | | 220564958 | Final |
| Description of Use: | Academies and schools BREAK OUT ROOM | | | | Exceptions: | | |



Permissible Use and Occupancy

| FLOOR | Occ Group | Max. Persons Permitted | Live Loads (lbs per sq ft) | Zoning Use Group | Dwelling or Rooming Units | Job Reference | Certificate of Occupancy Type |
|---------------------|--|------------------------|----------------------------|------------------|---------------------------|---------------|-------------------------------|
| Floor 3 | E | 30 | 40 | 3A | | 210057089-01 | Final |
| Description of Use: | Academies and schools SCIENCE LAB | | | | Exceptions: | | |
| Floor 3 | E | 159 | 40 | 3A | | 210057089 | Final |
| Description of Use: | Academies and schools CLASSROOMS | | | | Exceptions: | | |
| Floor 3 | B | 1 | 50 | 3B | | 210057089 | Final |
| Description of Use: | Business and Service OFFICES | | | | Exceptions: | | |
| Floor 4 | B | 164 | 50 | 4A | | 210057089 | Final |
| Description of Use: | Business and Service NON-PROFIT OFFICES WITHOUT SLEEPING ACCOMMODATIONS | | | | Exceptions: | | |
| Floor 5 | E | 10 | 40 | 3A | | 220564958 | Final |
| Description of Use: | Academies and schools ADMINISTRATIVE OFFICES AND RECEPTION | | | | Exceptions: | | |
| Floor 5 | F-2 | NA | 50 | 4C | | 210057089 | Final |
| Description of Use: | Mechanical and/or electrical equipment rooms IT-CLOSET, COPY ROOM | | | | Exceptions: | | |



Permissible Use and Occupancy

| FLOOR | Occ Group | Max. Persons Permitted | Live Loads (lbs per sq ft) | Zoning Use Group | Dwelling or Rooming Units | Job Reference | Certificate of Occupancy Type |
|---------------------|--|------------------------|----------------------------|------------------|---------------------------|---------------|-------------------------------|
| Floor 5 | E | 91 | 40 | 3A | | 220564958 | Final |
| Description of Use: | Academies and schools CLASSROOMS | | | | Exceptions: | | |
| Floor 6 | F-2 | NA | 50 | 4C | | 210057089 | Final |
| Description of Use: | Mechanical and/or electrical equipment rooms IT-CLOSET, COPY ROOM | | | | Exceptions: | | |
| Floor 6 | E | 5 | 40 | 3A | | 220564958 | Final |
| Description of Use: | Academies and schools OFFICES | | | | Exceptions: | | |
| Floor 6 | E | 96 | 40 | 3A | | 220564958 | Final |
| Description of Use: | Academies and schools CLASSROOMS | | | | Exceptions: | | |
| Floor 7 | E | 90 | 40 | 3A | | 220564958 | Final |
| Description of Use: | Academies and schools CLASSROOMS | | | | Exceptions: | | |
| Floor 7 | F-2 | NA | 50 | 4C | | 210057089 | Final |
| Description of Use: | Mechanical and/or electrical equipment rooms IT-CLOSET, COPY ROOM | | | | Exceptions: | | |



Permissible Use and Occupancy

| FLOOR | Occ Group | Max. Persons Permitted | Live Loads (lbs per sq ft) | Zoning Use Group | Dwelling or Rooming Units | Job Reference | Certificate of Occupancy Type |
|---------------------|---|------------------------|----------------------------|------------------|---------------------------|---------------|-------------------------------|
| Floor 7 | E | 6 | 40 | 3A | | 220564958 | Final |
| Description of Use: | Academies and schools TEACHERS LOUNGE, OFFICE, NURSE | | | | Exceptions: | | |
| Penthouse - 1 | F-2 | 7 | 100 | 3B | | 210057089 | Final |
| Description of Use: | Mechanical and/or electrical equipment rooms MECHANICAL ROOM | | | | Exceptions: | | |
| Roof | A-3 | 74 | 100 | 3B | | 210057089 | Final |
| Description of Use: | Recreation SECOND FLOOR ROOF-DECK | | | | Exceptions: | | |

CofO Comments: NOTE: NON-PROFIT INSTITUTE WITHOUT SLEEPING ACCOMODATIONS PROVIDED THAT THE NUMBER OF PERSONS EMPLOYED IN CENTRAL OFFICE FUNCTION SHALL NOT EXCEED 50, AND THE AMOUNT OF FLOOR AREA FOR CENTRAL OFFICE PURPOSES SHALL NOT EXCEED 25 PERCENT OF THE TOTAL FLOOR AREA OR 25,000 SQUARE FEET, WHICH EVER IS GREATER, EXCEPT THAT IN R6 DISTRICTS, THE AMOUNT OF FLOOR AREA USED FOR THE CENTRAL OFFICE PURPOSES SHALL IN NO EVENT EXCEED 25 PERCENT OF THE TOTAL FLOOR AREA THESE PREMISES, CONSISTING OF ONE (1) TAX LOT, HAVE BEEN DECLARED ONE (1) ZONING LOT AS PER SECTION 12-10 OF THE ZONING RESOLUTION AND HAVE BEEN RECORD DED AT THE OFFICE OF THE CITY REGISTER UNDER EXHIBIT I CRFN AND EXHIBIT III CRFN #2010000311858 2010000311859

Borough Commissioner

Commissioner